

DOCKET FILE COPY ORIGINAL

UTICA CITY SCHOOL DISTRICT

1115 Mohawk Street
Utica, New York 13501-3709

WILLIAM J. THOMAS
Director of Business and Finance

Telephone: (315) 792-2225
Facsimile: (315) 792-2299

July 24, 2002

CERTIFIED MAIL/RETURN RECEIPT

Federal Communications Commission
Office of the Secretary
445 12th Street SW
Washington, DC 20554



Dear Sir or Madam:

RE: CC Docket Nos. 96-45 and 97-21
Utica City School District

I am in receipt of your denial letter dated June 24, 2002 and again request that you approve for processing the attached application for E-Rate reimbursement.

I again will agree that that data in Block 5 Items 13 and 14 were left blank. This was because as we attempted to get the SPIN numbers from the vendors, the deadline was approaching. We called the client service number and were told that as long as we attached copies of the invoices, you would be in a position to readily identify the vendor.

Since that time, we reported for consideration those SPIN numbers in our letter dated March 18, 2002, which is attached.

I want to make this appeal for the children of our district. As you can tell, we do carry a high degree of poverty in this city and need this funding to continue progress with technology.

We again, respectfully, request that you approve our requests on behalf of all our children.

Sincerely,

A handwritten signature in cursive script that reads "William J. Thomas".

William J. Thomas
Director of Business and Finance

mss

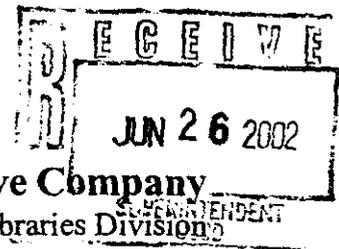
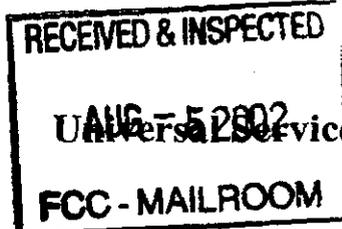
Enclosures

c: Congressman Sherwood Boehlert

No. of Copies rec'd 0
List ABCDE

Authorized Signature:

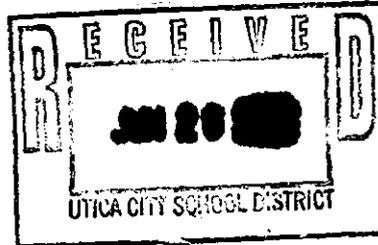
A handwritten signature in cursive script that reads "Daniel G. Lowergard".
Daniel G. Lowergard
Superintendent of Schools



Administrator's Decision on Appeal - Funding Year 2002-2003

June 24, 2002

Daniel G. Lowengard
Superintendent of Schools
Utica City School District
1115 Mohawk Street
Utica, NY 13501-3709



Belt
?

Re: Billed Entity Number: 124604
471 Application Number: 328277
Funding Request Number(s): 883942
Your Correspondence Dated: March 18, 2002

After thorough review and investigation of all relevant facts, the Schools and Libraries Division ("SLD") of the Universal Service Administrative Company ("USAC") has made its decision in regard to your appeal of SLD's Year Five Funding Commitment Decision for the Application Number indicated above. This letter explains the basis of SLD's decision. The date of this letter begins the 60-day time period for appealing this decision to the Federal Communications Commission ("FCC"). If your letter of appeal included more than one Application Number, please note that for each application for which an appeal is submitted, a separate letter is sent.

Funding Request Number: 883942
Decision on Appeal: **Denied in full.**
Explanation:

- In your letter of appeal you admit that you left Block 5, Items 13 and 14 blank. You included the missing information with your letter of appeal.
- The original submission of this funding request was missing data: Block 5 Items 13 and 14 are blank. This caused the form to be rejected for failing to meet the minimum processing standards for that form. Forms that do not meet the minimum processing standards are not considered for funding. Your appeal has not shown that the request was improperly denied. Consequently, this funding request will not be data entered and your appeal is denied.
- It is the responsibility of the applicant to properly fill out the application accurately and provide all the necessary documentation on time. During the

original submission you were required to complete Block 5. Items 13 and 14 of Block 5 were left blank. Since you left them blank the appeal is denied.

- During the appeals process we are unable to accept new information except under limited circumstances. For example, if SLD does not properly follow the procedures then we can accept new information with the letter of appeal. In this case the procedures were followed therefore we cannot accept the new information on appeal.

If you believe there is a basis for further examination of your application, you may file an appeal with the Federal Communications Commission (FCC) via United States Postal Service: FCC, Office of the Secretary, 445-12th Street SW, Washington, DC 20554. If you are submitting your appeal to the FCC by other than United States Postal Service, check the SLD web site for more information. Please reference CC Docket Nos. 96-45 and 97-21 on the first page of your appeal. **The FCC must RECEIVE your appeal WITHIN 60 DAYS OF THE ABOVE DATE ON THIS LETTER for your appeal to be filed in a timely fashion.** Further information and new options for filing an appeal directly with the FCC can be found in the "Appeals Procedure" posted in the Reference Area of the SLD web site, www.sl.universalservice.org.

We thank you for your continued support, patience, and cooperation during the appeal process.

