

Union County Board of Education

P.O. Box 10
Maynardville, TN 37807

Phone: 865-992-5466
Fax: 865-992-0126

RECEIVED

JUL 23 2001

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FCC MAIL ROOM

July 18, 2001

Dr. James Pratt
Director

Eddie Williams
Chairman

Rick Merritt
Vice-Chairman

Board Members
Colleen Beeler

Steve Browning

Beverly Campbell

Calvin Chesney

Jennifer Garren

Federal Communications Commission
Office of the Secretary
445 12th Street, SW, Room TW-A325
Washington, DC 20554

Re: Reference Number CC Docket Nos. 96-45 and 97-21
Applicant's Form Number: 8704714
Form 471 Application Number: 263728

Dear Sirs:

Enclosed please find a copy of Form 471, USAC Denial Letter, and a copy of Letter of Appeal. As you can see from the correspondence, Union County Schools has been denied funding for Funding Year Four due to a computer printing error.

We respectfully request that this decision be reconsidered. The State of Tennessee and Union County School System are both experiencing budget cuts and spending restraints. This denial of funding comes at a time when alternate methods of funding technology advancements for our students is at an all time low. According to common information, the SLC was created to help small poverty area systems fund large expensive communication and technology projects. We are in desperate need of these funds to complete numerous projects in our technology plan to help our students achieve their full potential. It seems a shame that USAC could let a small problem of one blank square in a section of the 471 Form deny our students much needed funding. If funding cannot be granted at the beginning of the funding year, we would like our application considered in the event additional money is available at the end of the funding year.

No. of Copies rec'd 0
List A B C D E

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It is for this very important reason we request that you reconsider us for funding in Funding Year Four.

Thank you for your time and consideration of this request.

Sincerely,



Wayne Goforth
Technology Supervisor
Union County School District
P.O. Box 10
Maynardville, TN 37807

865-992-5466

Email: goforthw@ucps.org

Enclosures:

- (1) Form 471 (corrected)
- (2) Administrator's Decision on Appeal – Funding Year 2001-2002
- (3) Letter of Appeal

FCC Form 471



FY 04

NEC47101-17-0105300362

Approval by OMB

RECEIVED

JUL 23 2001

FCC MAIL ROOM

**Schools and Libraries Universal Service
Services Ordered and Certification Form 471**

Estimated Average Burden Hours Per Response: 4 hours

This form asks schools and libraries to list the eligible telecommunications-related services they have ordered and estimate the annual charges for them so that the Fund Administrator can set aside sufficient support to reimburse providers for services.

Please read instructions before beginning this application. (See www.sl.universalservice.org for filing this form online)

Applicant's Form Identifier: 8704714
(Create your own code to identify THIS Form 471)

Block 1: Billed Entity Information

(The "Billed Entity" is the entity paying the bills for the services listed on this form.)

1	Name of Billed Entity (30 characters max.)	Union County School District		
2	Funding Year: July 1, 2001 through June 30, 2002	3	Entity Number (up to 10 digits)	128368
4a	Street Address, P.O. Box, or Route Number	P.O. Box 10		
	City	State	Zip Code	
	Maynardville	TN	37807	
b	Telephone Number (10 digits + ext.)	(865) 992 - 5466 ext. 101		
c	Fax Number (10 digits)	(865) 992 - 0126		
d	E-mail Address (50 characters max.)	goforthw@ucps.org		
5	Type of Application	<input type="checkbox"/> School (public or non-public school) <input checked="" type="checkbox"/> School District (LEA; public or non-public (e.g., diocesan) local district representing multiple schools) <input type="checkbox"/> Library (library (i.e. outlet/branch, system)) <input type="checkbox"/> Consortium <input type="checkbox"/> Check here if any members of this consortium are ineligible non-governmental entities.		

