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ADMINISTRATIVE OFFICES
275 East Central Avenue
Pearl River, New York 10965-2799
Phone: 845-620-6884 - Fax: 845-620-3934
www.pearlriver.k12.ny.us

RECEIVED

Ben R. Carosi
Information System Manager
And Treasurer

April 27, 2001

APR 30 2001

FCC MAIL ROOM

Federal Communications Commission
Office of the Secretary
445 12th Street, S.W.
Room TW-A325
Washington, DC 20554

Re: CC Docket Nos. 96-45 and 97-21
Form 471 Application Number 206406
Form 470 Application Number: 298900000285951
Applicant's Form Identifier: WST1

Gentlemen:

We are asking that you reconsider for approval the above referenced application. The original Form 470 and Form 471 applications were filed under the directions of Schools and Library Division (SLD). The attached letter details the specifics of that filing.

SLD, through its instructions for filing Form 471, led us to believe that we were filing within appropriate time frame. During the many conversations with SLD technical people, the deadline for submitting a Funding Year Two application was never disclosed. In fact we relied on the information given to us and filed with the belief that funding for this project would be approved.

Addressing the issue of filing outside the "window", the Pearl River School District as well as all of the public school districts within New York State, have to conform to a myriad of New York State Education Department (NYSED) regulations. These regulations require specific actions at appropriate intervals of time depending on the project and how it is funded. Here is a summary of this project.

The Board of Education of the Pearl River School District decided that this project was to be funded by issuance of Bonds and therefore required voter approval. The voters of

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List A B C D E

Pearl River School District approved this bond on May 18, 1999. The District hired the architect to plan the project and submit it to NYSED. Approval from NYSED was finally received in April 2000. The district issued the bond and received the proceeds in May 2000. While waiting for full NYSED approval, regulations allowed us to proceed with part of the proposed project. The limitations imposed are strict spending levels per building site. The district applied these rules accordingly and implementation began in fall of 1999. Specifically some of technology parts that connected the Wide Area Network i.e. the subject of these funding request (FRN No. 476117 and FRN No. 476142).

This summary illustrates the inequity of the SLD window. By NYSED regulations the earliest starting time for this project was April 2000. However, by SLD rules the window closed by end January 2000. We believe our district is being penalized for conforming to the SLD instructions and strict adherence to NYSED regulations. In order to meet all regulations, this project would have to have been approved by the voters a full year earlier i.e. May 1998. Our district administrators are fiscally prudent and asking our voters to approve bond projects nearly two years ahead of time is at best unacceptable and at worst detrimental to our students who are the ultimate beneficiaries of the technology we implement.

Thank you for your consideration.

Sincerely,



Enc.

- 1) Letter to SLD dated Oct. 13,2000
- 2) Letter to SLD dated March 2, 2001
- 3) Form 470
- 4) Form 471
- 5) Form 471 Certification and attachments
- 6) SLD Acknowledgement letter dated Oct. 30, 2000
- 7) SLD Administrator's Decision on Appeal letter dated March 30, 2001

Schools and Libraries Universal Service Description of Services Requested and Certification Form 470

Estimated Average Burden Hours Per Response: 4 hours

This form is designed to help you describe the eligible telecommunications-related services you seek so that this data can be posted on the Fund Administrator Web Site and interested service providers can identify you as a potential customer and compete to serve you.

Please read instructions before beginning this application. (You can also file online at www.sl.universalservice.org)

Applicant's Form Identifier: W S T 1
(Create your own code to identify THIS Form 470)



Block 1: Applicant Address and Identifications

| | | |
|---|--|---|
| 1 Name of Applicant (30 characters max.) <u>Pearl River School District</u> | | |
| 2 Funding Year: July 1, <u>1999</u> through June 30, <u>2000</u> | 3 Your Entity Number (up to 10 digits) <u>123751</u> | |
| 4a Street Address, P.O. Box, or Route Number | <u>275 E. Central Ave.</u> | |
| City <u>Pearl River</u> | State <u>NY</u> | Zip Code <u>10965 -2730</u> |
| b Telephone Number (10 digits + ext.) | <u>(914) 620-3999 ext.</u> | |
| c Fax Number (10 digits) | <u>(914) 620-3924</u> | |
| d E-mail Address (50 characters max.) | | |
| 5 Type of Applicant | | |
| <input type="checkbox"/> | Library | (including library system, library branch, or library consortium applying as a library) |
| <input type="checkbox"/> | Individual School | (individual public or non-public school) |
| <input checked="" type="checkbox"/> | School District | (LEA; public or non-public [e.g., diocesan] local district representing multiple schools) |
| <input type="checkbox"/> | Consortium | (intermediate service agencies, states, state networks, special consortia) |

6a Contact Person's Name Mr. David Pritchett

First, fill in every item of the Contact Person's information below that is different from Item 4, above. Then check the box next to the preferred mode of contact. (At least one box MUST be checked.)

| | | |
|---------------------------------------|---|---------------------------|
| b <input type="checkbox"/> | Street Address, P.O. Box, or Route Number | |
| | City | State Zip Code |
| c <input type="checkbox"/> | Telephone Number (10 digits + ext.) | <u>() - - - - - ext.</u> |
| d <input checked="" type="checkbox"/> | Fax Number (10 digits) | <u>014) 620 3934</u> |
| e <input type="checkbox"/> | E-mail Address (50 characters max.) | |

Block 2: Summary Description of Needs or Services Requested

7 This Form 470 describes (check all that apply):

- a Tariffed services – telecommunications services, purchased at regulated prices, for which the applicant has no signed, written contract. A new Form 470 must be filed for tariffed services for each funding year.
- b Month-to-month services for which the applicant has no signed, written contract. A new Form 470 must be filed for these services for each funding year.
- c Services for which a new written contract is sought for the funding year in Item 2.
- d A multi-year contract signed on or before 7/10/97 but for which no Form 470 has been filed in a previous program year.

NOTE: Services that are covered by a signed, written contract executed pursuant to posting of a Form 470 in a previous program year OR a contract signed on/before 7/10/97 and reported on a Form 470 in a previous year as an existing contract do NOT require filing of a Form 470.

Entity Number 123751 Applicant's Form Identifier W S T 1
 Contact Person Mr. David Pritchett Phone Number 914-620-3999

What kinds of service are you seeking: Telecommunications Services, Internet Access, or Internal Connections?
 Refer to the Eligible Services List at www.sl.universalservice.org for examples. Check the relevant category or categories (8, 9, and/or 10 below), and answer the questions in each category you select.