Schools and Libraries Division
Universal Service Administrative Company

UTICA CITY SCHOOL DISTRICT

1115 Mohawk Street

Utica, New York 13501-3709

WILLIAM J. THOMAS
Director of Business and Finance

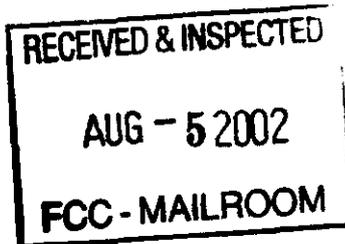
Telephone: (315) 792-2225

Facsimile: (315) 792-2299

March 18, 2002

CERTIFIED MAIL/RETURN RECEIPT

Letter of Appeal
Schools and Libraries Division
Box 125 – Correspondence Unit
80 South Jefferson Road
Whippany, NJ 07981



Dear Sir or Madam:

RE: Utica City School District, Entity Number 124604
Fund Year 5, Form 471 – Rejection Letter (dated February 26, 2002)
Applicant's Form Identifier: 2002-3ER471
Form 471 Application Number: 328277

Please accept this **letter of appeal** concerning our Form 471 for Fund Year 5. Your rejection letter stated:

- The SPIN and Service Provider Name, Block 5, Items 13 and 14, of the FCC Form 471 submitted are both blank on all Funding Requests.

Listed below you will find the information that was missing on our forms:

<u>Service Provider Names</u>	<u>SPIN Numbers</u>
Fairpoint Communications	143018425
Cingular Wireless	143025240
Express System Integration	143010042

I apologize for our confusion concerning the furnishing of this information. I hope this will allow our request to proceed. With this letter, I am resubmitting the original Form 471. Thank you for your consideration of our appeal. Contact information is listed below.

Sincerely,

A handwritten signature in black ink that reads "William J. Thomas".

William J. Thomas
Director of Business and Finance
Address and Phone/Fax Number Listed on Letterhead
E-mail: bthomas@utica-admin.moric.org

mss
Enclosure

Authorized Signature:

A handwritten signature in black ink that reads "Daniel G. Lowengard".
Daniel G. Lowengard
Superintendent of Schools

The Utica City School District is an equal-opportunity organization that does not discriminate on the basis of race, creed, sex, age, handicapping condition, or national origin in admission or access to, or treatment or employment in, programs and activities.

Visit us at our web site: www.uticaschools.org



Approval by OMB
RECEIVED & INSPECTED
 0880-0806
AUG - 5 2002
FCC - MAILROOM

Sc
 Service FY 05

Service

Universal Service Certification Form 471

Estimated Average Burden Hours Per Response: 4 hours

This form asks schools and libraries to list the eligible telecommunications-related services they have ordered and estimate the annual charges for them so that the Fund Administrator can set aside sufficient support to reimburse providers for services.

Please read instructions before beginning this application. (See www.sl.universalservice.org for filing this form online)

Applicant's Form Identifier: **2002-3ER471**

Form 471 Application #
 (to be inserted by Fund Administrator)

Block 1: Billed Entity Information

(The "Billed Entity" is the entity paying the bills for the services listed on this form.)

1 Name of Billed Entity (30 characters max.)		Utica City School District	
2 Funding Year: July 1, 2002 through June 30, 2003	3 Entity Number (up to 10 digits)		124604
4a Street Address, P.O. Box, or Route Number	Administration Building 1115 Mohawk Street		
City	Utica	State	NY
Zip Code	13501-3709		
b Telephone Number (10 digits + ext.)	(315) 792-2210		
c Fax Number (10 digits)	(315) 792-2299		
d E-mail Address (50 characters max.)	msynborski@utica-admin.moric.org		
5 Type of Application	<input type="checkbox"/> School (public or non-public school) <input checked="" type="checkbox"/> School District (LEA; public or non-public (e.g., diocesan) local district representing multiple schools) <input type="checkbox"/> Library (library (i.e. outlet/branch, system)) <input type="checkbox"/> Consortium <input type="checkbox"/> Check here if any members of this consortium are ineligible non-governmental entities.		
6a Contact Person's Name	William J. Thomas		
<i>First, fill in every item of the Contact Person's information below that is different from Item 4, above.</i>			
<i>Then check the box next to the preferred mode of contact. (At least one box MUST be checked.)</i>			
b <input type="checkbox"/> Street Address, P.O. Box, or Route Number			
City	State	Zip Code	
c <input checked="" type="checkbox"/> Telephone Number (10 digits + ext.)	(315) 792-2225		
d <input type="checkbox"/> Fax Number (10 digits)	() - - - -		
e <input checked="" type="checkbox"/> E-mail Address (50 characters max.)	bthomas@utica-admin.moric.org		
f	Holiday/vacation/summer contact information:		

Block 2: Minor Modification to Existing Contract?

7 Check if this Form 471 represents a minor modification, such as a modification of services, to a Form 471 for which you already have a Receipt Acknowledgement Letter. Provide the data requested below, attach a Description of Services highlighting the modified service, and sign Block 6.

Form 471 Application #: Funding Request Number:

Minor modification requests can be filed **MANUALLY** only. Please see www.sl.universalservice.org for filing instructions.