6a Contact Person's Name **Wayne Goforth**

First, fill in every item of the Contact Person's information below that is different from Item 4, above.
Then check the box next to the preferred mode of contact. (At least one box MUST be checked.)

b	<input type="checkbox"/> Street Address, P.O. Box, or Route Number			
	City	State	Zip Code	
c	<input type="checkbox"/> Telephone Number (10 digits + ext.)	() - ext.		
d	<input type="checkbox"/> Fax Number (10 digits)	() -		
e	<input checked="" type="checkbox"/> E-mail Address (50 characters max.)	goforthw@ucps.org		
f	Holiday/vacation/summer contact information:	Wayne Goforth Cell Phone 865-679-7087		

Block 2: Minor Modification to Existing Contract?

7 Check if this Form 471 represents a minor modification, such as a modification of services, to a Form 471 for which you already have a Receipt Acknowledgement Letter. Provide the data requested below, attach a Description of Services highlighting the modified service, and sign Block 6.

Form 471 Application #: Funding Request Number:

Minor modification requests can be filed MANUALLY only. Please see www.sl.universalservice.org for filing instructions.

Block 3: Impact of Services Ordered in THIS Application

8 Please provide your best estimate of the number of people who will be served by all of the services ordered in THIS Form 471. Schools/school districts complete 8a. Libraries complete 8b. Consortia complete 8a and/or 8b.

a Number of students to be served b Number of library patrons to be served

9 The following questions seek summary outcome information based on the services ordered in this Form 471 application. Please complete only those rows that are relevant to THIS application.

IF THIS APPLICATION INCLUDES...		BEFORE ORDER	AFTER ORDER
a	(Schools/districts/consortia only) Telephone service: How many classrooms had phone service before and after your order?	250	300
b	High-bandwidth voice/data/video service: How many buildings served before and after your order?	8	10
c	High-bandwidth voice/data/video service: Highest speed to a building before and after your order?	T1	T1
d	Dial-up Internet connections: How many before and after your order?		
e	Dial-up Internet connections: Highest speed before and after your order?		
f	Direct connections to the Internet: How many before and after your order?	1	1
g	Direct connections to the Internet: Highest speed before and after your order?	1	1
h	Internet access (for schools): How many rooms have Internet access before and after your order?	300	325
i	Internet access (for libraries): How many buildings have Internet access before and after your order?		
j	Internet access: How many computers (or other devices) with Internet access before and after your order?	603	1500
k	Other technology outcomes: (please specify):		

Block 4: Discount Calculation Worksheets (pages 3a, 3b, and 3c)

The following 3 pages (3a, 3b, and 3c) are Block 4 worksheets for use in calculating your discount for services. You will complete one or more depending on the type of application you are filing. Each worksheet has instructions.

- If you are filing as a school or a school district, use Worksheet A (page 3a).
- If you are filing as a library (i.e. outlet/branch, system), use Worksheet B (page 3b).
- If you are filing as a consortium, use Worksheet C (page 3c), and include as many Worksheets A and B as you need for back-up documentation.

Entity Number 128368 Applicant's Form Identifier 8704714
 Contact Person Wayne Goforth Phone Number 865-992-5486

Block 4: Discount Calculation Worksheet A for Schools/School Districts

Worksheet #A- 1
Page 3 of 6

Instructions: If you are filing a School/School District application, use this worksheet to calculate the discount rate for site-specific services and/or to determine the weighted average discount calculations for shared services.

(For Administrator's Use)

10a If you are:

- Applying for discounts ONLY for an individual school, or ONLY site-specific services: Complete columns 1-7 only for each school. Add and number pages as needed. Then use each school's Entity Number and its discount from Column 7 to complete Block 5 site-specific service to that school.
- Applying for discounts on services shared by ALL schools in the district (with or without site-specific services as well): Complete all columns 1-8 for all schools in the district. Then use the Weighted Average Discount in 10c (below) to complete Block 5 for shared services.
- Applying for discounts on different shared services shared by different groups of schools (with or without site-specific services as well): Complete one worksheet, columns 1-8 PLUS 10c, for EACH different group of schools sharing a service. Designate this worksheet A-1, A-2, A-3, etc.

