

✓ COUNT SIXTEEN ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 14th day of November, 1987, in Nash County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to James R. Dickens a certain security, to wit: a partnership interest in WLGP Radio Partnership without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT SEVENTEEN ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 25th day of March, 1988, in Nash County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to Otho Dodd a certain security to wit: a partnership interest in New Song Communications without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT EIGHTEEN ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 25th day of March, 1988, in Nash County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to Otho Dodd a certain security, to wit: a partnership interest in New Song Communications without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT NINETEEN ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 11th day of November, 1987, in Nash County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to William H. Dove a certain security to wit: a partnership interest in WLGP Radio Partnership without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT TWENTY ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 11th day of November, 1987, in Nash County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to William H. Dove a certain security, to wit: a partnership interest in WLGP Radio Partnership without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT TWENTY-ONE ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 24th day of November, 1987, in Nash County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to J.D. Drullinger a certain security to wit: a partnership interest in WLGP Radio Partnership without having registered said

security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT TWENTY-TWO ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 24th day of November, 1987, in Nash County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to J.D. Drullinger a certain security, to wit: a partnership interest in WLGP Radio Partnership without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT TWENTY-THREE /

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 6th day of February, 1987, in Nash County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to Charles Gilley a certain security to wit: a partnership interest in Brunswick Radio Associates without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT TWENTY-FOUR ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 6th day of February, 1987, in Nash County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to Charles Gilley a certain security, to wit: a partnership interest in Brunswick Radio Associates without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT TWENTY-FIVE ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 11th day of June, 1987, in Nash County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to Ed T. Griffin a certain security to wit: a partnership interest in Upstate FM Partnership without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT TWENTY-SIX ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 11th day of June, 1987, in Nash County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to Ed T. Griffin a certain security, to wit: a partnership interest in Upstate FM Partnership without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

**COUNT TWENTY-SEVEN**

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 16th day of November, 1987, in Nash County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to George W. Griffin a certain security to wit: a partnership interest in WLGP Radio Partnership without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

**COUNT TWENTY-EIGHT**

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 16th day of November, 1987, in Nash County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to George W. Griffin a certain security, to wit: a partnership interest in WLGP Radio Partnership without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

**COUNT TWENTY-NINE**

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 10th day of December, 1987, in Nash County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to W. Mac Hines a certain security to wit: a partnership interest in Vezina Broadcasting Group without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

**COUNT THIRTY**

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 10th day of December, 1987, in Nash County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to W. Mac Hines a certain security, to wit: a partnership interest in Vezina Broadcasting Group without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

**COUNT THIRTY-ONE**

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 16th day of November, 1987, in Nash County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to Billy J. Hassell a certain security to wit: a partnership interest in WLGP Radio Partnership without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

**COUNT THIRTY-TWO**

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 16th day of November, 1987, in Nash County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to Billy J. Hassell a certain security, to wit: a partnership interest in WLGP Radio

Partnership without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT THIRTY-THREE ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 16<sup>th</sup> day of March, 1988, in Nash County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to John H. High a certain security to wit: a partnership interest in Barco FM Partnership without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT THIRTY-FOUR ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 16<sup>th</sup> day of March, 1988, in Nash County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to John H. High a certain security, to wit: a partnership interest in Barco FM Partnership without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT THIRTY-FIVE ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 11<sup>th</sup> day of September, 1987, in Nash County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to Eva M. King a certain security to wit: a partnership interest in Kansas Broadcast Associates without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT THIRTY-SIX ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 11<sup>th</sup> day of September, 1987, in Nash County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to Eva M. King a certain security, to wit: a partnership interest in Kansas Broadcast Associates without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT THIRTY-SEVEN ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 11<sup>th</sup> day of February, 1988, in Nash County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to Clarence H. Powell a certain security to wit: a partnership interest in New Song Communications without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT THIRTY-EIGHT ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 11th day of February, 1988, in Nash County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to Clarence H. Powell a certain security, to wit: a partnership interest in New Song Communications without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT THIRTY-NINE ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 17th day of April, 1987, in Nash County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to Jacqueline K. Proctor a certain security to wit: a partnership interest in Ocean Front Radio without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT FORTY ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 17th day of April, 1987, in Nash County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to Jacqueline K. Proctor a certain security, to wit: a partnership interest in Ocean Front Radio without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT FORTY-ONE ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 30th day of December, 1986, in Nash County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to S and F Enterprises a certain security to wit: a partnership interest in Honolulu FM Associates without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT FORTY-TWO ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 30th day of December, 1986, in Nash County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to S and F Enterprises a certain security, to wit: a partnership interest in Honolulu FM Associates without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT FORTY-THREE ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 3rd day of April, 1987, in Nash County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to Angleyn Stallings a certain security to wit: a partnership interest in Holy Hands FM Partnership without having registered said

