TECHNICAL APPENDIX
TO THE NTIA COMMENTS ON THE BPL NPRM

EXECUTIVE SUMMARY

In response to the Federal Communication Commission's BPL Notice of Proposed Rulemaking (NPRM), NTIA's Phase 1 study of Broadband over Power Line (BPL) systems summarized Federal Government usage of the 1.7 – 80 MHz frequency range, presented measurement and modeling results for BPL emissions, defined interference risks to radio reception in the immediate vicinity of overhead power lines used by Access BPL systems, suggested refinements to measurement guidelines applicable to BPL systems, and identified means for mitigating local interference should it occur.[1] NTIA identified a number of issues requiring further study during its Phase 2 investigation of BPL. A number of these issues are addressed in this technical appendix to NTIA's comments on the BPL NPRM: the recommended antenna height for measuring emission levels; an appropriate height correction factor for use with measurements performed at a height of 1 meter; where to measure emissions relative to the BPL device and the attached power lines; and the aggregation of BPL emissions via ionospheric propagation.

Numerical Electromagnetic Code (NEC) models of a variety of power line models show a substantial variability in the height at which the peak field strength occurs. This variability can be seen over frequency and power line topology. In all cases where the operating frequency is above 6 MHz, the peak field strength occurred at heights greater than 1 meter. Analysis of the difference between peak field strength at any height and the peak field strength at 1 meter, or "height correction factor," showed that 80% of the values are less than 4 to 6 dB. In light of these results, NTIA recommends that measurements be performed at a height of 1 meter and a height correction factor of 5 dB be applied.

NTIA found from the NEC power line models that the locations all along the length of the power line where the field strength is at its peak, both at heights of 1 meter and overall, vary widely. For any given power line configuration, at some frequencies the peak occurs adjacent to or near the BPL device, while at other frequencies the peak occurs at substantial distances from the BPL device at an impedance discontinuity. There are also many frequencies where the field strength peaks at various distances along the power line. Thus, NTIA recommends that field strength measurements be performed at a 10 meter horizontal distance from an Access BPL power line, at points all along key segments of the power line where the maximum field strength from BPL emissions is expected to occur. In its ongoing Phase 2 study, NTIA will continue to investigate emissions along the power lines and recommend criteria for choosing representative segments of power lines to measure.

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[1] *Amendment of Part 15 regarding new requirements and measurement guidelines for Access Broadband over Power Line Systems*, Notice of Proposed Rule Making, ET Docket No. 04-37, February 23, 2004 ("BPL NPRM"); *Potential Interference from Broadband over Power Line (BPL) Systems to Federal Radiocommunications at 1.7 – 80 MHz*, NTIA Report 04-413, BPL NPRM, April 28, 2004 ("NTIA Phase 1 Study").

NTIA's worst-case oriented analysis of ionospheric propagation and aggregation of emissions from Access BPL systems indicates that interference via this mechanism will not occur in the near term. Considering realistically dispersed deployments of BPL systems, it would take hundreds of thousands of Access BPL devices operating under existing rules to cause a 1 dB increase in median noise. Under NTIA's recommended rule elements, chiefly the 5 dB height correction factor and power control, it would take millions of BPL devices to increase the median noise by 1 dB. NTIA will continue to analyze the long-term potential for interference due to aggregation via ionospheric propagation in its ongoing Phase 2 study.

In its Phase 1 study, NTIA analyzed the interference risks in terms of geographic locations where interference may occur to representative federal radio receivers due to outdoor, overhead Access BPL systems conforming to Part 15 rules for Class B digital devices above 30 MHz.[2] NTIA extended the interference risk analysis to include operation at Class A emissions limits above 30 MHz. Relative to operation under the Class B limit, the results for Class A show an increase of approximately 40 – 50% in the distances at which receiver operation at a given percentage of locations would experience a given noise floor increase. NTIA evaluated the effectiveness of its recommendations for a measurement height correction factor and found that it only slightly reduces interference risks for nearby land-mobile receivers. After applying the height correction factor, most locations within 15 meters of an Access BPL power line will experience a noise floor increase of 10 dB or more at operating frequencies between 1.7 MHz and 30 MHz. To further protect land-mobile operations, other risk reduction techniques should be employed, such as power control and avoidance of use of mobile service frequencies in physically adjacent Access BPL network elements. NTIA will further investigate these recommendations in its ongoing Phase 2 study.