10b List entities and calculate discount(s).

School District Name: Union County School District School District Entity Number: 128368

1 Name of Eligible School	2 Entity Number	3 Urban or Rural U or R	4 Total # of Students	5 # of Students Eligible for NSLP	6 % Students Eligible for NSLP (Col. 5 + Col. 4)	7 Discount % from Discount Matrix	8 Weighted Product for Calculating Shared Discount (Col. 4 x Col. 7)
Luttrell Elementary School	41772	R	313	185	59%	80%	250.4
Maynardville Elementary School	41794	R	757	468	61%	80%	605.6
Big Ridge Elementary School	41796	R	213	109	51%	80%	170.4
Sharps Chapel Elementary School	41898	R	123	83	67%	80%	98.4
Horace Maynard Middle School	188502	R	761	423	55%	80%	608.8
Union County High School	41795	R	903	376	41%	70%	632.1
Union County Alternative School	223882	R	20	12	60%	80%	16
Totals for calculating Weighted Average Discount			3090				2381.7

10c Weighted Average Discount % for Shared Services (Col. 8 total divided by Col. 4 total. Round to nearest %) 77%

Block 5: Discount Funding Request(s)

Block 5, page 4 of 6

Instructions: Use one Block 5 page for EACH service (Funding Request Number) for which you are requesting discounts. Make as many copies of this page as necessary, and number the completed pages to assure that they are all processed correctly.



11 Category of Service (only ONE category should be checked) <input checked="" type="radio"/> Telecommunications Service <input type="radio"/> Internet Access <input type="radio"/> Internal Connections	15 Contract Number (if available; use "T" if tariffed services, "MTM" if month-to-month services as described in Instructions)	99-3-870
	16 Billing Account Number (e.g., billed telephone number)	865-992-5466
12 Form 470 Application Number (15 digits) 947720000140427	17 Allowable Vendor Selection/Contract Date (mm/dd/yyyy) (based on Form 470 filing)	4/1/1999
13 SPIN - Service Provider Identification Number (9 digits) 14300004824	18 Contract Award Date (mm/dd/yyyy)	07/01/99
	19a Service Start Date (mm/dd/yyyy)	07/01/01
	19b Service End Date (mm/dd/yyyy) (use only for "T" or "MTM" services)	
14 Service Provider Name	20 Contract Expiration Date (mm/dd/yyyy)	06/30/02

21 **Description of This Service:** You MUST attach a description of the service, including a breakdown of components and costs, plus any relevant brand names. Label this description with an Attachment #, and note number in space provided below.
Attachment # 1

22 **Entity/Entities Receiving This Service:**
 a. If the service is site-specific (provided to one site and not shared by others), list the Entity Number of the entity from Block 4 receiving this service : _____
 b. If the service is shared by all entities on a Block 4 worksheet, list the worksheet number (e.g., A-1): _____

23 Calculations					Recurring Charges			Non-Recurring Charges			Total Charges	
A	B	C	D	E	F	G	H	I	J	K		
Monthly \$ charges (total amount per month for service)	How much of the \$ amount in (A) is ineligible?	Eligible monthly pre-discount amount (A minus B)	# of months service provided in program year	Annual pre-discount \$ amount for eligible recurring charges (C x D)	Annual non-recurring (one-time) \$ charges	How much of the \$ amount in (F) is ineligible?	Annual eligible pre-discount \$ amount for one-time charges (F minus G)	Total program year pre-discount \$ amount (E + H)	% discount (from Block 4 Worksheet)	Funding Commitment \$ Request (I x J)		
\$5,862.60	\$5,862.60	\$5,862.60	12	\$70,351.20	0	n/a	\$0.00	\$70,351.20	77%	\$54,170.42		