security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT FORTY-FOUR ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 3rd day of April, 1987, in Nash County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to Angleyn Stallings a certain security, to wit: a partnership interest in Holy Hands FM Partnership without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT FORTY-FIVE ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 17th day of March, 1987, in Nash County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to Jimmy D. Stone a certain security to wit: a partnership interest in Sedona FM Broadcast Associates without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT FORTY-SIX ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 17th day of March, 1987, in Nash County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to Jimmy D. Stone a certain security, to wit: a partnership interest in Sedona FM Broadcast Associates without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT FORTY-SEVEN ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 12th day of November, 1987, in Nash County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to Howard H. Strandberg a certain security to wit: a partnership interest in WLGP Radio Partnership without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT FORTY-EIGHT ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 12th day of November, 1987, in Nash County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to Howard H. Strandberg a certain security, to wit: a partnership interest in WLGP Radio Partnership without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT FORTY-NINE ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 19th day of November, 1987, in Nash County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to Don Tippetts a certain security to wit: a partnership interest in WLGP Radio Partnership without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT FIFTY 90-CR-9155

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 19th day of November, 1987, in Nash County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to Don Tippetts a certain security, to wit: a partnership interest in WLGP Radio Partnership without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT FIFTY-ONE ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 10th day of June, 1987, in Nash County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to TMH Enterprises a certain security to wit: a partnership interest in Upstate FM Partnership without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT FIFTY-TWO ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 10th day of June, 1987, in Nash County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to TMH Enterprises a certain security, to wit: a partnership interest in Upstate FM Partnership without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT FIFTY-THREE ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 5th day of October, 1987, in Nash County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to Ed W. Vann a certain security to wit: a partnership interest in Crystal Lake FM Partnership without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT FIFTY-FOUR /

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 5th day of October, 1987, in Nash County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to Ed W. Vann a certain security, to wit: a partnership interest in Crystal Lake FM Partnership without

having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT FIFTY-FIVE ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 14th day of October, 1987, in Nash County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to Larry G. Vick a certain security to wit: a partnership interest in Crystal Lake FM Partnership without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT FIFTY-SIX ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 14th day of October, 1987, in Nash County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to Larry G. Vick a certain security, to wit: a partnership interest in Crystal Lake FM Partnership without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT FIFTY-SEVEN ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 30th day of December, 1986, in Nash County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to Thomas Ward a certain security to wit: a partnership interest in Honolulu FM Associates without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT FIFTY-EIGHT ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 30th day of December, 1986, in Nash County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to Thomas Ward a certain security, to wit: a partnership interest in Honolulu FM Associates without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT FIFTY-NINE ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 9th day of November, 1986, in Wilson County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to Hugh B. Bryan a certain security to wit: a partnership interest in Holmes Beach FM Partnership without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT SIXTY ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 9th day of November, 1986, in Wilson County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to Hugh B. Bryan a certain security, to wit: a partnership interest in Holmes Beach FM Partnership without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT SIXTY-ONE ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 6th day of June, 1988, in Wilson County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to Theodore R. Ellis a certain security to wit: a partnership interest in Diaz Partnership without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT SIXTY-TWO ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 6th day of June, 1988, in Wilson County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to Theodore R. Ellis a certain security, to wit: a partnership interest in Diaz Partnership without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT SIXTY-THREE ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 10th day of November, 1986, in Wilson County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to Larry E. Etheridge a certain security to wit: a partnership interest in Holmes Beach FM Partnership without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT SIXTY-FOUR ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 10th day of November, 1986, in Wilson County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to Larry E. Etheridge a certain security, to wit: a partnership interest in Holmes Beach FM Partnership without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT SIXTY-FIVE ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 10th day of November, 1986, in Wilson County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to Edward A. Fulford a certain security to wit: a partnership interest in Holmes Beach FM Partnership without having

registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT SIXTY-SIX ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 10th day of November, 1986, in Wilson County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to Edward A. Fulford a certain security, to wit: a partnership interest in Holmes Beach FM Partnership without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT SIXTY-SEVEN ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 11th day of March, 1988, in Wilson County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to Howard Jones a certain security to wit: a partnership interest in Barco FM Partnership without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT SIXTY-EIGHT ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 11th day of March, 1988, in Wilson County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to Howard Jones a certain security, to wit: a partnership interest in Barco FM Partnership without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT SIXTY-NINE ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 12th day of September, 1987, in Wilson County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to Lucinda B. Rackley a certain security to wit: a partnership interest in Cibola Radio Partnership without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT SEVENTY ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 12th day of September, 1987, in Wilson County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to Lucinda B. Rackley a certain security, to wit: a partnership interest in Cibola Radio Partnership without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT SEVENTY-ONE ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 22nd day of June, 1987, in Wilson County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to Lucinda B. Rackley a certain security to wit: a partnership interest in Southern Radio Partnership without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT SEVENTY-TWO ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 22nd day of June, 1987, in Wilson County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to Lucinda B. Rackley a certain security, to wit: a partnership interest in Southern Radio Partnership without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT SEVENTY-THREE ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 1st day of December, 1986, in Nash County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to John R. Buchanan a certain security to wit: a partnership interest in Louisburg FM Radio Associates without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT SEVENTY-FOUR ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 1st day of December, 1986, in Nash County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to John R. Buchanan a certain security, to wit: a partnership interest in Louisburg FM Radio Associates without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT SEVENTY-FIVE ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 22nd day of December, 1986, in Nash County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to Alfred L. Wester a certain security to wit: a partnership interest in Honolulu FM Associates without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT SEVENTY-SIX ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 22nd day of December, 1986, in Nash County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to

Alfred L. Wester a certain security, to wit: a partnership interest in Honolulu FM Associates without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT SEVENTY-SEVEN ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 26th day of June, 1987, in Nash County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to Curtis and Williams Enterprises a certain security to wit: a partnership interest in Biltmore Broadcast Associates without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT SEVENTY-EIGHT ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 26th day of June, 1987, in Nash County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to Curtis and Williams Enterprises a certain security, to wit: a partnership interest in Biltmore Broadcast Associates without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT SEVENTY-NINE ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 13th day of March, 1987, in Guilford County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to William E. Beasley a certain security to wit: a partnership interest in Belle Chase Radio Group without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT EIGHTY ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 13th day of March, 1987, in Guilford County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to William E. Beasley a certain security, to wit: a partnership interest in Belle Chase Radio Group without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT EIGHTY-ONE ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 9th day of June, 1987, in Guilford County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to William E. Beasley a certain security to wit: a partnership interest in Radio Franklin Partnership without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT EIGHTY-TWO ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 9th day of June, 1987, in Guilford County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to William E. Beasley a certain security, to wit: a partnership interest in Radio Franklin Partnership without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT EIGHTY-THREE ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 27th day of November, 1986, in Lenoir County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to William B. Darden a certain security to wit: a partnership interest in Lookout Mountain Regional Partnership without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT EIGHTY-FOUR ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 27th day of November, 1986, in Lenoir County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to William B. Darden a certain security, to wit: a partnership interest in Lookout Mountain Regional Partnership without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT EIGHTY-FIVE ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 3rd day of June, 1987, in Craven County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to Mack L. Freeze a certain security to wit: a partnership interest in East Carolina Radio Association without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT EIGHTY-SIX ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 3rd day of June, 1987, in Craven County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to Mack L. Freeze a certain security, to wit: a partnership interest in East Carolina Radio Association without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT EIGHTY-SEVEN ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 1st day of November, 1986, in Sampson County, Thomas L. Root, did