8 Telecommunications Services

Do you have a Request for Proposal (RFP) that specifies the services you are seeking?

- a YES, I have an RFP. It is available on the Web at _____
 or via (check one) _____ the Contact Person in Item 6 or _____ the contact listed in Item 11.

b NO, I do not have an RFP for these services.

If you answered NO, you must list below the Telecommunications Services you seek. Specify each **service or function** (e.g., local voice service) and quantity and/or capacity (e.g., 20 existing lines plus 10 new ones). See the Eligible Services List at www.sl.universalservice.org for examples of eligible Telecommunications Services, and remember that only common carrier telecommunications companies can provide these services under the universal service support mechanism. Add additional pages if needed.

| Service or Function | Quantity and/or Capacity |
|---------------------|--------------------------|
| T-1 lines | 5 |
| 56 kb | 1 |
| | |
| | |
| | |

9 Internet Access

Do you have a Request for Proposal (RFP) that specifies the services you are seeking?

- a YES, I have an RFP. It is available on the Web at _____
 or via (check one) _____ the Contact Person in Item 6 or _____ the contact listed in Item 11, below.

b NO, I do not have an RFP for these services.

If you answered NO, you must list below the Internet Access services you seek. Specify each service or function (e.g., monthly Internet service) and quantity and/or capacity (e.g., for 500 users). See the Eligible Services List at www.sl.universalservice.org for examples of eligible Internet Access services. Add additional pages if needed.

| Service or Function | Quantity and/or Capacity |
|---------------------|--------------------------|
| | |
| | |
| | |
| | |
| | |

10 Internal Connections

Do you have a Request for Proposal (RFP) that specifies the services you are seeking?

- a YES, I have an RFP. It is available on the Web at _____
 or via (check one) _____ the Contact Person in Item 6 or _____ the contact listed in Item 11, below.

b NO, I do not have an RFP for these services.

If you answered NO, you must list below the Internal Connections services you seek. Specify each service or function (e.g., local area network) and **quantity and/or capacity** (e.g., connecting 10 rooms and 300 computers at 56kps or better). See the Eligible Services List at www.sl.universalservice.org for examples of eligible Internal Connections services. Add additional pages if needed.

| Service or Function | Quantity and/or Capacity |
|--|---|
| Local Area network for 3 Elementary Schools, Middle School and High School | Connect 3 classrooms and Library In each building utilizing over 40 computers and printers at T 1 & 56 KB |
| | |
| | |

Entity Number 123751 Applicant's Form Identifier W S T 1
Contact Person Mr. David Pritchett Phone Number 914-620-3999

11 (Optional) Please name the person on your staff or project who can provide additional technical details or answer specific questions from service providers about the services you are seeking. This need not be the contact person listed in Item 6 nor the signer of this form.

Name Mr. Ben Carosi Title _____
Telephone Number (10 digits + ext.) (914) 620-6884 ext. _____
Fax Number (10 digits) (914) 620-3934
E-mail Address (50 characters max.) _____

12 Check here if there are any restrictions imposed by state or local laws or regulations on how or when providers may contact you or on other bidding procedures. Please describe below any such restrictions or procedures, and/or provide a Web address where they are posted and provide a contact name and telephone number for service providers without Internet access.

New York State Bidding Laws

13 (Optional) Purchases in future years: If you have plans to purchase additional services in future years, or expect to seek new contracts for existing services, summarize below (including the likely time-frames).

Block 3: Technology Assessment

14 Basic telephone service only: If your application is for basic local and long distance voice telephone service only, check this box and skip to Item 16.

15 Although the following services and facilities are ineligible for support, they are usually necessary to make effective use of the eligible services requested in this application. Unless you indicated in Item 14 that your application is ONLY for basic telephone service, you must check at least one box in (a) through (e). You may provide details for purchases being sought.

- a Desktop software: Software required has been purchased; and/or is being sought.
- b Electrical systems: adequate electrical capacity is in place or has already been arranged; and/or upgrading for electrical capacity is being sought.
- c Computers: a sufficient quantity of computers has been purchased; and/or is being sought.
- d Computer hardware maintenance: adequate arrangements have been made; and/or are being sought.
- e Staff development: all staff have had an appropriate level of training/additional training has already been scheduled; and/or training is being sought.
- f Additional details: Use this space to provide additional details to help providers to identify the services you desire.

Entity Number 123751 Applicant's Form Identifier WST 1
 Contact Person Mr. David Pritchett Phone Number 914 620 3999

Block 4: Recipients of Service

16 Eligible Entities That Will Receive Services:

Check the ONE choice that best describes this application and the eligible entities that will receive the services described in this application. You will then list in Item 17 the entity/entities that will pay the bills for these services.

- a Individual school or single-site library.
- b Statewide application for (enter 2-letter state code) representing (check all that apply):
 - All public schools/districts in the state.
 - All non-public schools in the state.
 - All libraries in the state

Does your statewide application include INELIGIBLE entities? No Yes. If yes, complete Item 18.

c School district, library system, or consortium application to serve multiple eligible sites:

| | |
|--|--|
| Number of eligible sites | 6 |
| <i>For these eligible sites, please provide the following:</i> | |
| Area Codes <small>(list each unique area code)</small> | Prefixes associated with each area code <small>(first 3 digits of phone number)</small> |
| 914 | 620 |
| | |
| | |
| | |
| Does your application include any INELIGIBLE entities? <input type="checkbox"/> No <input type="checkbox"/> Yes. If yes, complete Item 18. | |

17 Billed Entities

List the entity/entities that will be paying the bills directly to the provider for the services requested in this application. These are known as Billed Entities. At least one line of this item must be completed. Attach additional sheets if necessary.

| Entity | Entity Number |
|-----------------------------|---------------|
| Pearl River School District | 123751 |
| | |
| | |
| | |
| | |
| | |

18 Ineligible Participating Entities: Does your application also seek bids on services to entities that are not eligible for the Universal Service Program? If so, list those entities here (attach pages if needed):

| Ineligible Participating Entity | Area Code and Prefix |
|---------------------------------|----------------------|
| | |
| | |
| | |
| | |

Entity Number 123751 Applicant's Form Identifier WST 1
Contact Person Mr. David Pritchett Phone Number 914 620 3999