MT
24

Entity Number 124604
 Contact Person William J. Thomas

Applicant's Form Identifier 2002-3ER471
 Phone Number (315) 792-2225

Block 3: Impact of Services Ordered in THIS Application

8 Please provide your best estimate of the number of people who will be served by all of the services ordered in THIS Form 471. Schools/school districts complete 8a. Libraries complete 8b. Consortia complete 8a and/or 8b.

a Number of students to be served b Number of library patrons to be served

9 The following questions seek summary outcome information based on the services ordered in this Form 471 application. Please complete only those rows that are relevant to THIS application.

IF THIS APPLICATION INCLUDES...	BEFORE ORDER	AFTER ORDER
a (Schools/districts/consortia only) Telephone service: How many classrooms had phone service before and after your order?		
b High-bandwidth voice/data/video service: How many buildings served before and after your order?		
c High-bandwidth voice/data/video service: Highest speed to a building before and after your order?		
d Dial-up Internet connections: How many before and after your order?		
e Dial-up Internet connections: Highest speed before and after your order?		
f Direct connections to the Internet: How many before and after your order?		
g Direct connections to the Internet: Highest speed before and after your order?		
h Internet access (for schools): How many rooms have Internet access before and after your order?		
i Internet access (for libraries): How many buildings have Internet access before and after your order?		
j Internet access: How many computers (or other devices) with Internet access before and after your order?		
k Other technology outcomes: (please specify):		

Block 4: Discount Calculation Worksheets (pages 3a, 3b, and 3c)

The following 3 pages (3a, 3b, and 3c) are Block 4 worksheets for use in calculating your discount for services. You will complete one or more depending on the type of application you are filing. Each worksheet has instructions.

- If you are filing as a school or a school district, use Worksheet A (page 3a).
- If you are filing as a library (i.e. outlet/branch, system), use Worksheet B (page 3b).
- If you are filing as a consortium, use Worksheet C (page 3c), and include as many Worksheets A and B as you need for back-up documentation.

Entity Number 124604
 Contact Person William J. Thomas

Applicant's Form Identifier 2002-3ER471
 Phone Number (315) 792-2225

Block 4: Discount Calculation Worksheet A for Schools/School Districts

Worksheet #A-1

Page 1 of 2

Instructions: If you are filing a School/School District application, use this worksheet to calculate the discount rate for site-specific services and/or to determine the weighted average discount calculations for shared services.

(For Administrator's Use)

10a If you are:

- **Applying for discounts ONLY for an individual school, or ONLY site-specific services:** Complete columns 1-7 only for each school. Add and number pages as needed. Then use each school's Entity Number and its discount from Column 7 to complete Block 5 site-specific service to that school.
- * ● **Applying for discounts on services shared by ALL schools in the district (with or without site-specific services as well):** Complete all columns 1-8 for all schools in the district. Then use the Weighted Average Discount in 10c (below) to complete Block 5 for shared services.
- **Applying for discounts on different shared services shared by different groups of schools (with or without site-specific services as well):** Complete one worksheet, columns 1-8 PLUS 10c, for EACH different group of schools sharing a service. Designate this worksheet A-1, A-2, A-3, etc.

10b List entities and calculate discount(s).

School District Name: **Utica City School District**

School District Entity Number: **124604**

1	2	3	4	5	6	7	8
Name of Eligible School	Entity Number	Urban or Rural U or R	Total # of Students	# of Students Eligible for NSLP	% Students Eligible for NSLP (Col. 5 + Col. 4)	Discount % from Discount Matrix	Weighted Product for Calculating Shared Discount (Col. 4 x Col. 7)
Albany Elementary	14650	U	537	358	66.67	80%	429.60
T. R. Proctor High	14753	U	1,622	725	44.70	60%	973.20
M. L. King, Jr., Elementary	14754	U	242	226	93.39	90%	217.80
Watson Williams Elementary	14755	U	529	439	82.99	90%	476.10
Columbus Elementary	14756	U	754	648	85.94	90%	678.60
Hughes Elementary	14757	U	459	304	66.23	80%	367.20
Jones Elementary	14758	U	503	183	36.38	60%	301.80
J. F. Kennedy Middle	14759	U	1,000	627	62.70	80%	800.00
Jefferson Elementary	14761	U	613	426	69.49	80%	490.40
Totals for calculating Weighted Average Discount							

10c Weighted Average Discount % for Shared Services (Col. 8 total divided by Col. 4 total. Round to nearest %)



- continued -

Entity Number 124604
 Contact Person William J. Thomas

Applicant's Form Identifier 2002-3ER471
 Phone Number (315) 792-2225

Block 4: Discount Calculation Worksheet A for Schools/School Districts

Worksheet #A-1

Page 2 of 2

Instructions: If you are filing a School/School District application, use this worksheet to calculate the discount rate for site-specific services and/or to determine the weighted average discount calculations for shared services.

[Redacted Box]
 (For Administrator's Use)

10a If you are:

- Applying for discounts **ONLY** for an individual school, or **ONLY** site-specific services: Complete columns 1-7 only for each school. Add and number pages as needed. Then use each school's Entity Number and its discount from Column 7 to complete Block 5 site-specific service to that school.
- * ● Applying for discounts on services shared by **ALL** schools in the district (with or without site-specific services as well): Complete all columns 1-8 for all schools in the district. Then use the Weighted Average Discount in 10c (below) to complete Block 5 for shared services.
- Applying for discounts on different shared services shared by different groups of schools (with or without site-specific services as well): Complete one worksheet, columns 1-8 PLUS 10c, for EACH different group of schools sharing a service. Designate this worksheet A-1, A-2, A-3, etc.