unlawfully, willfully and feloniously offer and sell to Frank O. Puryear a certain security to wit: a partnership interest in Trinity FM Partnership without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT EIGHTY-EIGHT ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 1st day of November, 1986, in Sampson County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to Frank O. Puryear a certain security, to wit: a partnership interest in Trinity FM Partnership without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT EIGHTY-NINE ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 14th day of July, 1986, in Sampson County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to James W. Weeks a certain security to wit: a partnership interest in Timothy FM Partnership without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT NINETY ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 14th day of July, 1986, in Sampson County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to James W. Weeks a certain security, to wit: a partnership interest in Timothy FM Partnership without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT NINETY-ONE ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 7th day of November, 1986, in McDowell County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to John B. Walker, Jr. a certain security to wit: a partnership interest in Tennessee Radio Partnership without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT NINETY-TWO ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 7th day of November, 1986, in McDowell County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to John B. Walker, Jr. a certain security, to wit: a partnership interest in Tennessee Radio Partnership without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT NINETY-THREE ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 24th day of June, 1987, in McDowell County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to John B. Walker, Jr. a certain security to wit: a partnership interest in Southern Radio Partnership without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT NINETY-FOUR ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 24th day of June, 1987, in McDowell County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to John B. Walker, Jr. a certain security, to wit: a partnership interest in Southern Radio Partnership without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT NINETY-FIVE ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 27th day of June, 1987, in McDowell County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to John B. Walker, Jr. a certain security to wit: a partnership interest in Panhandle Radio Associates without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT NINETY-SIX ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 27th day of June, 1987, in McDowell County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to John B. Walker, Jr. a certain security, to wit: a partnership interest in Panhandle Radio Associates without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT NINETY-SEVEN ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 6th day of October, 1986, in McDowell County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to John B. Walker, Jr. a certain security to wit: a partnership interest in Murrell's Inlet Radio Partnership without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT NINETY-EIGHT ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 6th day of October, 1986, in McDowell County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to

49 John B. Walker, Jr. a certain security, to wit: a partnership interest in Murrell's Inlet Radio Partnership without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT NINETY-NINE ✓ 90-CR2-9156

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 22nd day of October, 1986, in Sampson County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to Millard T. Owen a certain security to wit: a partnership interest in Albuquerque Broadcast Associates without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT ONE HUNDRED ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 22nd day of October, 1986, in Sampson County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to Millard T. Owen a certain security, to wit: a partnership interest in Albuquerque Broadcast Associates without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT ONE HUNDRED AND ONE ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 28th day of July, 1986, in Sampson County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to Millard T. Owen a certain security to wit: a partnership interest in Timothy FM Partnership without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT ONE HUNDRED AND TWO ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 28th day of July, 1986, in Sampson County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to Millard T. Owen a certain security, to wit: a partnership interest in Timothy FM Partnership without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT ONE HUNDRED AND THREE ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 12th day of December, 1986, in Forsyth County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to Charles L. James a certain security to wit: a partnership interest in Ringgold FM Partnership without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT ONE HUNDRED AND FOUR ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 12th day of December, 1986, in Forsyth County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to Charles L. James a certain security, to wit: a partnership interest in Ringgold FM Partnership without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT ONE HUNDRED AND FIVE ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 2nd day of February, 1987, in Forsyth County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to Charles L. James a certain security to wit: a partnership interest in Ocean Pines FM Partnership without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNTY ONE HUNDRED AND SIX ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 2nd day of February, 1987, in Forsyth County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to Charles L. James a certain security, to wit: a partnership interest in Ocean Pines FM Partnership without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT ONE HUNDRED AND SEVEN ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 24th day of February, 1987, in Forsyth County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to Charles L. James a certain security to wit: a partnership interest in Twin City Radio Partnership without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT ONE HUNDRED AND EIGHT ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 24th day of February, 1987, in Forsyth County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to Charles L. James a certain security, to wit: a partnership interest in Twin City Radio Partnership without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT ONE HUNDRED AND NINE ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 5th day of June, 1987, in Forsyth County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to Charles L. James a certain security to wit: a