Do not write in this area

Entity Number 128368 Applicant's Form Identifier 8704714
Contact Person Wayne Goforth Phone Number 865-992-5466

Block 6: Certifications and Signature

- 24 The entities listed in Block 4 of this application are eligible for support because they are: (Check one or both.)
 - a schools under the statutory definitions of elementary and secondary schools found in the Elementary and Secondary Education Act of 1965, 20 U.S.C. Secs. 8801(14) and (25), that do not operate as for-profit businesses and do not have endowments exceeding \$50 million; and/or
 - b libraries or library consortia eligible for assistance from a State library administrative agency under the Library Services and Technology Act of 1996 that do not operate as for-profit businesses and whose budgets are completely separate from any schools, including, but not limited to, elementary and secondary schools, colleges, or universities.
- 25 The eligible schools and libraries listed in Block 4 of this application have secured access to all of the resources, including computers, training, software, maintenance, and electrical connections necessary to make effective use of the services purchased as well as to pay the discounted charges for eligible services.
- 26 All of the schools and libraries or library consortia listed in Block 4 of this application are covered by:
 - a an individual technology plan for using the services requested in this application; and/or
 - b higher-level technology plan(s) for using the services requested in this application; or
 - c no technology plan needed; applying for basic local and long distance telephone service only.
- 27 Status of technology plans (if representing multiple entities with mixed technology plan status, check both a and b):
 - a technology plan(s) has/have been approved; and/or
 - b technology plan(s) will be approved by a state or other authorized body; or
 - c no technology plan needed; applying for basic local and long distance telephone service only.
- 28 I certify that the entities eligible for support that I am representing have complied with all applicable state and local laws regarding procurement of services for which support is being sought.
- 29 I certify that the services the applicant purchases at discounts provided by 47 U.S.C. Sec. 254 will be used solely for educational purposes and will not be sold, resold, or transferred in consideration for money or any other thing of value.
- 30 I certify that the entity(ies) I represent has complied with all program rules and I acknowledge that failure to do so may result in denial of discount funding and/or cancellation of funding commitments.
- 31 I understand that the discount level used for shared services is conditional, for future years, upon ensuring that the most disadvantaged schools and libraries that are treated as sharing in the service, receive an appropriate share of benefits from those services.
- 32 I recognize that I may be audited pursuant to this application. I will retain for five years any and all worksheets and other records that I rely upon to fill out this application, and, if audited, will make available to the Administrator such records.
- 33 I certify that I am authorized to submit this request on behalf of the above-named entities, that I have examined this request, and to the best of my knowledge, information, and belief, all statements of fact contained herein are true.

34 Signature of authorized person <i>Wayne Goforth</i>	35 Date <i>01/11/01</i>
36 Printed name of authorized person <i>Wayne Goforth</i>	
37 Title or position of authorized person <i>Technology Supervisor</i>	
38 Telephone number of authorized person: <i>(865) 992 - 5466, ext.</i>	
Persons willfully making false statements on this form can be punished by fine or forfeiture, under the Communications Act, 47 U.S.C. Secs. 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18 U.S.C. Sec. 1001.	
The Americans with Disabilities Act, the Individuals with Disabilities Education Act and the Rehabilitation Act may impose obligations on entities to make the services purchased with these discounts accessible to and usable by people with disabilities.	

Entity Number 128368 Applicant's Form Identifier 8704714
Contact Person Wayne Goforth Phone Number 865-992-5466

NOTICE TO INDIVIDUALS: Section 54.504 of the Federal Communications Commission's rules requires all schools and libraries ordering services that are eligible for and seeking universal service discounts to file this Services Ordered and Certification Form (FCC Form 471) with the Universal Service Administrator, 47 C.F.R. § 54.504. The collection of information stems from the Commission's authority under Section 254 of the Communications Act of 1934, as amended, 47 U.S.C. § 254. The data in the report will be used to ensure that schools and libraries comply with the competitive bidding requirement contained in 47 C.F.R. § 54.504. All schools and libraries planning to order service eligible for universal service discounts must file this form themselves or as part of a consortium.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