10b List entities and calculate discount(s).

School District Name: **Utica City School District**

School District Entity Number: **124604**

1 Name of Eligible School	2 Entity Number	3 Urban or Rural U or R	4 Total # of Students	5 # of Students Eligible for NSLP	6 % Students Eligible for NSLP (Col. 5 + Col. 4)	7 Discount % from Discount Matrix	8 Weighted Product for Calculating Shared Discount (Col. 4 x Col. 7)
Gen. Herkimer Elementary	14762	U	650	399	61.38	80%	520.00
Senator Donovan Middle	14767	U	972	678	69.75	80%	777.60
Kernan Elementary	14768	U	714	614	85.99	90%	642.60
Totals for calculating Weighted Average Discount			8,653				6,674.90

10c Weighted Average Discount % for Shared Services (Col. 8 total divided by Col. 4 total. Round to nearest %)



77%

Entity Number 124604
 Contact Person William J. Thomas

Applicant's Form Identifier 2002-3ER471
 Phone Number (315) 792-2225

Block 4: Discount Calculation Worksheet C for Consortia

Worksheet #C-1
 Page 1 of 1


 (For Administrator's Use)

Instructions: If you are filing a Consortium application, use this worksheet to calculate the consortium discount rate based on eligible members' discounts. Provide Worksheets A and/or B for back-up documentation.

10a If you are:

- Applying for discounts **ONLY** on site-specific services:
 Complete columns 1-4 only. Add and number pages as needed.
- * ● Applying for discounts on services shared by **ALL** members (with or without site-specific services as well):
 Complete columns 1-4 PLUS 10c, below.
- Applying for discounts on different shared services shared by different groups of consortium members:
 Complete one worksheet, columns 1-4 PLUS 10c, for EACH different group of entities sharing a service. Designate this worksheet C-1, C-2, C-3, etc.

10b List entities and calculate discount(s).

1	2	3	4
ELIGIBLE MEMBER ENTITIES Name of each school, school district and/or library (i.e. outlet/branch, system) in consortium	ENTITY NUMBER For each entity listed in Column 1		ENTITY DISCOUNT School: Discount from Worksheet A, Column 7 School District: Weighted Average Discount from Worksheet A, Item 10c Library System: Discount from Worksheet B, Item 10c
Utica City School District	124604		77%
Totals for calculating Shared Discount			77%
10c Shared Discount % (Col. 4 total divided by # of entities in Col. 1. Round to nearest %) <div style="text-align: center;">→</div>			77%

Entity Number 124604
 Contact Person William J. Thomas

Applicant's Form Identifier 2002-3ER471
 Phone Number (315) 792-2225

Block 5: Discount Funding Request(s)

Block 5, page 1 of 1

Instructions: Use one Block 5 page for EACH service (Funding Request Number) for which you are requesting discounts.

Make as many copies of this page as necessary, and number the completed pages to assure that they are all processed correctly.

FRN # _____ (to be assigned by administrator)

11 Category of Service (only ONE category should be checked) <input checked="" type="radio"/> Telecommunications Service <input type="radio"/> Internet Access <input type="radio"/> Internal Connections		15 Contract Number (if available; use "T" if tariffed services, "MTM" if month-to-month services as described in Instructions)
12 Form 470 Application Number (15 digits) 738790000401598		16 Billing Account Number (e.g., billed telephone number)
13 SPIN - Service Provider Identification Number (9 digits) Fairpoint Communications: 143018425 Cingular Wireless: 143025240 Express System Integration: 143010042		17 Allowable Vendor Selection/Contract Date (mm/dd/yyyy) (based on Form 470 filing) 01/15/2002
14 Service Provider Name see above		18 Contract Award Date (mm/dd/yyyy)
		19a Service Start Date (mm/dd/yyyy) 07/01/2002
		19b Service End Date (mm/dd/yyyy) (use only for "T" or "MTM" services) 06/30/2003
		20 Contract Expiration Date (mm/dd/yyyy)

21 Description of This Service: You MUST attach a description of the service, including a breakdown of components and costs, plus any relevant brand names. Label this description with an Attachment #, and note number in space provided below.
 Attachment # A (4 pages), # B (2 pages), # C (3 pages)

22 Entity/Entities Receiving This Service:
 a. If the service is site-specific (provided to one site and not shared by others), list the Entity Number of the entity from Block 4 receiving this service : _____
 b. If the service is shared by all entities on a Block 4 worksheet, list the worksheet number (e.g., A-1): A-1 thru A-2; C-1

