



Tax Rating Services  
Telecom & Sales Tax Services  
Corporate Income Tax Services  
FCC & State Regulatory Services

407-260-1011 407-260-1033 fax mark@csilongwood.com 242 Rangeline Road, Longwood, FL 32750

February 28, 2019  
Via US ECFS

Marlene H. Dortch, Secretary  
Federal Communications Commission  
445 12<sup>th</sup> Street S.W.  
Washington, D.C. 20554

RE: United Technology Group, Inc  
Form 499 Filer ID: 832500  
Annual Customer Proprietary Network Information Compliance Certification;  
EB Docket No. 06-36

Dear Ms. Dortch,

Enclosed for filing is the Annual Customer Proprietary Network Information ("CPNI") Compliance Certification; EB Docket No. 06-36, filed on behalf of United Technology Group, Inc.

Please do not hesitate to contact me at 407-260-1011 or [mark@csilongwood.com](mailto:mark@csilongwood.com) if you have any questions or concerns.

Thank you for your assistance in processing this filing.

Sincerely,

A handwritten signature in black ink, appearing to read "Mark G. Lammert", is written over a horizontal line.

Mark G. Lammert, CPA  
Tax Preparer for United Technology Group, Inc

cc: United Technology Group, Inc  
file: United Technology Group, Inc - PUC - FCC

**STATEMENT OF POLICY IN TREATMENT OF  
CUSTOMER PROPRIETARY NETWORK INFORMATION**

1. It is United Technology Group, Inc. (hereafter referred to as “United Technology Group”) policy not to use CPNI for any activity other than permitted by law. Any disclosure of CPNI to other parties (such as affiliates, vendors, and agents) occurs only if it is necessary to conduct a legitimate business activity related to the services already provided by the company to the customer. If the Company is not required by law to disclose the CPNI or if the intended use does not fall within one of the carve outs, the Company will first obtain the customer’s consent prior to using CPNI.
2. United Technology Group follows industry-standard practices to prevent unauthorized access to CPNI by a person other than the subscriber or United Technology Group. However, United Technology Group cannot guarantee that these practices will prevent every unauthorized attempt to access, use, or disclose personally identifiable information. Therefore:
  - A. If an unauthorized disclosure were to occur, United Technology Group shall provide notification of the breach within seven (7) days to the United States Secret Service (“USSS”) and the Federal Bureau of Investigation (“FBI”).
  - B. United Technology Group shall wait an additional seven (7) days from its government notice prior to notifying the affected customers of the breach.
  - C. Notwithstanding the provisions in subparagraph B above, United Technology Group shall not wait the additional seven (7) days to notify its customers if United Technology Group determines there is an immediate risk of irreparable harm to the customers.
  - D. United Technology Group shall maintain records of discovered breaches for a period of at least two (2) years.
3. All employees will be trained as to when they are, and are not, authorized to use CPNI upon employment with the Company and annually thereafter.
  - A. Specifically, United Technology Group shall prohibit its personnel from releasing CPNI based upon a customer-initiated telephone call except under the following three (3) circumstances:
    1. When the customer has pre-established a password.
    2. When the information requested by the customer is to be sent to the customer’s address of record, or

3. When United Technology Group calls the customer's telephone number of record and discusses the information with the party initially identified by customer when service was initiated.

B. United Technology Group may use CPNI for the following purposes:

- To initiate, render, maintain, repair, bill and collect for services;
- To protect its property rights; or to protect its subscribers or other carriers from fraudulent, abusive, or the unlawful use of, or subscription to, such services;
- To provide inbound telemarketing, referral or administrative services to the customer during a customer initiated call and with the customer's informed consent.
- To market additional services to customers that are within the same categories of service to which the customer already subscribes;
- To market services formerly known as adjunct-to-basic services; and
- To market additional services to customers with the receipt of informed consent via the use of opt-in or opt-out, as applicable.

4. Prior to allowing access to Customers' individually identifiable CPNI to United Technology Group' joint venturers or independent contractors, United Technology Group will require, in order to safeguard that information, their entry into both confidentiality agreements that ensure compliance with this Statement and shall obtain opt-in consent from a customer prior to disclosing the information. In addition, United Technology Group requires all outside Dealers and Agents to acknowledge and certify that they may only use CPNI for the purpose for which that information has been provided.

5. United Technology Group requires express written authorization from the customer prior to dispensing CPNI to new carriers, except as otherwise required by law.

6. United Technology Group does not market, share or otherwise sell CPNI information to any third party.

7. United Technology Group maintains a record of its own and its affiliates' sales and marketing campaigns that use United Technology Group' customers' CPNI. The record will include a description of each campaign, the specific CPNI that was used in the campaign, and what products and services were offered as part of the campaign.

A. Prior commencement of a sales or marketing campaign that utilizes CPNI, United Technology Group establishes the status of a customer's CPNI approval. The following sets forth the procedure followed by United Technology Group.

- Prior to any solicitation for customer approval, United Technology Group will notify customers of their right to restrict the use of, disclosure of, and access to their CPNI.

- United Technology Group will use opt-in approval for any instance in which United Technology Group must obtain customer approval prior to using, disclosing, or permitting access to CPNI.
  - A customer's approval or disapproval remains in effect until the customer revokes or limits such approval or disapproval.
  - Records of approvals are maintained for at least one year.
  - United Technology Group provides individual notice to customers when soliciting approval to use, disclose, or permit access to CPNI.
  - The content of United Technology Group's CPNI notices comply with FCC rule 64.2008 (c).
8. United Technology Group has implemented a system to obtain approval and informed consent from its customers prior to the use of CPNI for marketing purposes. This system allows for the status of a customer's CPNI approval to be clearly established prior to the use of CPNI.
  9. United Technology Group has a supervisory review process regarding compliance with the CPNI rules for outbound marketing situations and will maintain compliance records for at least one year. Specifically, United Technology Group's sales personnel will obtain express approval of any proposed outbound marketing request for customer approval of the use of CPNI by The General Counsel of United Technology Group.
  10. United Technology Group notifies customers immediately of any account changes, including address of record, authentication, online account and password related changes.
  11. United Technology Group may negotiate alternative authentication procedures for services that United Technology Group provides to business customers that have a dedicated account representative and a contract that specifically addresses United Technology Group's protection of CPNI.
  12. United Technology Group is prepared to provide written notice within five business days to the FCC of any instance where the opt-in mechanisms do not work properly to such a degree that consumer's inability to opt-in is more than an anomaly.

**ANNUAL 47 C.F.R. S: 64.2010 (e) CPNI CERTIFICATION FOR 2019**  
**EB Docket 06-36**

Date Filed: February 4, 2019  
Name of Company: United Technology Group, Inc.  
Form 499 Filer ID: 832500  
Name of Signatory: Thomas Stockwell  
Title of Signatory: President

I, Thomas Stockwell, certify that I am an officer of the company named above, and acting as an agent of the company, that I have personal knowledge that the company has established operating procedures that are adequate to ensure compliance with the Commission's CPNI rules. See 47 C.F.R. &64.2001 *et seq.*

Attached to this certification is an accompanying statement explaining how the company's procedures ensure that the company is in compliance with the requirements set forth in section 64.2001 *et. seq.* of the Commission's rules.

The company has not taken any actions (proceedings instituted or petitions filed by a company at either state commissions, the court system, or at the Commission against data brokers) against data brokers in the past year. The steps the company has taken to protect CPNI include updating its CPNI practices and procedures and conducting new training designed to ensure compliance with the FCC's modified CPNI rules.

The company has not received any customer complaints in the past year concerning the unauthorized release of CPNI.

Signed: 