Block 5: Certification and Signature

- 19 The applicant includes: (Check one or both.)
- a schools under the statutory definitions of elementary and secondary schools found in the Elementary and Secondary Education Act of 1965, 20 U.S.C. Secs. 8801(14) and (25), that do not operate as for-profit businesses, and do not have endowments exceeding \$50 million; and/or
 - b libraries or library consortia eligible for assistance from a State library administrative agency under the Library Services and Technology Act of 1996 that do not operate as for-profit businesses and whose budgets are completely separate from any school (including, but not limited to elementary and secondary schools, colleges, and universities).
- 20 All of the individual schools, libraries, and library consortia receiving services under this application are covered by:
- a individual technology plans for using the services requested in the application; and/or
 - b higher-level technology plans for using the services requested in the application; or
 - c no technology plan needed; application requests basic local and long distance telephone service only.
- 21 Status of technology plans (if representing multiple entities with mixed technology plan status, check both a and b):
- a technology plan(s) has/have been approved by a state or other authorized body.
 - b technology plan(s) will be approved by a state or other authorized body.
 - c no technology plan needed; application requests basic local and long distance telephone service only.
- 22 I certify that the services the applicant purchases at discounts provided by 47 U.S.C. Sec. 254 will be used solely for educational purposes and will not be sold, resold, or transferred in consideration for money or any other thing of value.
- 23 I recognize that support under this support mechanism is conditional upon the school(s) or library(ies) I represent securing access to all of the resources, including computers, training, software, maintenance, and electrical connections necessary to use the services purchased effectively.
- 24 I certify that I am authorized to submit this request on behalf of the above-named entities, that I have examined this request, and to the best of my knowledge, information, and belief, all statements of fact contained herein are true.

25 Signature

Richard E. Maurer

26 Date

12/15/99

27 Printed name of authorized person

Dr. Richard Maurer

28 Title or position of authorized person

Superintendent

29 Telephone number of authorized person: (914) 620 - 3922 ext. _____

Persons willfully making false statements on this form can be punished by fine or forfeiture, under the Communications Act, 47 U.S.C. Secs. 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18 U.S.C. Sec. 1001.

| | | | |
|----------------|---------------------|-----------------------------|--------------|
| Entity Number | 123751 | Applicant's Form Identifier | WST 1 |
| Contact Person | Mr. David Pritchett | Phone Number | 914 620 3999 |

NOTICE TO INDIVIDUALS: Section 54.504 of the Federal Communications Commission's rules requires all schools and libraries ordering services that are eligible for and seeking universal service discounts to file this Description of Services Requested and Certification Form (FCC Form 470) with the Universal Service Administrator. 47 C.F.R. § 54.504. The collection of information stems from the Commission's authority under Section 254 of the Communications Act of 1934, as amended. 47 U.S.C. § 254. The data in the report will be used to ensure that schools and libraries comply with the competitive bidding requirement contained in 47 C.F.R. § 54.504. All schools and libraries planning to order services eligible for universal service discounts must file this form themselves or as part of a consortium.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

The FCC is authorized under the Communications Act of 1934, as amended, to collect the personal information we request in this form. We will use the information you provide to determine whether approving this application is in the public interest. If we believe there may be a violation or a potential violation of a FCC statute, regulation, rule or order, your application may be referred to the Federal, state, or local agency responsible for investigating, prosecuting, enforcing, or implementing the statute, rule, regulation or order. In certain cases, the information in your application may be disclosed to the Department of Justice or a court or adjudicative body when (a) the FCC; or (b) any employee of the FCC; or (c) the United States Government is a party of a proceeding before the body or has an interest in the proceeding.

If you owe a past due debt to the federal government, the taxpayer identification number (such as your social security number) and other information you provide may also be disclosed to the Department of the Treasury Financial Management Service, other Federal agencies and/or your employer to offset your salary, IRS tax refund or other payments to collect that debt. The FCC may also provide the information to these agencies through the matching of computer records when authorized.

With the exception of your social security number, if you do not provide the information we request on the form, the FCC may delay processing of your application or may return your application without action.

The foregoing Notice is required by the Privacy Act of 1974, Pub. L. No. 93-579, December 31, 1974, 5 U.S.C. § 552, and the Paperwork Reduction Act of 1995, Pub. L. No. 104-13, 44 U.S.C. § 3501, et seq.

Public reporting burden for this collection of information is estimated to average 6 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, completing, and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the reporting burden to the Federal Communications Commission, Performance Evaluation and Records Management, Washington, DC 20554.

Please submit this form to:

**SLD-Form 470
P.O. Box 7026
Lawrence, Kansas 66044-7026
1-888-203-8100**

For express delivery services or U.S. Postal Service, Return Receipt Requested,
mail this form to:

**SLD-Form 470
c/o Ms. Smith
3833 Greenway Drive
Lawrence Kansas 66046
1-888-203-8100**

HOME CANCEL HELP

FCC Form 471

Services Ordered and Certification Form



Approval by OMB 3060-0806

Estimated Average Burden Hours Per Response: 4 hours

This form asks schools and libraries to list the eligible telecommunications-related services they have ordered and estimate the annual charges for them so that the Fund Administrator can set aside sufficient support to reimburse providers for services.

Please read instructions before beginning this application.

Applicant's Form Identifier:
 (Insert your own code to identify THIS Form 471)
 W S T 1

Form 471 Application #:
 (inserted by Administrator)
 206406

Block 1: Billed Entity Information

(The "Billed Entity" is the entity paying the bills for the services listed on this form.)