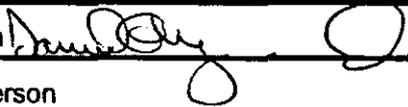
Recurring Charges					Non-Recurring Charges			Total Charges		
A	B	C	D	E	F	G	H	I	J	K
Monthly \$ charges (total amount per month for service)	How much of the \$ amount in (A) is ineligible?	Eligible monthly pre-discount amount (A minus B)	# of months service provided in program year	Annual pre-discount \$ amount for eligible recurring charges (C x D)	Annual non-recurring (one-time) \$ charges	How much of the \$ amount in (F) is ineligible?	Annual eligible pre-discount \$ amount for one-time charges (F minus G)	Total program year pre-discount \$ amount (E + H)	% discount (from Block 4 Worksheet)	Funding Commitment \$ Request (I x J)
		0	12			0			77%	

Entity Number **124604**
 Contact Person **William J. Thomas**

Applicant's Form Identifier **2002-3ER471**
 Phone Number **(315) 792-2225**

Block 6: Certifications and Signature

- 24 The entities listed in Block 4 of this application are eligible for support because they are: (Check one or both.)
- a schools under the statutory definitions of elementary and secondary schools found in the Elementary and Secondary Education Act of 1965, 20 U.S.C. Secs. 8801(14) and (25), that do not operate as for-profit businesses and do not have endowments exceeding \$50 million; and/or
 - b libraries or library consortia eligible for assistance from a State library administrative agency under the Library Services and Technology Act of 1996 that do not operate as for-profit businesses and whose budgets are completely separate from any schools, including, but not limited to, elementary and secondary schools, colleges, or universities.
- 25 The eligible schools and libraries listed in Block 4 of this application have secured access to all of the resources, including computers, training, software, maintenance, and electrical connections necessary to make effective use of the services purchased as well as to pay the discounted charges for eligible services.
- 26 All of the schools and libraries or library consortia listed in Block 4 of this application are covered by:
- a an individual technology plan for using the services requested in this application; and/or
 - b higher-level technology plan(s) for using the services requested in this application; or
 - c no technology plan needed; applying for basic local and long distance telephone service only.
- 27 Status of technology plans (if representing multiple entities with mixed technology plan status, check both a and b):
- a technology plan(s) has/have been approved; and/or
 - b technology plan(s) will be approved by a state or other authorized body; or
 - c no technology plan needed; applying for basic local and long distance telephone service only.
- 28 I certify that the entities eligible for support that I am representing have complied with all applicable state and local laws regarding procurement of services for which support is being sought.
- 29 I certify that the services the applicant purchases at discounts provided by 47 U.S.C. Sec. 254 will be used solely for educational purposes and will not be sold, resold, or transferred in consideration for money or any other thing of value.
- 30 I certify that the entity(ies) I represent has complied with all program rules and I acknowledge that failure to do so may result in denial of discount funding and/or cancellation of funding commitments.
- 31 I understand that the discount level used for shared services is conditional, for future years, upon ensuring that the most disadvantaged schools and libraries that are treated as sharing in the service, receive an appropriate share of benefits from those services.
- 32 I recognize that I may be audited pursuant to this application. I will retain for five years any and all worksheets and other records that I rely upon to fill out this application, and, if audited, will make available to the Administrator such records.
- 33 I certify that I am authorized to submit this request on behalf of the above-named entities, that I have examined this request, and to the best of my knowledge, information, and belief, all statements of fact contained herein are true.

34 Signature of authorized person  35 Date **01/15/2002**

36 Printed name of authorized person **Daniel G. Lowengard**

37 Title or position of authorized person **Superintendent of Schools**

38 Telephone number of authorized person: **(315) 792-2222**

Persons willfully making false statements on this form can be punished by fine or forfeiture, under the Communications Act, 47 U.S.C. Secs. 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18 U.S.C. Sec. 1001.

The Americans with Disabilities Act, the Individuals with Disabilities Education Act and the Rehabilitation Act may impose obligations on entities to make the services purchased with these discounts accessible to and usable by people with disabilities.

08/09/01

For Billing
Inquiries Call
TTY866-241-6567
800-541-8890
Rd 0908

For Customer Use

Amount Paid: _____
Date Paid: _____
Check # _____

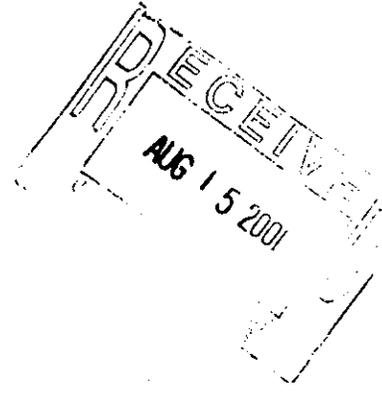
Account Number 0003827070
Account Name UTICA SCHOOL DISTRICT

PREVIOUS BALANCE	PAYMENTS RECEIVED	ADJUSTMENTS	PAST DUE PAYABLE IMMEDIATELY	CURRENT ACTIVITY	TOTAL BALANCE DUE
.00	.00	.00	.00	5,125.24	5,125.24

SUMMARY OF ACCOUNT ACTIVITY

Previous Balance	.00	
Payments received by 08/08/01	.00	
Adjustments	.00	
Past Due Balance Payable Immediately		.00
Current Monthly Charges		
SERVICE	2,459.54	
Other Charges and Credits	7.50	
Taxes	68.10	
Total Current Charges		5,125.24
TOTAL BALANCE DUE by Sep 04, 2001		5,125.24

