Annual 47 C.F.R. § 64.2009(e) CPNI Certification

EB Docket 06-36

Annual 64.2009(e) CPNI Certification for 2015 covering the prior calendar year 2014

1. Date filed: February 26, 2015
2. Name of company covered by this certification: Sweetwater Cable Television Co.
3. Form 499 Filer ID: 826958
4. Name of signatory: Marty Carollo
5. Title of signatory: Secretary
6. Certification:

I, Marty Carollo, certify that I am Secretary of Sweetwater Cable Television Co. ("Company"), and, acting as an officer and agent of the Company, that I have personal knowledge that the Company has established operating procedures, as summarized in the attached statement, that are adequate to ensure compliance with the customer proprietary network information ("CPNI") rules as set forth in Part 64, Subpart U of the Commission's rules, 47 C.F.R. §§ 64.2001 *et seq*.

Attached to this certification is an accompanying statement explaining how the Company's procedures ensure that the Company is in compliance with the requirements (including those mandating the adoption of CPNI procedures, training, recordkeeping, and supervisory review) set forth in section 64.2001 *et seq.* of the Commission's rules.

The Company has not received any customer complaints in the past calendar year concerning unauthorized release of CPNI. The Company does not have any material information with respect to the processes pretexters are using to attempt to access CPNI that is not already a part of the record in the Commission's CC Docket No. 96-115. The Company has therefore not taken any actions in the past year against data brokers, including proceedings instituted or petitions filed by the company at either state commissions, the court system or at the Commission.

I hereby represent and warrant that the above certification is consistent with Section 1.17 of the Commission's rules, 47 C.F.R. §1.17, which requires truthful and accurate statements to the Commission, and acknowledge that false statements and misrepresentations to the Commission are punishable under Title 18 of the U.S. Code and may subject the Company to enforcement actions.

Marty Carollo
Secretary
Sweetwater Cable Television Co.
Executed February 26, 2015

CPNI Compliance Policies of Sweetwater Cable Television Co.

The following summary describes the policies of Sweetwater Cable Television Co. (also doing business as Green River Cable Television Co.) ("Sweetwater") that are designed to protect the confidentiality of Customer Proprietary Network Information ("CPNI") and to assure compliance with the rules of the Federal Communications Commission ("FCC") set forth in 47 C.F.R. Part 64, Subpart U, Section 2001 *et seq*. These policies are administered by Sweetwater's CPNI Compliance Manager, Marty Carollo.

CPNI is "(A) information that relates to the quantity, technical configuration, type, destination, location, and amount of use of a telecommunications service subscribed to by any customer of a telecommunications carrier, and that is made available to the carrier by the customer solely by virtue of the carrier-customer relationship; and (B) information contained in the bills pertaining to telephone exchange service or telephone toll service received by a customer of a carrier."

I. USE, DISCLOSURE OF, AND ACCESS TO CPNI

Sweetwater will use, disclose, or permit access to CPNI only in its provision of the communications service from which such information is derived; for services necessary to, or used in, the provision of such communications service, including the publishing of directories; to initiate, render, bill and collect for communications services; to protect the rights or property of Sweetwater, or to protect users or other carriers or service providers from fraudulent, abusive or unlawful use of, or subscription to, such services; to provide inside wiring installation, maintenance, or repair services; as required by law; or as expressly authorized by the customer.

Sweetwater does not use CPNI to market service offerings among the different categories of service, or even within the same category of service, that it provides to subscribers. Sweetwater's marketing department does not have access to customer's CPNI. Although current Sweetwater policy is not to use CPNI in marketing, in the event that any employee or agent wishes to use CPNI in such marketing or to seek customer approval for such use, such proposed use is subject to a supervisory review process that shall involve the CPNI Compliance Manager. If such use is approved, Sweetwater shall modify these policies and conduct additional training as needed to assure compliance with the FCC's rules.

Sweetwater does not use, disclose or permit access to CPNI to identify or track customers that call competing service providers.

When Sweetwater receives or obtains proprietary information from another carrier for purposes of providing a telecommunications service, it shall use such information only for such purpose, and shall not use such information for its own marketing efforts.

II. SAFEGUARDS AGAINST DISCLOSURE OF CPNI TO UNAUTHORIZED PARTIES

Above and beyond the specific FCC requirements, Sweetwater will take reasonable measures to discover and protect against attempts to gain unauthorized access to CPNI. If any employee

becomes aware of new methods that are being used or could be used by third parties to attempt to obtain unauthorized access to CPNI, or of possible changes to Sweetwater's existing policies that would strengthen protection of CPNI, they should report such information immediately to Sweetwater's CPNI Compliance Manager so that Sweetwater may evaluate whether existing policies should be supplemented or changed.