partnership interest in Sun Coast Communications without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT ONE HUNDRED AND TEN ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 5th day of June, 1987, in Forsyth County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to Charles L. James a certain security, to wit: a partnership interest in Sun Coast Communications without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT ONE HUNDRED AND ELEVEN ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 20th day of January, 1987, in Guilford County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to Robert L. Groat a certain security to wit: a partnership interest in Louisburg FM Radio Associates without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT ONE HUNDRED AND TWELVE ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 20th day of January, 1987, in Guilford County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to Robert L. Groat a certain security, to wit: a partnership interest in Louisburg FM Radio Associates without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT ONE HUNDRED AND THIRTEEN ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 20th day of January, 1987, in Guilford County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to Robert L. Groat a certain security to wit: a partnership interest in Shreveport Radio Association without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT ONE HUNDRED AND FOURTEEN ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 20th day of January, 1987, in Guilford County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to Robert L. Groat a certain security, to wit: a partnership interest in Shreveport Radio Association without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT ONE HUNDRED AND FIFTEEN ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 9th day of February, 1987, in Guilford County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to Robert L. Groat a certain security to wit: a partnership interest in Brunswick Radio Associates without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT ONE HUNDRED AND SIXTEEN ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 9th day of February, 1987, in Guilford County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to Robert L. Groat a certain security, to wit: a partnership interest in Brunswick Radio Associates without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT ONE HUNDRED AND SEVENTEEN ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 9th day of February, 1987, in Guilford County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to Robert L. Groat a certain security to wit: a partnership interest in Twin City Radio Partnership without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT ONE HUNDRED AND EIGHTEEN ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 9th day of February, 1987, in Guilford County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to Robert L. Groat a certain security, to wit: a partnership interest in Twin City Radio Partnership without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT ONE HUNDRED AND NINETEEN ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 25th day of February, 1987, in Guilford County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to Robert L. Groat a certain security to wit: a partnership interest in Swanton Radio Associates without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT ONE HUNDRED AND TWENTY ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 25th day of February, 1987, in Guilford County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to Robert L. Groat a certain security, to wit: a partnership interest in Swanton Radio

Associates without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT ONE HUNDRED AND TWENTY-ONE ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 2nd day of April, 1987, in Guilford County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to Robert L. Groat a certain security to wit: a partnership interest in Sedona FM Broadcast Associates without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT ONE HUNDRED AND TWENTY-TWO ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 2nd day of April, 1987, in Guilford County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to Robert L. Groat a certain security, to wit: a partnership interest in Sedona FM Broadcast Associates without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT ONE HUNDRED AND TWENTY-THREE ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 11th day of March, 1987, in Guilford County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to Robert L. Groat a certain security to wit: a partnership interest in Florida FM Partnership without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT ONE HUNDRED AND TWENTY-FOUR ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 11th day of March, 1987, in Guilford County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to Robert L. Groat a certain security, to wit: a partnership interest in Florida FM Partnership without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT ONE HUNDRED AND TWENTY-FIVE ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 2nd day of April, 1987, in Guilford County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to Robert L. Groat a certain security to wit: a partnership interest in Crawford FM Broadcast Associates without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT ONE HUNDRED AND TWENTY-SIX ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 2nd day of April, 1987, in Guilford County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to Robert L. Groat a certain security, to wit: a partnership interest in Crawford FM Broadcast Associates without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT ONE HUNDRED AND TWENTY-SEVEN ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 11th day of April, 1987, in Guilford County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to Robert L. Groat a certain security to wit: a partnership interest in Holy Hands FM Partnership without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT ONE HUNDRED AND TWENTY-EIGHT ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 11th day of April, 1987, in Guilford County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to Robert L. Groat a certain security, to wit: a partnership interest in Holy Hands FM Partnership without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT ONE HUNDRED AND TWENTY-NINE ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 11th day of May, 1987, in Guilford County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to Robert L. Groat a certain security to wit: a partnership interest in Canal Association without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT ONE HUNDRED AND THIRTY ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 11th day of May, 1987, in Guilford County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to Robert L. Groat a certain security, to wit: a partnership interest in Canal Association without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT ONE HUNDRED AND THIRTY-ONE ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 27th day of May, 1987, in Guilford County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to Robert L. Groat a certain security

to wit: a partnership interest in East Carolina Radio Association without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT ONE HUNDRED AND THIRTY-TWO ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 27th day of May, 1987, in Guilford County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to Robert L. Groat a certain security, to wit: a partnership interest in East Carolina Radio Association without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT ONE HUNDRED AND THIRTY-THREE ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 29th day of June, 1987, in Guilford County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to Robert L. Groat a certain security to wit: a partnership interest in Biltmore Broadcast Associates without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT ONE HUNDRED AND THIRTY-FOUR ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 29th day of June, 1987, in Guilford County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to Robert L. Groat a certain security, to wit: a partnership interest in Biltmore Broadcast Associates without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT ONE HUNDRED AND THIRTY-FIVE ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 21st day of July, 1987, in Guilford County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to Robert L. Groat a certain security to wit: a partnership interest in West Tennessee Broadcast Partnership without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT ONE HUNDRED AND THIRTY-SIX ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 21st day of July, 1987, in Guilford County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to Robert L. Groat a certain security, to wit: a partnership interest in West Tennessee Broadcast Partnership without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT ONE HUNDRED AND THIRTY-SEVEN