Handwritten: 2535 14



Please detach this portion and return with your payment.
Include your account number on check.
Send written inquiries to:



CINGULAR WIRELESS
15901 EAST SKELLY DRIVE
TULSA, OK 74116

Account Name: UTICA SCHOOL DISTRICT
Account Number: 0003827070
Invoice Date: 08/09/01

Total Amount Due By	Total Amount Due	Amount Paid
09/04/01	5,125.24	2468 ¹⁴

Send Payments To:

13 000006 03236 E 1 A 99

UTICA SCHOOL DISTRICT
1115 MOHAWK ST
UTICA, NY 13501-3700

124604

CINGULAR WIRELESS
PO BOX 4726
BUFFALO, NY 14240-4726



026003150000200038270700108070512524002

*Question 2, Attachment A
Page 1 of 4*

Invoice Date 08/09/01
Account Number 0003512397
Account Name UTICA SCHOOL DISTRICT

For Billing
Inquiries Call
TTY866-241-6567
800-541-8890

124604

063200
093700
093702

SUMMARY OF ACCOUNT ACTIVITY

Previous Balance	995.88	
Payments received by 08/08/01	.00	
Adjustments	.00	
Past Due Balance Payable Immediately		.00
Current Monthly Charges		
SERVICE	387.14	
Other Charges and Credits	2.50	
Taxes	10.01	
Total Current Charges		399.65
Total Amount Transferred to 0003827070		1,395.53cr
TOTAL BALANCE DUE by Sep 04, 2001		.00

Account Summary

		Rate	Amount	Total
Other Charges and Credits				
08/09/01-09/08/01	DETAIL BILLING	1 Unit 2.50	2.50	
Total Other Charges and Credits				2.50
Taxes				
NEW YORK STATE SURCHARGE		2.9405%	10.01	
Total Taxes				10.01

Ok to pay to wj
P. Saverex to reimburse \$22.08



Invoice Date
Account Number
Account Name

08/09/01
0003557515
UTICA SCHOOL DISTRICT

124604

For Billing
Inquiries Call
TTY866-241-6567
800-541-8890

SUMMARY OF ACCOUNT ACTIVITY

Previous Balance	369.82	
Payments received by 08/08/01	.00	
Adjustments	.00	
Past Due Balance Payable Immediately		.00
Current Monthly Charges		
SERVICE	690.49	
Other Charges and Credits	2.50	
Taxes	19.21	
Total Current Charges		712.20
Total Amount Transferred to 0003827070		1,082.02cr
TOTAL BALANCE DUE by Sep 04, 2001		.00

~~Account Summary~~

				Rate	Amount	Total
Other charges and credits:						
08/09/01-09/08/01	DETAIL BILLING	1	Unit	2.50	2.50	
Total Other Charges and Credits						2.50

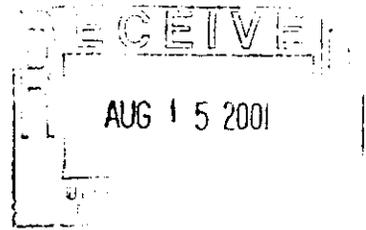
~~TAXES~~

NEW YORK STATE SURCHARGE	2.9405%	19.21	
Total Taxes			19.21

CG - owes 367.
Per owner 9-4-01

Question 21, attachment 1
Page 3 of 4

OK L. Lee



SUMMARY OF ACCOUNT ACTIVITY

Previous Balance	845.82	
Payments received by 08/08/01 Thank You	890.95cr	
Adjustments	.00	
Past Due Balance Payable Immediately		.00
 Current Monthly Charges		
SERVICE	249.56	
Other Charges and Credits	.00	
Taxes	6.02	
Total Current Charges		255.58
 Total Amount Transferred to 0003827070		210.45cr
TOTAL BALANCE DUE by Sep 04, 2001		.00

Account Summary

	Rate	Amount	Total
--	------	--------	-------

Payments

07/09/01	BILL PAYMENT		890.95cr
Total Payments			890.95cr

Other charges and credits

08/09/01-09/08/01	DETAIL BILLING	1 Unit	.00	.00
Total Other Charges and Credits				.00

Taxes

NEW YORK STATE SURCHARGE		2.9405%	6.02
Total Taxes	2,535.14*+		6.02

004
→ 3,902.57 *

MONTHLY TOTAL

*Question 21, attachment
Page 4 of 4*

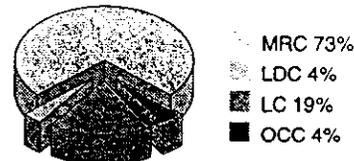
Account Summary

Previous Bill	\$26,725.29
Activity through September 11, 2001	
Payment Received - Thank You!	\$0.00
Late Payment Charge	\$0.00
Balance Forward	\$26,725.29
Current Charges For All Services	
Monthly Recurring Charges (MRC)	\$10,020.69
Other Charges and Credits (OCC)	\$615.00
Adjustments (ADJ)	\$0.00
Local Charges (LC)	\$2,573.39
Long Distance Charges (LDC)	\$487.28
Total	\$13,696.36
Taxes	\$364.76
Total Amount of Bill	\$40,786.41

Billing History in US\$



Current Charges



Online Customer Information! Now you can get your questions answered online, 24x7. Customer service, repair, product information, corporate background ... it's all there. And if the answer isn't instantly available from our database, it will be automatically forwarded to one of our experts for a quick response. Just click on our website at www.fairpoint.com and select Customer Service.