NTIA will continue to investigate these and other issues identified in its Phase 1 report as requiring further study.[3] These include: determination of the equivalent field strength limits for the FCC's proposed ten meter measurement distance that reflects realistic decay of BPL signal strength with distance; the ratio of electric field to magnetic field below 30 MHz for suitable estimation of the electric field with a loop antenna in the near field; the protection requirements for sensitive or critical frequencies used by the Federal Government; and extending the interference risk analysis to include any resulting recommendations to enhance the Commission's Part 15 rules applicable to BPL systems.

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[2] *See* NTIA Phase 1 Study, §6.

[3] *Id.* at §9.4.

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SECTION 1
INTRODUCTION

1.1 BACKGROUND

NTIA's Phase 1 study of Broadband over Power Line (BPL) systems summarized Federal Government use of the 1.7 – 80 MHz frequency range, presented measurement and modeling results for BPL emissions, defined interference risks to radio reception in the immediate vicinity of overhead power lines used by Access BPL systems, suggested refinements to Part 15 measurement guidelines applicable to BPL systems, and identified means for mitigating local interference should it occur. Propagation and aggregation of emissions from BPL systems and the associated BPL deployment models were suggested as issues requiring further study.

Critical review of the assumptions underlying the BPL interference risk analyses revealed that compliance measurement procedures rather than field strength limits are the leading cause of high perceived interference risks. As applied in current practice to BPL systems, Part 15 measurement guidelines do not fully address certain unique characteristics of BPL radiated emissions. NTIA recommended the following supplemental BPL compliance measurement guidelines that derive from existing Part 15 measurement guidelines:

- Measurement of emissions from both the BPL devices and power lines to which they are attached.
- Measurement of BPL systems exhibiting the maximum potential frequency reuse.
- Use of measurement antenna heights at or above the height of power lines, possibly in connection with an adjustment factor accounting for field strength levels at other heights.
- Measurement at a distance of ten meters from the BPL device and power lines.
- Application of a distance extrapolation factor that reflects the radiation characteristics of BPL systems.
- Measurement of emissions with the BPL devices variously tuned to all frequencies at which it is capable of operating.
- Below 30 MHz, measurement using a calibrated rod antenna or a loop antenna in connection with appropriate factors relating magnetic and electric field strength levels.
- Careful selection of representative BPL installations that produce the highest levels of radiated emissions.
- Controls available to the operator must not be capable of causing generation of field strength in excess of the limiting values.

NTIA suggested in its Phase 1 report to further study the effectiveness of these recommended supplemental measurement guidelines.

1.2 OBJECTIVE

The objectives of this technical appendix are to offer specific recommendations to enhance Part 15 measurement guidelines applicable to Access BPL systems, to expand upon the interference risk analysis provided in NTIA's Phase 1 study report to include an assessment of the effectiveness of NTIA's recommended height correction factor, and to evaluate the potential impact on federal radiocommunications due to ionospheric propagation and aggregation of BPL emissions.

1.3 APPROACH

NTIA analyzed BPL field strength to determine the measurement height corresponding to the peak field strength and a reasonable height correction factor to employ when conducting measurements at the current Part 15 measurement height guideline of 1 meter (Section 2). NTIA also analyzed the locations corresponding to peak field strength along the power line in response to the Commission's proposal in the BPL NPRM to perform measurements only at specific locations (Section 3).[4] NTIA evaluated BPL signal aggregation and ionospheric propagation to provide initial worst-case estimates of the potential increase in noise (Section 4). The interference risk analysis from NTIA's Phase 1 study was expanded to include operation employing current Part 15 Class A digital device emission limits for frequencies above 30 MHz and the risk reduction from NTIA's recommended measurement height correction factor (Section 5).