The FCC is authorized under the Communications Act of 1934, as amended, to collect the personal information we request in this form. We will use the information you provide to determine whether approving this application is in the public interest. If we believe there may be a violation or a potential violation of a FCC statute, regulation, rule or order, your application may be referred to the Federal, state, or local agency responsible for investigating, prosecuting, enforcing, or implementing the statute, rule, regulation or order. In certain cases, the information in your application may be disclosed to the Department of Justice or a court or adjudicative body when (a) the FCC; or (b) any employee of the FCC; or (c) the United States Government is a party of a proceeding before the body or has an interest in the proceeding.

If you owe a past due debt to the Federal government, the taxpayer identification number (such as your social security number) and other information you provide may also be disclosed to the Department of the Treasury Financial Management Service, other Federal agencies and/or your employer to offset your salary, IRS tax refund or other payments to collect that debt. The FCC may also provide the information to these agencies through the matching of computer records when authorized.

If you do not provide the information we request on the form, the FCC may delay processing of your application or may return your application without action.

The foregoing Notice is required by the Privacy Act of 1974, Pub. L. No. 93-579, December 31, 1974, 5 U.S.C. § 552, and the Paperwork Reduction Act of 1995, Pub. L. No. 104-13, 44 U.S.C. § 3501, et seq.

Public reporting burden for this collection of information is estimated to average 4 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, completing, and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the reporting burden to the Federal Communications Commission, Performance Evaluation and Records Management, Washington, DC 20554.

Please submit this form to:

**SLD-Form 471
P.O. Box 7026
Lawrence, Kansas 66044-7026**

For express delivery services or U.S. Postal Service, Return Receipt Requested, mail this form to:

**SLD-Form 471
c/o Ms. Smith
3833 Greenway Drive
Lawrence, Kansas 66046
(888) 203-8100**



**Universal Service Administrative Company
Schools & Libraries Division**

Fund Year 4 FORM 471-REJECTION LETTER

January 30, 2001

**WAYNE GOFORTH
UNION COUNTY SCHOOL DISTRICT
P.O. BOX 10
MAYNARDVILLE, TN 37807**

**Re: Applicant's Form Identifier: 8704714
Form 471 Application Number: 263728**

Dear Applicant:

This letter is your notification that the entire FCC Form 471, *Services Ordered and Certification Form*, you submitted did not meet Minimum Processing Standards and cannot be processed. *Your Form 471 is enclosed with this letter, which means that the Schools and Libraries Division (SLD) could not process any portion of it.* Below is an explanation of the specific reason(s) your Form 471 did not meet the Minimum Processing Standards:

- **At least one Funding Request entry in Block 5, Item 23, Columns E, H, I and K on the Form 471 submitted is blank.**

If you disagree with this decision and you wish to appeal to the SLD, your appeal must be made in writing and received by us within 30 days of issuance of this letter. In your letter of appeal, please include: correct contact information for the appellant, information on the decision you are appealing, the specific Funding Request in question, a copy of this letter and an original authorized signature. Appeals sent by fax, e-mail or phone call cannot be processed. Please mail your appeal to: Letter of Appeal, Schools and Libraries Division, Box 125-Correspondence Unit, 80 South Jefferson Road, Whippany, NJ 07981. You may also call our Client Service Bureau at 888-203-8100. While we encourage you to resolve your appeal with the SLD first, you have the option of filing an appeal directly with the Federal Communications Commission (FCC), by sending your notice of appeal to: FCC, Office of the Secretary, 445 12th Street, SW; 12th Street Lobby, SW; Washington, D.C. 20554. Please reference CC Docket Nos. 96-45 and 97-21 on the first page of your appeal. If you choose to file an appeal with the FCC, your appeal must be received no later than 30 days from the date on this letter.