99 Troy Rd. Ste.100
E. Greenbush, NY 12061

Please detach and return with your payment

Current Charges become Past Due on October 16, 2001, after which a 1.24% per month late payment charge may be applied.

- Change of Address
 - E-Mail Change
- (Complete Reverse Side)

Account	Amount Due
0300012786	\$40,786.41

Amount Paid 14061¹²

Utica City School District
1115 Mohawk St
Dept Of Buildings
Utica NY 13501-3700
124604
5738

Fairpoint Communications
PO Box 27500
Newark, NJ 07101-8700

1002000000000030001278642001101600040786412001091100000005566193

Question 21, attachment B, Page 1 of 2

**Utica City School District
Fair Point Bill**

124604

AS OF: September 19, 2001		
Turner Construction	HBPO-2110-240-02	\$358.31
Bus Garage	A1620-442-00	\$103.59
Administration Building	A1620-442-00	\$4,787.05
Teacher Resource	A1620-442-00	\$114.63
E.P.E.	A1620-442-00	\$29.13
F.E.S.A	A1620-442-00	\$208.52
Shop	A1620-442-00	\$127.24
Central Kitchen	A1620-442-00	\$155.78
Magnet	A1620-442-00	\$124.13
Jefferson Base Camp	A1620-442-00	\$20.98
Herkimer Base Camp	A1620-442-00	\$24.05
Columbus B & G Club	A1620-442-00	\$18.17
All Schools	A1620-443-00	\$7,989.54
	TOTAL	\$14,061.12
Administration Building	A1620-442-00	\$5,326.66
Proctor	A1620-443-00	\$2,146.96
JFK	A1620-443-00	\$1,126.46
Donovan	A1620-443-00	\$1,181.88
Albany	A1620-443-00	\$266.78
Columbus	A1620-443-00	\$528.90
BOCES Alt. Ed. @ Conk.	A1620-443-00	\$427.97
Herkimer	A1620-443-00	\$276.67
Hughes	A1620-443-00	\$324.35
Jefferson	A1620-443-00	\$280.74
Jones	A1620-443-00	\$300.02
Kernan	A1620-443-00	\$462.89
King Jr.	A1620-443-00	\$223.37
Watson Williams	A1620-443-00	\$421.57
Wetmore	A1620-443-00	\$20.98
Turner Construction	HBPO-2110-240-02	\$358.31
Shop; Cen. Kitchen; Bus Garage	A1620-442-00	\$386.61
	TOTAL	\$14,061.12

Prepared on 12/3/01

Question 21, attachment B, Page 2 of 2

Entity Number: 124604
 Contact Person: William J. Thomas

Applicant's Form Identifier: 2002-3ER471
 Phone Number: (315) 792-2225

School	Materials	Estimated Cost	Goals
Proctor High School	36 – 24 Port Hewlet Packard 10/100/1000 data switches	\$36,000.00	To upgrade outdated wiring to provide an adequate LAN and the proper infrastructure to support our pilot “wireless program.”
	175000 Feet CAT 5E Ethernet cable	\$21,875.00	
	64 Fiber Optics Modules	\$ 9,375.00	To create Internet Service for faculty and students to build the capacity to support our “laptop for every student program.”
	16 Stacking Modules	\$ 5,600.00	
	200 SC Connectors	\$ 5,700.00	
	5000' Interduct	\$ 400.00	
	5000' Fiber Optic Cable – 6 Strand Hangers		
	20 Racks	\$ 2,500.00	
	Wiring Labor @ \$50/man hour	\$48,000.00	
7 Compaq Proliant DL380 File Servers Dual Processor RAID 5 1.26 Ghz Pentium 3 Processors	\$77,000.00		

Entity Number: 124604
Contact Person: William J. Thomas

Applicant's Form Identifier: 2002-3ER471
Phone Number: (315) 792-2225

School	Materials	Estimated Cost	Purpose
Hughes	Compaq Proliant DL380 File Servers Dual Processor RAD 5 1.26 Ghz Pentium 3 Processors	\$15,000	Upgrade inadequate LAN
Kernan	Compaq Proliant DL380 File Servers Dual Processor RAD 5 1.26 Ghz Pentium 3 Processors	\$15,000	Upgrade inadequate LAN
Watson-Williams	Compaq Proliant DL380 File Servers Dual Processor RAD 5 1.26 Ghz Pentium 3 Processors	\$15,000	Upgrade inadequate LAN
King	Compaq Proliant DL380 File Servers Dual Processor RAD 5 1.26 Ghz Pentium 3 Processors	\$15,000	Upgrade inadequate LAN
Columbus	Compaq Proliant DL380 File Servers Dual Processor RAD 5 1.26 Ghz Pentium 3 Processors	\$15,000	Upgrade inadequate LAN