[4] BPL NPRM, Appendix C, at ¶2.b.2.

SECTION 2
ANALYSIS OF MEASUREMENT ANTENNA HEIGHT

2.1 INTRODUCTION

Most federal radio receiver antennas are located at heights above 2 meters. The limited measurements from the Phase 1 study indicated that the level of radiated emissions was greater at the height of the power lines than at a 1 meter height. In NTIA's Phase 1 study, preliminary NEC modeling yielded similar results, leading to a recommendation to measure BPL emissions with an antenna situated near the height of the power lines. As an alternative, NTIA recommended that measurements performed at a height of 1 meter include a correction factor to account for the greater field strength at greater heights.

2.2 POWER LINE MODELS

A number of power line models were created using the NEC software to gain a greater understanding of the effects various physical topologies might have on the electric fields radiated by BPL signals on power lines. The electric field strength results in any polarization, over a range of heights and at any position along the length of the power line model were then evaluated statistically.

NTIA evaluated nineteen different power line topologies to calculate three-axis electric field values in a vertical grid located 10 meters from the power line (FCC-proposed measurement distance in the BPL NPRM), at heights ranging from 1 to 20 meters in one meter increments. These calculations were made horizontally along the length of the modeled power lines in one-meter increments, and at frequencies from 2 to 50 MHz (in 2 MHz increments). Eighteen relatively simple power line topologies are listed in Table 2-1. The orientation of power line conductors for these topologies is depicted in Figure 2-1.

Table 2-1: Power line topologies used to model antenna measurement height

Model Name	Number of Wires	Wire Configuration	Multi-grounded neutral with 3 transformers	Wire Spacing
tri26	2	triangular-horizontal	not included	0.6 meters
tri210	2	triangular-horizontal	not included	1.0 meters
tri36	3	triangular-horizontal	not included	0.6 meters
tri310	3	triangular-horizontal	not included	1.0 meters
tri26n	2	triangular-horizontal	included	0.6 meters
tri210n	2	triangular-horizontal	included	1.0 meters
tri36n	3	triangular-horizontal	included	0.6 meters
tri310n	3	triangular-horizontal	included	1.0 meters
ver1	1	vertical	not included	n/a
ver26	2	vertical	not included	0.6 meters
ver210	2	vertical	not included	1.0 meters
ver36	3	vertical	not included	0.6 meters
ver310	3	vertical	not included	1.0 meters
ver1n	1	vertical	included	n/a
ver26n	2	vertical	included	0.6 meters
ver210n	2	vertical	included	1.0 meters
ver36n	3	vertical	included	0.6 meters
ver310n	3	vertical	included	1.0 meters