| | | | |
|--|--------------------|--|--------------------------------|
| 1. Name of Billed Entity PEARL RIVER PUBLIC SCHOOL DIST | | 2. Funding Year: 07/01/2000 - 06/30/2001 | |
| 3. Entity Number 123751 | | | |
| 4. Applicant's Address, etc. | | | |
| a. Street 275 E CENTRAL AVE | | | |
| City PEARL RIVER | State NY | Zip Code 5Digit 10965 | Zip Code 4Digit 2730 |
| b. Telephone number ext. | | c. Fax number | |
| (914) 620 - 3900 | | (914) 620 - 3934 | |
| d. E-mail Address | | | |
| 5. Type Of Applicant (Select only one type) | | | |
| <input type="radio"/> Individual School (individual public or non-public school) | | | |
| <input checked="" type="radio"/> School District (LEA;public or non-public(e.g., diocesan) local district representing multiple schools) | | | |
| <input type="radio"/> Library (including library system, library branch, or library consortium applying as a library) | | | |
| <input type="radio"/> Consortium (intermediate service agencies, states, state networks, special consortia) | | | |
| If you selected "Consortium" in #5 above, check here <input type="checkbox"/> if any members are ineligible non-governmental entities. | | | |
| 6a. Contact Person's Name: Mr. Ben Carosi | | | |
| Correct and/or add any Contact Person's information below as necessary, then select your preferred mode of contact | | | |
| 6b. Street Address, P.O.Box, or Route Number | | | |
| <input type="radio"/> | | | |
| City | State | Zip Code 5Digit | Zip Code 4Digit |

| | | | | |
|---|---|--------------------------|--------------------------|--------------------------|
| <input type="text"/> | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| <input type="checkbox"/> 6c. Telephone Number (10 digits + ext.) | (<input type="text" value="914"/>) <input type="text" value="620"/> - <input type="text" value="6884"/> ext. <input type="text"/> | | | |
| <input type="checkbox"/> 6d. Fax Number (10 digits) | (<input type="text"/>) <input type="text"/> - <input type="text"/> | | | |
| <input checked="" type="checkbox"/> 6e. E-mail Address (50 characters max.) | <input type="text" value="carosi@prsd.lhric.org"/> | | | |



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HOME CANCEL SAVE & EXIT HELP

FCC Form 471
Services Ordered and Certification Form



Applicant's Form Identifier: W S T 1

Entity Number: 123751

Contact Person: Mr. Ben Carosi

Phone Number: (914) 620-6884

IMPORTANT

Please record this application's information in a secure place for future reference

471 Application Number: 206406
Entity Number of Billed Entity (Applicant): 123751
Security Code Number: 79391



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HOME CANCEL SAVE & EXIT HELP

FCC Form 471
Services Ordered and Certification Form



Applicant's Form Identifier: W S T 1

Entity Number: 123751

Contact Person: Mr. Ben Carosi

Phone Number: (914) 620-6884

Block 2: Minor Modification to Existing Contract?

7. THIS ITEM CANNOT BE FILED ONLINE. You may use this item ONLY to inform the fund administrator of Minor Contract Modifications for previously filed Forms 471, and you may do so ONLY through manual filing on paper. Please call 888-203-8100 for specific instructions.

Block 3: Impact of Services Ordered in this Application

8. Please provide your best estimate of the number of people who will be served by all of the services ordered in THIS Form 471. Schools/school districts complete 8a. Libraries complete 8b. Consortia complete 8a and/or 8b.

a. Number of students to be served b. Number of library patrons to be served

9. The following questions seek summary outcome information based on the service ordered in this Form 471 application. Please complete only those rows that are relevant to THIS application.

| IF THIS APPLICATION INCLUDES... | Before Order | After Order |
|--|-----------------------------------|----------------------------------|
| a. Telephone service (for schools/school districts/consortia only): How many classrooms had phone service before and after your order? | <input type="text"/> | <input type="text"/> |
| b. High-bandwidth voice/data/video service: How many buildings served before and after your order? | <input type="text" value="6"/> | <input type="text" value="6"/> |
| c. High-bandwidth voice/data/video service: Highest speed to a building before and after your order? | <input type="text" value="56kb"/> | <input type="text" value="T1"/> |
| d. Dial-up Internet connections: How many before and after your order? | <input type="text" value="5"/> | <input type="text" value="0"/> |
| e. Dial-up Internet connections: Highest speed before and after your order? | <input type="text"/> | <input type="text"/> |
| f. Direct connections to the Internet: How many before and after your order? | <input type="text" value="2"/> | <input type="text" value="2"/> |
| g. Direct connections to the Internet: Highest speed before and after your order? | <input type="text" value="56kb"/> | <input type="text" value="T1"/> |
| h. Internet access(for schools): How many rooms have Internet access before and after your order? | <input type="text" value="28"/> | <input type="text" value="68"/> |
| i. Internet access(for libraries): How many buildings have Internet access before and after your order? | <input type="text"/> | <input type="text"/> |
| j. Internet access: How many computers (or other devices) with Internet access before and after your order? | <input type="text" value="113"/> | <input type="text" value="226"/> |
| k. Other technology outcomes? | <input type="text"/> | <input type="text"/> |



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FCC Form 471

Services Ordered and Certification Form



Applicant's Form Identifier: W S T 1

Entity Number: 123751

Contact Person: Mr. Ben Carosi

Phone Number: (914) 620-6884

Bottom

Block 4, Item 10: Discount Calculation Worksheet A for Individual Schools/School Districts (Display)

| Type "A" Worksheet No. 221254 | |
|--|---|
| 1. Name of School: LINCOLN AVENUE ELEMENTARY SCH | 2. Entity Number: 11311 |
| 3. Urban or Rural: Urban | 4. Total # of Students: 345 |
| 6. %Students Eligible for NSLP (#5 / #4): 3.188% | 5. # of Students Eligible for NSLP: 11 |
| | 7. Discount % from Discount Matrix: 40% |
| | 8. Weighted Product (#4 X #7): 138 |
| 1. Name of School: EVANS PARK ELEMENTARY SCHOOL | 2. Entity Number: 11312 |
| 3. Urban or Rural: Urban | 4. Total # of Students: 313 |
| 6. %Students Eligible for NSLP (#5 / #4): 4.153% | 5. # of Students Eligible for NSLP: 13 |
| | 7. Discount % from Discount Matrix: 40% |
| | 8. Weighted Product (#4 X #7): 125.2 |
| 1. Name of School: FRANKLIN AVENUE ELEM SCHOOL | 2. Entity Number: 11314 |
| 3. Urban or Rural: Urban | 4. Total # of Students: 307 |
| 6. %Students Eligible for NSLP (#5 / #4): 3.257% | 5. # of Students Eligible for NSLP: 10 |
| | 7. Discount % from Discount Matrix: 40% |
| | 8. Weighted Product (#4 X #7): 122.8 |
| 1. Name of School: PEARL RIVER SR HIGH SCHOOL | 2. Entity Number: 11315 |
| 3. Urban or Rural: Urban | 4. Total # of Students: 793 |
| 6. %Students Eligible for NSLP (#5 / #4): 2.648% | 5. # of Students Eligible for NSLP: 21 |
| | 7. Discount % from Discount Matrix: 40% |
| | 8. Weighted Product (#4 X #7): 317.2 |
| 1. Name of School: PEARL RIVER MIDDLE SCHOOL | 2. Entity Number: 11316 |
| 3. Urban or Rural: Urban | 4. Total # of Students: 588 |
| 6. %Students Eligible for NSLP (#5 / #4): 4.761% | 5. # of Students Eligible for NSLP: 28 |
| | 7. Discount % from Discount Matrix: 40% |
| | 8. Weighted Product (#4 X #7): 235.2 |
| <p>School District total for total number of students (#4) for all entities listed in this worksheet: 2346</p> <p>School District total for weighted product (#8) for all entities listed in this worksheet: 938.4</p> <p>Block 4, Item 10c. School District Weighted Average Discount % for Shared Services (#8 total / #4 total X 100) for this worksheet: 40%</p> | |