**Schools and Libraries Division
Universal Service Administrative Company**

Enclosure:

(1) Form 471



Administrator's Decision on Appeal - Funding Year 2001-2002

June 26, 2001

Wayne Goforth, Technical Supervisor
Union County School District
PO Box 10
Maynardsville, TN 37807

Re: Billed Entity Number: 128368
471 Application Number: 263728
Funding Request Number(s): not assigned
Your Correspondence Dated: February 20, 2001

After thorough review and investigation of all relevant facts, the Schools and Libraries Division ("SLD") of the Universal Service Administrative Company ("USAC") has made its decision in regard to your appeal of SLD's Year Four Funding Commitment Decision for the Application Number indicated above. This letter explains the basis of SLD's decision. The date of this letter begins the 30-day time period for appealing this decision to the Federal Communications Commission ("FCC"). If your letter of appeal included more than one Application Number, please note that for each application for which an appeal is submitted, a separate letter is sent.

Funding Request Number: not assigned
Decision on Appeal: Denied in full
Explanation:

- You have stated on appeal that there was a printing error with regards to your Form 471 that was submitted for funding Year Four. You apologize for this error and your request that the Form 471 be reconsidered for funding. You have included a completed Form 471 with the corrected information.
- After thorough review of your appeal, it was determined from the Form 471 application submitted that Block 5, Item 23 (B, H, and K) was all zeros for one funding request. This is the reason the application was rejected for Minimum Processing Standards in Year 4. According to program rules the Form 471 is considered to be received when it has the required information necessary to pass Minimum Processing Standards. Since the Form 471 was not successfully completed (Block 5, Item 23 (B, H, and K) are all zeros for one funding request) it was returned in accordance with program rules. In response to your request to resubmit the Year 4

Form 471, it is noted that the Funding Year 4 window deadline for submitting all Form 471 applications was January 18, 2001. Consequently, the SLD will not data enter your funding request, and your appeal is denied in full.

If you believe there is a basis for further examination of your application, you may file an appeal with the Federal Communications Commission, Office of the Secretary, 445 19th Street, SW, Room TW-4325, Washington, DC 20554. Please reference CC Docket Nos. 96-45 and 97-21 on the first page of your appeal. Before preparing and submitting your appeal, please be sure to review the FCC rules concerning the filing of an appeal of an Administrator's Decision, which are posted on the website at www.universalservice.org. You must file your appeal with the FCC no later than 30 days from the date on this letter for your appeal to be filed in a timely fashion.

We thank you for your continued support, patience, and cooperation during the appeal process.

Schools and Libraries Division
Universal Service Administrative Company

Letter of Appeal
Schools and Libraries Division
Box 125- Correspondence
80 South Jefferson Road
Whippany, NJ 07981

Re: Applicant's Form Identifier: 8704714
Form 471 Application Number: 263728

Dear Sirs:

Your letter of January 30, 2001 has indicated that pertinent information was inadvertently left off our 471 Application (263728).

At least one Funding Request entry in Block 5, Item 23, Columns E, H, I and K on the form 471 submitted is blank.

This error was due to a printing error on the final copy we sent to you. We apologize for the error and we wish to still be considered for funding for year 4. The students in our community are 60 - 90% free or reduced lunch in a very rural location. Jobs are few and many of our students are deprived of educational experiences due to this fact. Over the past few years, the SLD funding has provided funds to help our students surf the internet, work with educational curriculum software, go to school in a safer environment, help plan careers and myriad other ways. It seems a shame that such a small error could deprive our deserving students with so much.

Please find the attached corrected 471 form. We hope you will find time to review this form again.

We apologize for any inconvenience we may have caused.

Thank you for your timely consideration of our request.

Sincerely,



Wayne Goforth
Technology Supervisor
Union County School District
PO Box 10
Maynardville, TN 37807

865-992-5466
email: goforthw@ucps.org

Enclosures:

- (1) Form 471 (corrected)
- (2) Copy of Rejection Letter