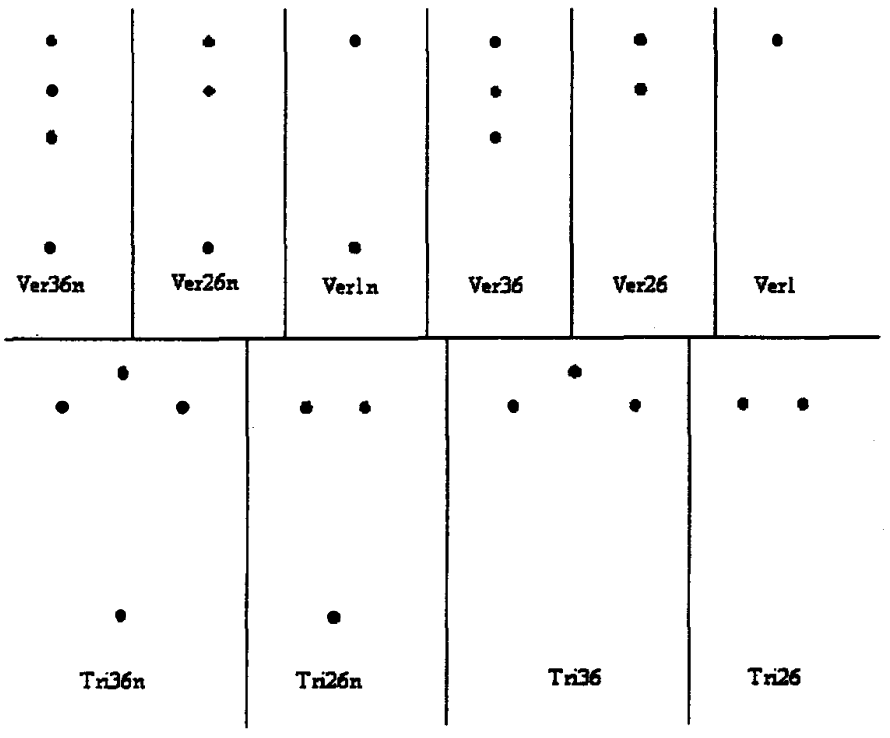

Figure 2-1: Power line topologies

All modeled power lines were 340 meters in length, and consisted of eight segments of catenary (hanging) wires (with catenary lengths of 43 meters each) between nine utility poles. The models were fed on a segment next to the model axis on one of the outside wires. All wires were assumed to be copper, and all models with neutral wires included three simulated distribution transformers wired between one of the phases and neutral, with 7.7 Ω of real impedance.[5] On the models with a neutral wire, the neutral was connected to ground at each transformer point (in the center of the model and at each end).

Vertical-alignment models were designed such that all wires (including the neutral, if any) were arranged in a vertical line. Triangular-horizontal models with three wires were designed with the middle wire 0.25 meter higher than the outer two. The neutral wire (if one was included) was centered under the phase wires.

NTIA also constructed an extensive NEC model based upon an actual MV distribution branch in one of the BPL deployment areas where NTIA conducted field measurements. This model was designed using power line maps as well as actual observation (Figure 2-2).