Top



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HOME CANCEL HELP

FCC Form 471

Services Ordered and Certification Form



Applicant's Form Identifier: W S T 1

Entity Number: 123751

Contact Person: Mr. Ben Carosi

Phone Number: (914) 620-6884

Block 5: Discount Funding Request(s)

Funding Request Number(FRN): (assigned by Administrator)

11. Category of Service (only ONE category should be checked)

 Telecommunications Service
 Internet Access
 Internal Connections

| | | |
|----|---|-------------------------------------|
| 12 | Form 470 Application Number (15 digits) | 298900000285951 |
| 13 | SPIN - Service Provider Identification Number (9 digits) | 143001359 |
| 14 | Service Provider Name Bell Atlantic dba New York Tel. Co. | Bell Atlantic dba New York Tel. Co. |
| 15 | Contract Number (if available, use "T" if tariffed services, "MTM" if month-to-month contract - see Help file for more details) | N/A |
| 16 | Billing Account Number (e.g. billed telephone number) | 914-620-3800 |
| 17 | Allowable Contract Date (from Form 470 referenced in #12 above) | 01/19/2000 |
| 18 | Contract Award Date (mm/dd/yyyy) | 01/19/2000 |
| 19 | Service Start Date (mm/dd/yyyy) | 01/19/2000 |
| 20 | Contract Expiration Date (mm/dd/yyyy) | 06/30/2002 |

21. **Description of This Service:** You MUST forward a description of this service on paper, including a breakdown of components and costs, plus any relevant brand names. Label this paper description with an Attachment #, and note number here:

Attachment #

1

22. Entity/Entities Receiving This Service:

a. If the service is site-specific (provided to one site and not shared by others), select the Entity Number of the entity from Block 4 receiving this service:

b. If the service is shared by all entities on a Block 4 worksheet, select the worksheet number:

#221254 - 40%

23. Calculations

| | | |
|----|--|--------------------------------------|
| a. | Monthly charges (total amount per month for service) | <input type="text" value="1719.64"/> |
| b. | How much of the amount in (a) is ineligible? | <input type="text" value="0"/> |
| f. | Annual non-recurring (one-time) charges | <input type="text" value="0"/> |
| g. | How much of the amount in (f) is ineligible? | <input type="text" value="0"/> |

REBAL

Block

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Schools and Libraries Division

FCC Form 471
Services Ordered and Certification Form



Applicant's Form Identifier: W S T 1
Contact Person: Mr. Ben Carosi

Entity Number: 123751
Phone Number: (914) 620-6884

Bottom
Block 5 Display

| | | |
|--|--|---|
| FRN: <u>473110</u> | 11. Category of Service: TELCOMM SERVICES | 12. 470 application Number: 298900000285951 |
| 13. SPIN: 143001359 | 14. Service Provider Name: Bell Atlantic dba New York Tel. Co. | |
| 15. Contract Number: NA | 16. Billing Account Number: 914 M56-6437 434 | 17. Allowable Contract Date: 01/19/2000 |
| 18. Contract Award Date: 01/19/2000 | 19. Service Start Date: 01/19/2000 | 20. Contract Expiration Date: 06/30/2002 |
| 21. Attachment #: 1 | 22. Block 4 Worksheet No.: 221254 | |
| 23a. Monthly Charges: \$1,719.64 | 23b. Ineligible monthly amt.: \$0.00 | 23c. Eligible monthly amt.: \$1,719.64 |
| 23d. Number of months of service: 12 | 23e. Annual pre-discount amount for eligible recurring charges (23c x 23d): \$20,635.68 | |
| 23f. One-time charges: \$0.00 | 23g. Ineligible one-time amt: \$0.00 | |
| 23h. Annual pre-discount amount for eligible one-time charges (23f - 23g): \$0.00 | | |
| 23i. Total program year pre-discount amount (23e + 23h): \$20,635.68 | | 23j. % discount (from Block 4): 40 |
| 23k. Funding Commitment Request (23i x 23j): \$8,254.27 | | |

| | | |
|--|---|---|
| FRN: <u>476117</u> | 11. Category of Service: INTERNAL CONNECTIONS | 12. 470 application Number: 298900000285951 |
| 13. SPIN: 143004634 | 14. Service Provider Name: Unisys Corporation | |
| 15. Contract Number: PO # 1607 | 16. Billing Account Number: 0001321027 | 17. Allowable Contract Date: 01/19/2000 |
| 18. Contract Award Date: 01/19/2000 | 19. Service Start Date: 07/01/2000 | 20. Contract Expiration Date: 06/30/2001 |
| 21. Attachment #: 2 | 22. Block 4 Worksheet No.: 221254 | |
| 23a. Monthly Charges: \$0.00 | 23b. Ineligible monthly amt.: \$0.00 | 23c. Eligible monthly amt.: \$0.00 |
| 23d. Number of months of service: 12 | 23e. Annual pre-discount amount for eligible recurring charges (23c x 23d): \$0.00 | |
| 23f. One-time charges: \$285,407.00 | 23g. Ineligible one-time amt: \$0.00 | |
| 23h. Annual pre-discount amount for eligible one-time charges (23f - 23g): \$285,407.00 | | |
| 23i. Total program year pre-discount amount (23e + 23h): \$285,407.00 | | 23j. % discount (from Block 4): 40 |
| 23k. Funding Commitment Request (23i x 23j): \$114,162.80 | | |