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 21st day of July, 1987, in Guilford County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to Robert L. Groat a certain security to wit: a partnership interest in Southwest Texas FM Partnership without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT ONE HUNDRED AND THIRTY-EIGHT ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 21st day of July, 1987, in Guilford County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to Robert L. Groat a certain security, to wit: a partnership interest in Southwest Texas FM Partnership without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT ONE HUNDRED AND THIRTY-NINE ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 11th day of August, 1987, in Guilford County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to Robert L. Groat a certain security to wit: a partnership interest in Plains FM Partnership without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT ONE HUNDRED AND FORTY ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 11th day of August, 1987, in Guilford County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to Robert L. Groat a certain security, to wit: a partnership interest in Plains FM Partnership without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT ONE HUNDRED AND FORTY-ONE ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 26th day of August, 1987, in Guilford County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to Robert L. Groat a certain security to wit: a partnership interest in Gulf Shore Radio Associates without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT ONE HUNDRED AND FORTY-TWO ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 26th day of August, 1987, in Guilford County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to Robert L. Groat a certain security, to wit: a partnership interest in Gulf Shore Radio

Associates without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT ONE HUNDRED AND FORTY-THREE ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 16th day of September, 1987, in Guilford County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to Robert L. Groat a certain security to wit: a partnership interest in Citrus Radio Associates without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT ONE HUNDRED AND FORTY-FOUR ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 16th day of September, 1987, in Guilford County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to Robert L. Groat a certain security, to wit: a partnership interest in Citrus Radio Associates without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT ONE HUNDRED AND FORTY-FIVE ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 22nd day of October, 1987, in Guilford County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to Robert L. Groat a certain security to wit: a partnership interest in Missouri Broadcast Partners without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT ONE HUNDRED AND FORTY-SIX ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 22nd day of October, 1987, in Guilford County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to Robert L. Groat a certain security, to wit: a partnership interest in Missouri Broadcast Partners without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT ONE HUNDRED AND FORTY-SEVEN ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 22nd day of October, 1987, in Guilford County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to Robert L. Groat a certain security to wit: a partnership interest in Lake Maumelle FM Associates without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

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✓ COUNT ONE HUNDRED AND FORTY-EIGHT ✓ 90-CRJ-9157

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 22nd day of October, 1987, in Guilford County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to Robert L. Groat a certain security, to wit: a partnership interest in Lake Maumelle FM Associates without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT ONE HUNDRED AND FORTY-NINE ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 27th day of October, 1987, in Guilford County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to Robert L. Groat a certain security to wit: a partnership interest in Greater Memphis Broadcast Partnership without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT ONE HUNDRED AND FIFTY ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 27th day of October, 1987, in Guilford County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to Robert L. Groat a certain security, to wit: a partnership interest in Greater Memphis Broadcast Partnership without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT ONE HUNDRED AND FIFTY-ONE ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 27th day of October, 1987, in Guilford County, Thomas L. Root, did unlawfully, willfully and feloniously offer and sell to Robert L. Groat a certain security to wit: a partnership interest in Crystal Lake FM Partnership without having registered said security with the Office of the Secretary of State of North Carolina, as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-24.

✓ COUNT ONE HUNDRED AND FIFTY-TWO ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 27th day of October, 1987, in Guilford County, Thomas L. Root, did unlawfully, willfully and feloniously transact business in North Carolina by selling to Robert L. Groat a certain security, to wit: a partnership interest in Crystal Lake FM Partnership without having registered as a securities salesman with the Office of the Secretary of State as required by the North Carolina Securities Act, in violation of N.C.G.S. 78A-36.

✓ COUNT ONE HUNDRED AND FIFTY-THREE ✓

THE JURORS FOR THE STATE UPON THEIR OATH PRESENT that on or about the 30th day of November, 1987, in Guilford County, Thomas L. Root, did