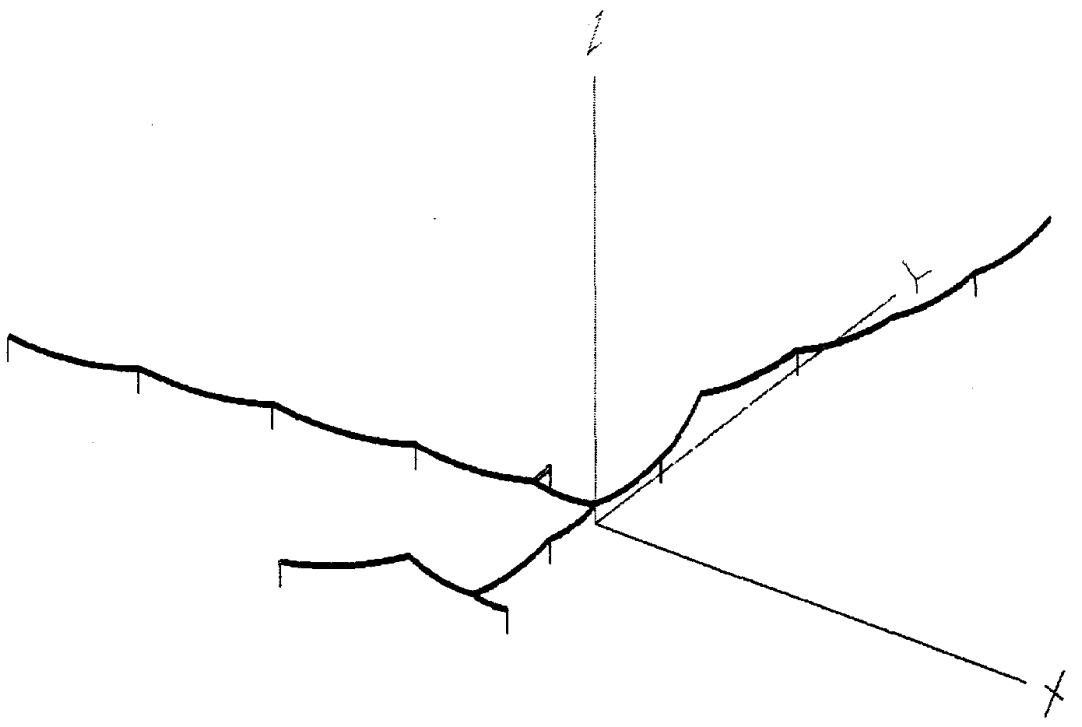

Figure 2-2: NEC model of actual power line carrying BPL signals

[5] In actual systems, all transformer impedances vary widely, based upon varying loads in the system. However, preliminary calculations found that changing transformer impedances had little impact upon the results.

The model consisted of three-phase and multi-grounded neutral wiring. Included in the model are "risers" (connections of all three phases to underground wiring having a characteristic impedance of 30Ω), wire intersections, transformers and neutral grounds. Along most of the power line, the wiring topology is vertical, but at one pole (at a riser) it shifts to a horizontal-triangular configuration and then back to vertical.

The model covered an area of some 240,000 square meters (600m × 400m), and was designed (segmented and tested) at 4.303 MHz, 8.192 MHz, 22.957 MHz and 28.298 MHz (frequencies which corresponded with measurement frequencies in the field).

2.3 HEIGHT CORRESPONDING TO PEAK FIELD STRENGTH

Figures 2-3 through 2-20 show the heights where the peak electric field strength occurred over the frequency range of 2 – 50 MHz for the various power line topologies described in Section 2.2.