| | | |
|---|---|---|
| FRN: <u>476142</u> | 11. Category of Service: INTERNAL CONNECTIONS | 12. 470 application Number: 298900000285951 |
| 13. SPIN: 143004277 | 14. Service Provider Name: A.R.C. Networks, Inc. | |
| 15. Contract Number: PO # 1099-1067-1068- | 16. Billing Account Number: Pearl River | 17. Allowable Contract Date: 01/19/2000 |
| 18. Contract Award Date: 01/19/2000 | 19. Service Start Date: 07/01/2000 | 20. Contract Expiration Date: 06/30/2001 |
| 21. Attachment #: 3 | 22. Block 4 Worksheet No.: 221254 | |
| 23a. Monthly Charges: \$0.00 | 23b. Ineligible monthly amt.: \$0.00 | 23c. Eligible monthly amt.: \$0.00 |
| 23d. Number of months of service: 12 | 23e. Annual pre-discount amount for eligible recurring charges (23c x 23d): \$0.00 | |
| 23f. One-time charges: \$80,862.87 | 23g. Ineligible one-time amt: \$0.00 | |
| 23h. Annual pre-discount amount for eligible one-time charges (23f - 23g): \$80,862.87 | | |
| 23i. Total program year pre-discount amount (23e + 23h): \$80,862.87 | | 23j. % discount (from Block 4): 40 |
| 23k. Funding Commitment Request (23i x 23j): \$32,345.15 | | |

Top

FCC Form 471

Services Ordered and Certification Form



Applicant's Form Identifier: W S T 1

Entity Number: 123751

Contact Person: Mr. Ben Carosi

Phone Number: (914) 620-6884

Block 6: Certifications and Signature

24. The applicant is eligible for support because it includes: (Check one or both)

- a. schools under the statutory definitions of elementary and secondary schools found in the Elementary and Secondary Education Act of 1965, 20 U.S.C. Secs. 8801(14) and (25), that do not operate as for-profit businesses, and do not have endowments exceeding \$50 million; and/or
- b. libraries or library consortia eligible for assistance from a state library administrative agency under the Library Services and Technology Act of 1996 that do not operate as for-profit businesses and whose budgets are completely separate from any school (including, but not limited to) elementary and secondary schools, colleges and universities.

25. The school(s) or library(ies) I represent have secured access to all of the resources, including computers, training, software, maintenance, and electrical connections necessary to make effective use of the services purchased as well as to pay the discounted charges for eligible services.

26. All of the individual schools, libraries, and library consortia listed in Block 4 are covered by:

- a. an individual technology plan for using the services requested in this application; and/or
- b. higher-level technology plan(s) for using the services requested in this application
- c. no technology plan needed; applying for basic local and long distance telephone service only

27. Status of technology plans (if representing multiple entities with mixed tech plan status, check both a and b):

- a. technology plan(s) has/have been approved.
- b. technology plan(s) will be approved by a state or other authorized body.
- c. no technology plan needed; applying for basic local and long distance telephone service only.

28. I certify that the entities eligible for support that I am representing have complied with all applicable state and local laws regarding procurement of services for which support is being sought.

29. I certify that the services the applicant purchases at discounts provided by 47 U.S.C. Sec. 254 will be used solely for educational purposes and will not be sold, resold, or transferred in consideration for money or any other thing of value.

30. I certify that the entity(ies) I represent has complied with all program rules and I acknowledge that failure to do so may result in denial of discount funding and/or cancellation of funding commitments.

31. I understand that the discount level used for shared services is conditional, for future years, upon ensuring that the most disadvantaged schools and libraries that are treated as sharing in the service, receive an appropriate share of benefits from those services.

32. I recognize that I may be audited pursuant to this application and will retain for five years any and all worksheets and other records that I rely upon to fill out this application.

33. I certify that I am authorized to submit this request on behalf of the above-named entities, that I have examined this request, and to the best of my knowledge, information, and belief, all statements of fact contained herein are true.

36. Printed name of authorized person

Dr. Richard Maurer

37. Title or position of authorized person

Superintendent

38. Telephone number of authorized person

(914) 620 - 3922

Persons willfully making false statements on this form can be punished by fine or forfeiture, under the Communications Act, 47 U.S.C.Secs. 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18 U.S.C.Sec. 1001.



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Schools and Libraries Division

FCC Form 471

Services Ordered and Certification Form



Applicant's Form Identifier: W S T 1

Entity Number: 123751

Contact Person: Mr. Ben Carosi

Phone Number: (914) 620-6884

Block 6: Certifications and Signature

471 Application Number: 206406

24. The applicant is eligible for support because it includes (check one or both):

- a. schools under the statutory definitions of elementary and secondary schools found in the Elementary and Secondary Education Act of 1965, 20 U.S.C. Secs. 8801(14) and (25), that do not operate as for-profit businesses, and do not have endowments exceeding \$50 million; and/or
- b. libraries or library consortia eligible for assistance from a State library administrative agency under the Library Services and Technology Act of 1996 that do not operate as for-profit businesses and whose budgets are completely separate from any school (including, but not limited to) elementary and secondary schools, colleges and universities

25. The school(s) or library(ies) I represent have secured access to all of the resources, including computers, training, software, maintenance, and electrical connections necessary to make effective use of the services purchased as well as to pay the discounted charges for eligible services.

26. All of the individual schools, libraries, and library consortia listed in Block 4 are covered by:

- a. an individual technology plan for using the services requested in this application; and/or
- b. higher-level technology plan(s) for using the services requested in this application
- c. no technology plan needed; applying for basic local distance telephone service only

27. Status of technology plans (if representing multiple entities with mixed tech plan status, check both a and b):

- a. technology plan(s) has/have been approved.
- b. technology plan(s) will be approved by a state or other authorized body.
- c. no technology plan needed; applying for basic local and long distance telephone service only.

28. I certify that the entities eligible for support that I am representing have complied with all applicable state and local laws regarding procurements of services for which support is being sought.

29. I certify that the services the applicant purchases at discounts provided by 47 U.S.C. Sec. 254 will be used solely for educational purposes and will not be sold, resold, or transferred in consideration for money or any other thing of value.

30. I certify that the entity(ies) I represent has complied with all program rules and I acknowledge that failure to do so may result in denial of discount funding and/or cancellation of funding commitments.

31. I understand that the discount level used for shared services is conditional, for future years, upon ensuring that the most disadvantaged schools and libraries that are treated as sharing in the service receive an appropriate share of benefits from those services.