A. Inbound Calls to Sweetwater Requesting CPNI

Sweetwater does not disclose CPNI to an inbound caller unless the caller has been authenticated by correctly providing requested identifying information. The degree of authentication required may vary based upon the sensitivity of the information requested by the caller.

Notwithstanding the foregoing, Sweetwater does not disclose Call Detail Information (CDI) to inbound callers. CDI is a subset of CPNI that includes any information that pertains to the transmission of specific telephone calls, including, for outbound calls, the number called, and the time, location, or duration of any call and, for inbound calls, the number from which the call was placed, and the time, location, or duration of any call.

If a caller requests CDI, Sweetwater personnel will ordinarily first encourage the caller to obtain the requested information from the customer's password-protected online account, as described below. Sweetwater may also provide CDI by sending the information by mail to a mailing address of record for the account, but only if such address has been on file with Sweetwater for at least thirty (30) days; or in a call initiated by Sweetwater and placed to the customer's telephone number of record.

If an inbound caller is able to provide to a Sweetwater employee the telephone number called, when it was called, and, if applicable, the amount charged for the call, exactly as that information appears on the bill or online portal, then Sweetwater is permitted to discuss customer service pertaining to that call and that call only.

B. Online Accounts

When a Customer orders telephone service, which they can only do in-person at a Company office, they are required to choose a primary Sweetwater email address (in the form NAME@sweetwaterhsa.com) and a password. The first time that a customer seeks to access Sweetwater's online VoiSelect Portal that provides access to CPNI, they must enter their primary Sweetwater email address and their password. At any time after logging in by correctly entering this information, they have the option to change their email address of record and/or the password associated with the VoiSelect Portal.

Customers are advised not to choose a password that consists of any material portion of the customer's account number, telephone number, name, street address, zip code, social security number, date of birth, or other biographical or account information, or easily-guessed patterns of numbers or letters, such as "password" or "1234."

If a customer forgets the password they have established for online access, they are given the option to have a new password emailed to their email address of record. Alternatively, they may come to a Sweetwater retail office to receive a new password upon presentation of a valid, non-

expired government-issued photo ID (such as a driver's license, passport, or comparable ID) matching the customer's account information.

If there are 3 or more failed attempts at access to an online account without an intervening successful login, the account will be locked to protect it from serial access attempts by an unauthorized person. To unlock the account, the customer must contact Company to request that a new temporary password be sent to their address of record that has been on file with Sweetwater for at least 30 days. If Company receives an unusual number of requests to unlock an account, it may contact the customer at their telephone number or address of record for verification.

C. In-Person Disclosure of CPNI at Sweetwater Offices

Sweetwater may disclose a customer's CPNI to an authorized person visiting a Sweetwater office upon verifying that person's identity through a valid, non-expired government-issued photo ID (such as a driver's license, passport, or comparable ID) matching the customer's account information.

D. Notice of Account Changes

When an address of record, online password is created or changed, or when an online access account is access for the first time, Sweetwater will send a notice to customer's preexisting address of record. These notifications are not required when the customer initiates service. The notice will not reveal the changed information and will direct the customer to notify Sweetwater immediately if they did not authorize the change.

E. Additional Safeguards

Paper and CD records that include customer log-in information and CDI are stored in locked enclosures accessible only to authorized employees.

III. REPORTING CPNI BREACHES TO LAW ENFORCEMENT

Federal law imposes very specific requirements upon Sweetwater in the event that we become aware of any breach of customer CPNI. A breach includes any instance in which any person has intentionally gained access to, used, or disclosed a Sweetwater customer's CPNI beyond their authorization to do so. Any Sweetwater employee that becomes aware of any breaches, suspected breaches or attempted breaches must report such information immediately to the Sweetwater CPNI Compliance Manager, and such information must not be reported or disclosed by any employee to any non-employee, including the potentially affected customer, except in express conformance with the procedures described below. Any employee that fails to report such information will be subject to disciplinary action that may include termination.

It is Sweetwater's policy that employees should not be discouraged from reporting information about breaches that may have been caused in part by their own actions or omissions. Once a breach has occurred, the most important objective is to attempt to limit the damage to our customers, make any adjustments as needed to prevent a recurrence of the breach, and to alert

law enforcement promptly. Therefore, although employees who violate Sweetwater's CPNI compliance procedures are subject to discipline, the sanctions may be substantially reduced where employees promptly self-report violations if appropriate.

A. Identifying a "Breach"

A "breach" has occurred when a person, without authorization or exceeding authorization, has intentionally gained access to, used, or disclosed CPNI. If an employee has information about an incident and is not certain that the incident would not constitute a breach under this definition, the incident must be reported to the CPNI Compliance Manager.