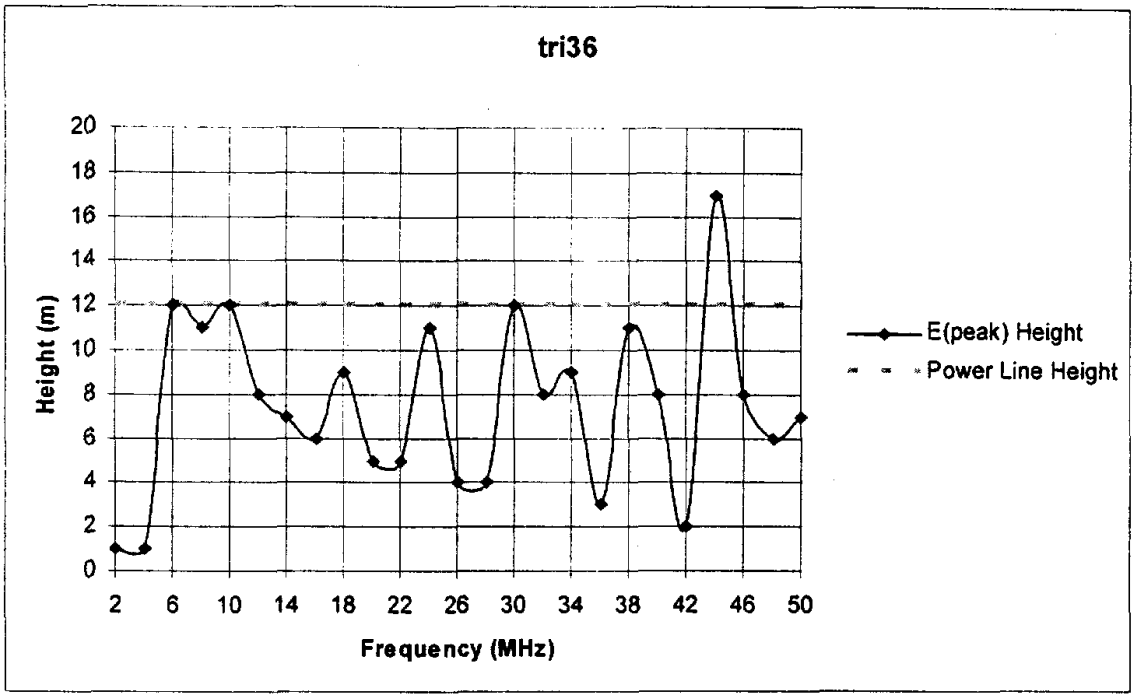

Figure 2-3: Height corresponding to peak field strength, vs. frequency – tri36 topology

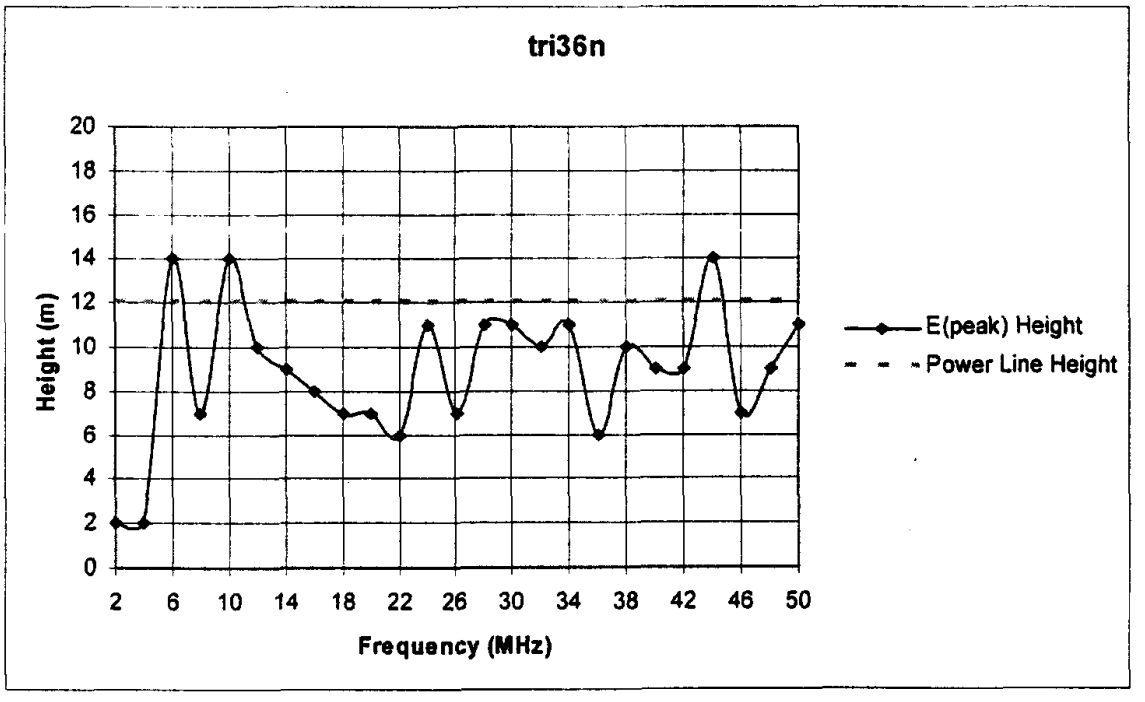

Figure 2-4: Height corresponding to peak field strength, vs. frequency – tri36n topology