32. I recognize that I may be audited pursuant to this application and will retain for five years any and all worksheets and other records that I rely upon to fill out this application.

33. I certify that I am authorized to submit this request on behalf of the above-named institution, that I have examined this request, and to the best of my knowledge, information, and belief, all statements of fact contained herein are true.

34. Signature of authorized person

Richard Maurer

35. Date

5/16/00

36. Printed name of authorized person **Dr. Richard Maurer**

37. Title or position of authorized person **Superintendent**

38. Telephone number of authorized person **(914) 620-3922**

**471 Application Number: 206406
PEARL RIVER PUBLIC SCHOOL DIST
275 E CENTRAL AVE
PEARL RIVER, NY 10965 -2730**

Persons willfully making false statements on this form can be punished by fine or forfeiture, under the Communications Act, 47 U.S.C. Secs. 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18 U.S.C. Sec. 1001.

NOTICE TO INDIVIDUALS: Section 54.504 of the Federal Communication Commission's rules requires all schools and libraries ordering services that are eligible for and seeking universal service discounts to file this Services Ordered and Certification Form (FCC Form 471) with the Universal Service Administrator. 47 C.F.R. § 54.504. The collection of information stems from the Commission's authority under Section 254 of the Communications Act of 1934, as amended, 47 U.S.C. § 254. The data in the report will be used to ensure that schools and libraries comply with the competitive bidding requirement contained in 47 C.F.R. § 54.504. All schools and libraries planning to order services eligible for universal service discounts must file this form themselves or as part of a consortium.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

The FCC is authorized under the Communications Act of 1934, as amended, to collect the personal information we request in this form. We will use the information you provide to determine whether approving this application is in the public interest. If we believe there may be a violation of potential violation of a FCC statute, regulation, rule or order, your application may be referred to the Federal, state, or local agency responsible for investigating, prosecuting, enforcing or implementing the statute, rule, regulation or order. In certain cases, the information in your application may be disclosed to the Department of Justice or a court or adjudicative body when (a) the FCC; or (b) any employee of the FCC; or (c) the United States Government, is a party of a proceeding before the body or has an interest in the proceeding.

If you owe a past due debt to the federal government, the taxpayer identification number (such as your social security number) and other information you provide may also be disclosed to the Department of the Treasury Financial Management Service, other federal agencies and/or your employer to offset your salary, IRS tax refund or other payments to collect that debt. The FCC may also provide this information to these agencies through the matching of computer records when authorized.

With the exception of your social security number, if you do not provide the information we request on the form, the FCC may delay processing of your application or may return your application without action.

The foregoing Notice is required by the Privacy Act of 1974, Pub. L. No. 93-579, December 31, 1974, 5 U.S.C. § 552, and the Paperwork Reduction Act of 1995, Pub. L. No. 104-13, 44 U.S.C. § 3501, et seq.

Public reporting burden for this collection of information is estimated to average 6 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, completing, and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the reporting burden to the Federal Communications Commission, Performance Evaluation and Records Management, Washington, D.C. 20554.

Please submit this form to:
SLD - Form 471
P.O. Box 7026
Lawrence, KS 66044-7026
1-888-203-8100

For express delivery services or U.S. Postal Service, Return Receipt Requested, mail this form to:
SLD - Form 471
C/O Ms. Smith
3833 Greenway Drive
Lawrence, KS 66046
1-888-203-8100



Form 471, Block 5: Item 21 Attachment # 1.

Bell Atlantic dba New York Tel. Co. provides high bandwidth data lines i.e. T 1 Lines and 56kb line, to interconnect Pearl River Public School District's six buildings to the internet.

The circuit numbers are:1) 32 HCGL 422869 2) 32 HCGL 422870
3) 32 HCGL 422871 4) 32 HCGL 422872 5) 32 HCGL 422868

Form 471, Block 5: Item 21 Attachment # 2.

Unisys Corporation is the vendor of choice who provides the Pearl River Public School District with Cisco system switches and routers. Unisys installs and provides Network engineering and support for Internal connection. The switches and routers manage the traffic to and from classrooms and computer and other laboratories for fast Internet connections.

The equipment list includes Five (5) Cisco Catalyst 5500 Switches, Catalyst 5500 Starter Kit Bundle, Catalyst 5505 Chassis with 5 slots and 3.6 Gbps Backplane, power supply, Supervisor IIG, Catalyst 5k Sup 2g Flash Image, rel 5.1(1), RSM with model 15 vip II module, Cisco IOS Catalyst 5000 RSM Enterprise, 4 port serial adapter Catalyst 5500, SMARTnet maintenance and installation.

Additional devices include One (1) 2501 Ethernet/Dual Serial router, Cisco 2500 Series IOS Enterprise Feature set, eight (8) 1 port DSU/CSU with a v.35 DTE I, dedicated 56/64k CSU/DSU, CWSI Campus Bundle W/Resource Manager Essentials for NT, installation and Maintenance.

Form 471, Block 5: Item 21 Attachment # 3.

A. R. C. Networks, Inc. is the vendor of choice that provides the Pearl River Public School District with internal cabling services. These service include Cat 5 cable runs,RJ45 inserts, 4 port faceplates, blank inserts, surface boxes, labeling, cable plant certification, 1" core, firestop, panduit raceway LD-5, plastic raceway fittings, metal straps, 48 port cat patch panels, 24 port cat patch panels wire management, 19" free standing racks, and ground kits.



**UNIVERSAL SERVICE
ADMINISTRATIVE CO.**

SCHOOLS AND LIBRARIES DIVISION

Box 125 - Correspondence Unit
80 South Jefferson Road
Whippany, New Jersey 07981

October 30, 2000

Ben R. Carosi
Pearl River School District
275 E Central Ave
Pearl River, NY 10965-2730

RECEIVED

APR 30 2001

FCC MAIL ROOM

Ben R. Carosi:

The Schools and Libraries Division of the Universal Service Administrative Company has received your correspondence regarding the 2000-2001 funding decision on your application. Here are the steps that will now follow:

1. We will review your correspondence carefully to identify the specific issue(s) it raises.
2. We will consult the program integrity assurance records and all supporting documentation for the application. Our goal is to determine whether the program rules were administered appropriately in processing your application.
3. Once the review process is completed we will respond in writing and state whether your appeal is approved, denied or approved in part. We will then follow with a funding commitment decision letter for any approved appeal resulting in additional discounts for your application. Funds have been set aside to implement funding decisions for appeals approved by the SLD and/or the Federal Communications Commission.