Entity Number: 124604
 Contact Person: William J. Thomas

Applicant's Form Identifier: 2002-3ER471
 Phone Number: (315) 792-2225

Herkimer	Compaq Proliant DL380 File Servers Dual Processor RAD 5 1.26 Ghz Pentium 3 Processors	\$15,000	Upgrade inadequate LAN
District-Wide Support for above mentioned schools	SLA for Novell Network Operating System	\$15,000	Upgrade District Network Operating System Upgrade e-mail service
	Terminal Services Server	\$15,000	
	Group-wise e-mail	\$10,000	
	70 Patch panels	\$ 7,000	Upgrade Wiring Infrastructure
		<u>\$343,450</u> TOTAL	

USAC

RECEIVED & INSPECTED

AUG - 5 2002

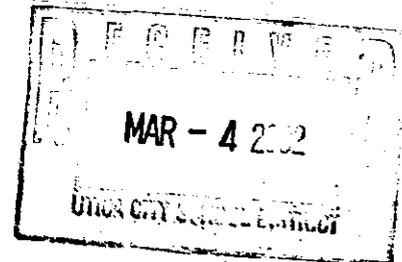
FCC - MAILROOM

Universal Service Administrative Company
Schools & Libraries Division

Fund Year 5 FORM 471-REJECTION LETTER

February 26, 2002

WILLIAM J. THOMAS
UTICA CITY SCHOOL DISTRICT
ADMINISTRATION BUILDING, 1115 MOHAWK STREET
UTICA, NY 13501-3709



Re: Applicant's Form Identifier: 2002-3ER471
Form 471 Application Number: 328277

Dear **WILLIAM J. THOMAS:**

This letter is your notification that the entire FCC Form 471, *Services Ordered and Certification Form*, you submitted did not meet Minimum Processing Standards and cannot be processed. *Your Form 471 is enclosed with this letter, which means that the Schools and Libraries Division (SLD) could not process any portion of it.* Here is an explanation of the specific reason(s) your Form 471 did not meet the Minimum Processing Standards:

- **The SPIN and Service Provider Name, Block 5, Items 13 and 14, of the FCC Form 471 submitted are both blank on all Funding Requests.**

TO APPEAL A DECISION OF THE SCHOOLS AND LIBRARIES DIVISION:

If you wish to appeal a decision of the Schools and Libraries Division (SLD), you may do so by writing a letter of appeal to the SLD or by writing a letter of appeal to the Federal Communications Commission (FCC). The SLD or FCC must receive your appeal within 60 days of the date of the SLD decision you are appealing. This means that your appeal must be RECEIVED by the SLD or FCC no later than 60 days after the date of the SLD decision. Failure to meet this requirement will result in automatic dismissal of your appeal.

While you may write directly to the FCC without first presenting your appeal to the SLD, you are encouraged to write first to the SLD so that the SLD has an opportunity to review your appeal and grant it, if appropriate. If you disagree with the SLD's response to your appeal, you may then file an appeal with the FCC. However, the FCC overturns SLD decisions infrequently.

If your appeal was due on or before September 10, 2001, see the SLD web site <www.sl.universalservice.org> for more information on appeal deadlines and procedures for filing appeals.

In your letter of appeal:

1. **Provide your contact information.** Please list the name, address, telephone number, fax number, and e-mail address (if available) for the person who can most readily discuss this appeal.

Correspondence Unit - Box 125 30 South Jefferson Road, Whippany, NJ 07981

Visit us online at: <http://www.universalservice.org>

2. **Identify which SLD decision you are appealing.** Cite the "letter type," usually included and featured on the first page of the letter; the relevant Funding Year; and the date of the letter. You must include the applicant name; the Application Number, if applicable; and your Entity Number. State outright that your letter is an "appeal."
3. **Identify the particular Funding Request Number, whenever applicable, that is the subject of your appeal.** If your application was wholly rejected on grounds related to the entire application (e.g., that you represent ineligible entities), you need not refer to any Funding Request Numbers in your letter of appeal.
4. **Explain your appeal.** When explaining your appeal, include the precise language or text from the SLD decision that is at the heart of your appeal. By pointing to the exact words that give rise to your appeal, the reviewer will be able to more readily understand and respond appropriately to your appeal. Please keep your letter to the point, and provide documentation to support your appeal. Be sure to keep copies of your correspondence and documentation.
5. **Provide an authorized signature on your letter of appeal.**

If you are submitting your appeal to the SLD, please send it to: Letter of Appeal, Schools and Libraries Division, Box 125 – Correspondence Unit, 80 South Jefferson Road, Whippany, NJ 07981. Other options for filing an appeal with the SLD can be found in the "Appeals Procedure" posted in the Reference Area of the SLD web site <www.sl.universalservice.org>.

If you are submitting your appeal to the FCC via United States Postal Services, send it to: Federal Communications Commission, Office of the Secretary, 445 12th Street SW, Washington, DC 20554. If you are submitting your appeal to the FCC by other than United States Postal Services, check the SLD web site for more information. Please reference CC Docket Nos. 96-45 and 97-21 on the first page of your appeal.

Further information and other options for filing an appeal directly with the FCC can be found in the "Appeals Procedure" posted in the Reference Area of the SLD web site <www.sl.universalservice.org> or by contacting the SLD Client Service Bureau at 1-888-203-8100.

Schools and Libraries Division
Universal Service Administrative Company

Enclosure:

(1) Form 471