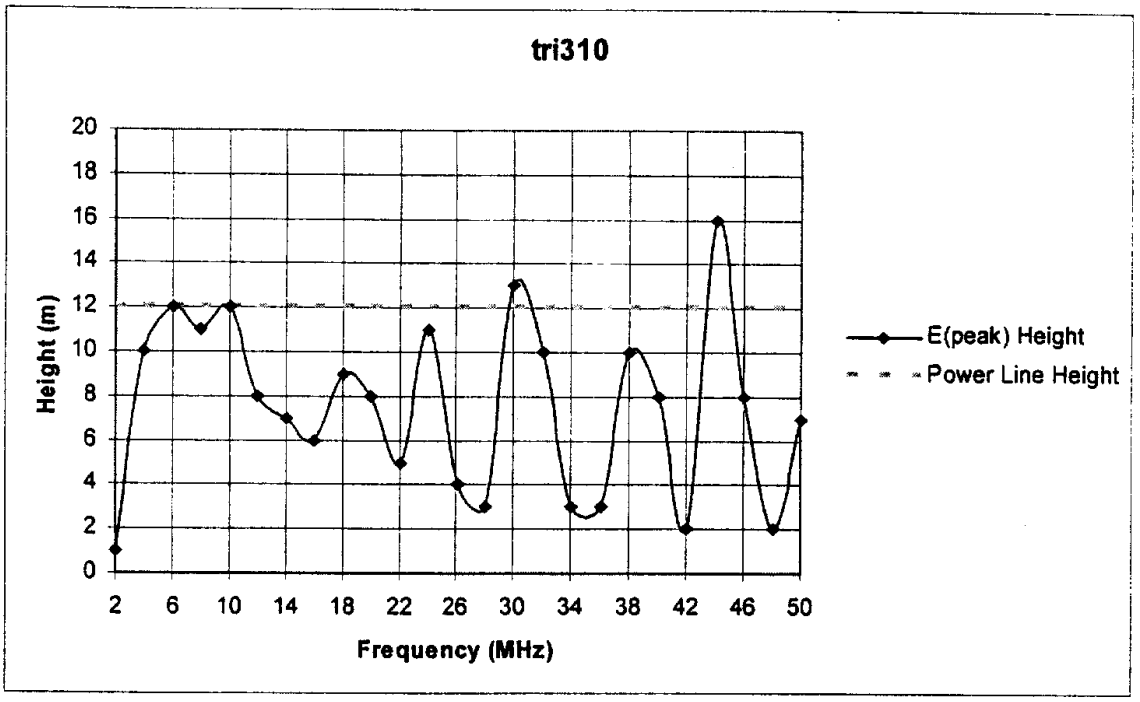

Figure 2-5: Height corresponding to peak field strength, vs. frequency – tri310 topology