We have begun in-depth review of the appeals we have received, and our goal is to respond to you as promptly as possible. We thank you in advance for your patience as we handle your case with the care and attention it deserves.

Schools and Libraries Division
Universal Service Administrative Company



Received 4/4/01

Administrator's Decision on Appeal - Funding Year 2000-2001

March 30, 2001

Ben R. Carosi
Pearl River School District
275 East Central Avenue
Pearl River, NY 10965

RECEIVED

APR 30 2001

FCC MAIL ROOM

Re: Billed Entity Number: 123751
471 Application Number: 206406
Funding Request Number(s): Application received outside the Funding window
Your Correspondence Dated: October 13, 2000

After thorough review and investigation of all relevant facts, the Schools and Libraries Division ("SLD") of the Universal Service Administrative Company ("USAC") has made its decision in regard to your appeal of SLD's Year Three Funding Commitment Decision for the Application Number indicated above. This letter explains the basis of SLD's decision. The date of this letter begins the 30-day time period for appealing this decision to the Federal Communications Commission ("FCC"). If your letter of appeal included more than one Application Number, please note that for each application for which an appeal is submitted, a separate letter is sent.

Funding Request Number: Application received outside the Funding window
Decision on Appeal: **Denied in full**
Explanation:

- Your appeal letter requests that SLD consider your application within the Second window for Funding Year Two Form 471's.
- Your Form 471 was not received until May 12, 2000. The deadline for submitting a Second window application for Funding Year Two was March 31, 2000. Since your application was not received before the close of the second window, your application can not be considered within the second window of funding for Year Two. Therefore, SLD denied your appeal.
- FCC rules require that funding requests be made on Forms 471. See 47 C.F.R. § 54.504. Funding requests may not be made on appeals of SLD funding commitment decisions. Whether your request can be considered for funding will depend on the

date your Form 471 is received and, if it is received after the close of the filing window, whether funds are available. *See* 47 C.F.R. § 54.507(g)

If you believe there is a basis for further examination of your application, you may file an appeal with the Federal Communications Commission, Office of the Secretary, 445 12th Street, SW, Room TW-A325, Washington, DC 20554. Please reference CC Docket Nos. 96-45 and 97-21 on the first page of your appeal. Before preparing and submitting your appeal, please be sure to review the FCC rules concerning the filing of an appeal of an Administrator's Decision, which are posted on the website at <www.universalservice.org>. **You must file your appeal with the FCC no later than 30 days from the date on this letter for your appeal to be filed in a timely fashion.**

We thank you for your continued support, patience, and cooperation during the appeal process.

Schools and Libraries Division
Universal Service Administrative Company



ADMINISTRATIVE OFFICES
275 East Central Avenue
Pearl River, New York 10965-2799
Phone: 845-620-6884 - Fax: 845-620-3934
www.pearlriver.k12.ny.us

RECEIVED

APR 30 2001

March 2, 2001

FCC MAIL ROOM

Ben R. Carosi
Information System Manager
And Treasurer

Schools and Library Division
Box 125 – Correspondence Unit
80 South Jefferson Road
Whippany, NJ 07981

Re: Form 471 Application Number 206406
Form 470 Application Number: 298900000285951
Applicant's Form Identifier: WST1

Gentlemen:

On October 13, 2000 we mailed a letter requesting a correction of the funding year on the above referenced application. The acknowledgement of this letter dated October 30, 2000 was received at our district on November 1, 2000. Since that acknowledgement letter we have followed-up by telephone on average every two weeks. Each time the respondent was unable to confirm the status of our appeal.

As of this writing, four full months have gone by and we have not had a reply to our request. We are asking you to please let us know exactly what is the status of our appeal and when might it be resolved.

Thank you for your cooperation

Sincerely,



RECEIVED

APR 30 2001

FCC MAIL ROOM

Ben R. Carosi
Information System Manager
And
Treasurer

October 13, 2000

Schools and Library Division
Box 125 – Correspondence Unit
80 South Jefferson Road
Whippany, NJ 07981

Re: Form 471 Application Number 206406
Form 470 Application Number: 298900000285951
Applicant's Form Identifier: WST1

Gentlemen:

Per our telephone conversation please correct the funding year from year 3 to year 2 for the above referenced Form 471. The reason for this correction will become clear as we follow the chronology of the filings with respect to this change.

Fall 1999 we telephoned SLD to determine if we could file for funding for a project which began early fall 1999. The response was "yes" but we needed to file Form 470 on paper not "online" through your Internet web site, wait the appropriate time frame and then file Form 471. We followed these instructions accordingly and mailed the form to your Lawrence, Kansas location on or about December 15, 1999. We received a confirmation from SLD dated 12/27/1999 with application number FCC Form 470: 29890000028595.

Spring 2000 we again telephoned the SLD to ask for directions on how to file Form 471 for funding year 7/01/99 – 6/30/00, i.e. how to file for the corresponding Form 470 Application Number: 298900000285951. The response was that we needed to file online using the only available year which according to SLD was funding year 7/01/2000 – 6/30/2001. Again we followed these instructions very carefully and filed the subject Form 471 online through your web site. Each block and item is supported with data that corresponds to our project that began fall 1999. Block 5 and the corresponding attachments mailed with the certification describe clearly the services for which we were

filing. However, due to the restrictions for online filing we were directed by SLD to use the only possible dates available i.e. dates within the year 3 funding year. Following the correct procedure, we had our Superintendent, Dr. Richard Maurer, sign and date Block 6: Certification and Signature on 5/16/00. We mailed the certification and Form 471, Block 5: Item 21 attachments #1, #2, and # 3 to your Lawrence, Kansas location by registered mail. The U.S Postal service receipt is signed by "Richard Howard" dated May 22, 2000.

Since May 2000, I have been following up by browsing your web site for the funding awards. I telephoned the SLD many times to determine the status not only of Form 471 Application # 206406 but for others as well. In each case I was told that there was no way to know the status until the applications were actually processed through your system. I followed up again today by telephoning the SLD. This time I received the news that the subject application is filed as a year 3 request. I asked how this application error could be corrected and received information which has resulted in the writing of this letter.

As you can see from the above, Form 471 Application # 206406 should have been filed for year 2 funding not year 3. Please feel free to call me at any time at (845) 620-6884.

Sincerely

Ben R. Carosi