If a Sweetwater employee determines that an unauthorized person is attempting to gain access to CPNI but does not succeed at doing so, no breach has occurred. However, the incident must be reported to Sweetwater's CPNI Compliance Manager who will determine whether to report the incident to law enforcement and/or take other appropriate action. Sweetwater's Compliance Manager will determine whether it is appropriate to update Sweetwater's CPNI policies or training materials in light of any new information; the FCC's rules require Sweetwater on an ongoing basis to "take reasonable measures to discover and protect against activity that is indicative of pretexting."

B. Notification Procedures

As soon as practicable, and in no event later than seven (7) business days upon learning of a breach, the Sweetwater CPNI Compliance Manager shall electronically notify the United States Secret Service (USSS) and the Federal Bureau of Investigation (FBI) by accessing the following link: https://www.cpnireporting.gov. Company's FRN number and password may be required to submit a report. If this link is not responsive, they should contact counsel or the FCC's Enforcement Bureau (202-418-7450 or http://www.fcc.gov/eb/cpni) for instructions.

Sweetwater will not under any circumstances notify customers or disclose a breach to the public until seven (7) full business days have passed after notification to the USSS and the FBI except as provided below (a full business day does not count a business day on which the notice was provided). Federal law requires compliance with this requirement even if state law requires disclosure.

If Sweetwater receives no response from law enforcement after the seventh (7th) full business day, it must promptly proceed to inform the customers whose CPNI was disclosed of the breach. Sweetwater will delay notification to customers or the public upon request of the FBI or USSS. If the Sweetwater CPNI Compliance Manager believes there is a need to disclose a breach sooner, he or she should so indicate in the notification to law enforcement. However, such notification does not itself permit disclosure; Sweetwater still may not notify customers sooner unless given clearance to do so from both the USSS and the FBI.

IV. RECORD RETENTION

The Sweetwater CPNI Compliance Manager is responsible for assuring that we maintain for at least two years a record, electronically or in some other manner, of any breaches discovered,

notifications made to the USSS and the FBI pursuant to these procedures, and notifications of breaches made to customers. The record must include, if available, dates of discovery and notification, a detailed description of the CPNI that was the subject of the breach, and the circumstances of the breach.

Sweetwater maintains a record, for a period of at least one year, of: those limited circumstances in which CPNI is disclosed or provided to third parties, or where third parties were allowed access to CPNI. If Sweetwater later begins to use CPNI for marketing, it will also keep a record for a period of at least one year, of supervisory review of marketing that proposes to use CPNI or to request customer approval to use or disclose CPNI; its sales and marketing campaigns that use its customers' CPNI, including a description of each campaign, the specific CPNI that was used in the campaign, and the products and services offered as a part of the campaign; and records associated with customers' approval or non-approval to use CPNI, and of notification to customers prior to any solicitation for customer approval of the customer's right to restrict use of, disclosure of, and access to that customer's CPNI.

Sweetwater maintains a record of all customer complaints related to their handling of CPNI, and records of Sweetwater's handling of such complaints, for at least two years. The CPNI Compliance Manager will assure that all complaints are reviewed and that Sweetwater considers any necessary changes to its policies or practices to address the concerns raised by such complaints.

Sweetwater will have an authorized corporate officer, as an agent of the company, sign a compliance certificate on an annual basis stating that the officer has personal knowledge that Sweetwater has established operating procedures that are adequate to ensure its compliance with FCC's CPNI rules. The certificate for each year will be filed with the FCC Enforcement Bureau in EB Docket No. 06-36 by March 1 of the subsequent year, and will be accompanied by a summary or copy of this policy that explains how Sweetwater's operating procedures ensure that it is in compliance with the FCC's CPNI rules. In addition, the filing must include an explanation of any actions taken against data brokers and a summary of all customer complaints received in the past year concerning the unauthorized release of CPNI. Confidential portions of these submissions shall be redacted from the public version of the filing and provided only to the FCC.

V. TRAINING

All employees with access to CPNI receive training in the appropriate handling and safeguard of customer information. In addition, Company requires CPNI training for all CSRs, personnel at retail offices that may receive requests for CPNI, and marketing personnel, and all employees with access to CPNI receive a copy of Company's CPNI policies and are informed that (i) any use or disclosure of CPNI or other act or omission not in compliance with such policies will result in disciplinary action, including the termination of employment where appropriate, and (ii) employees who knowingly facilitate the unauthorized disclosure of a customer's confidential information may be subject to criminal penalties. The CSR training emphasizes, among other points, that CSRs be cognizant that some unauthorized persons may have significant apparent familiarity with a customer's biographical and account information.