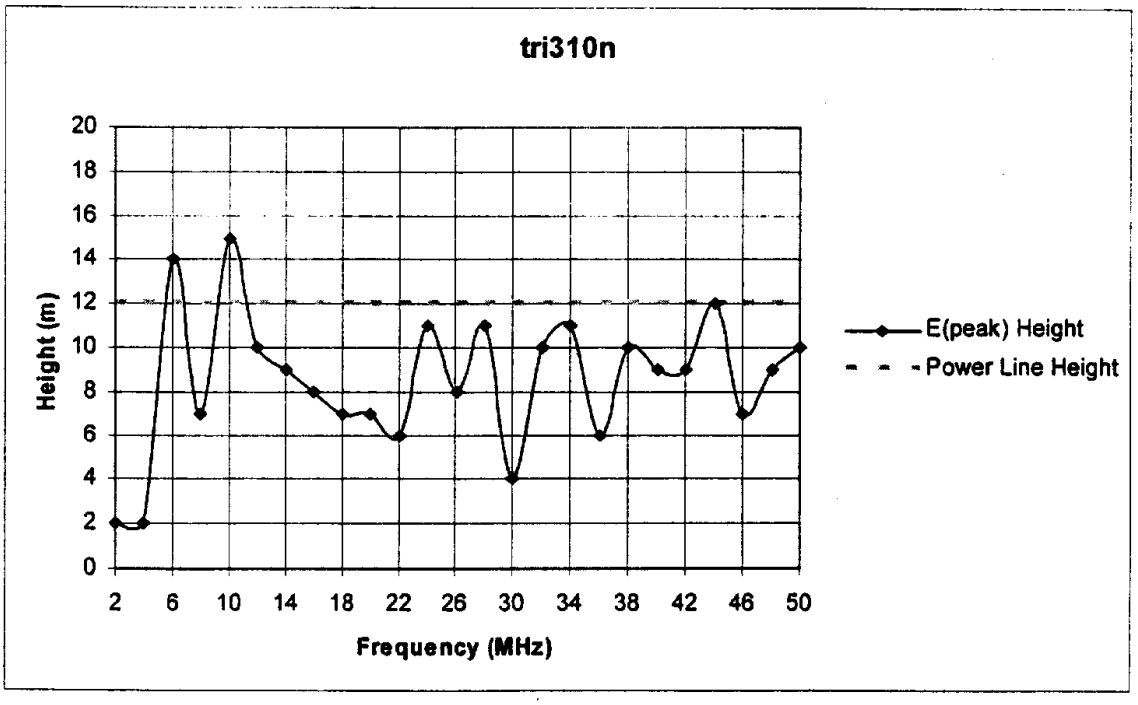

Figure 2-6: Height corresponding to peak field strength, vs. frequency – tri310n topology

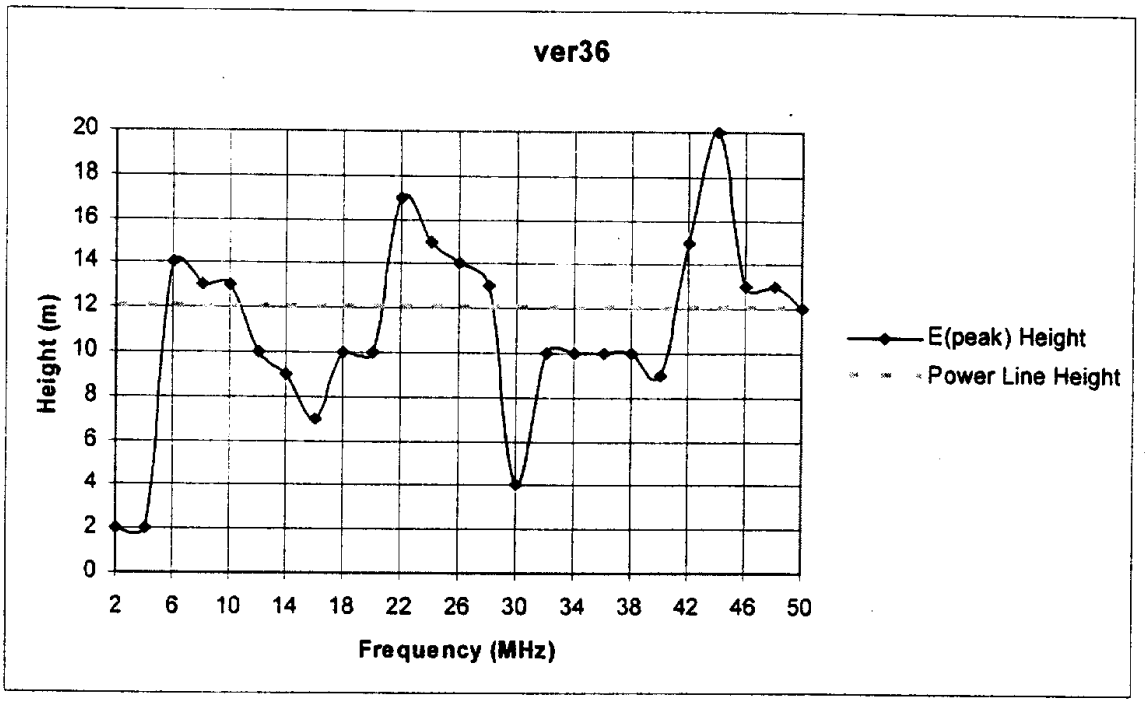

Figure 2-7: Height corresponding to peak field strength, vs. frequency – ver36 topology

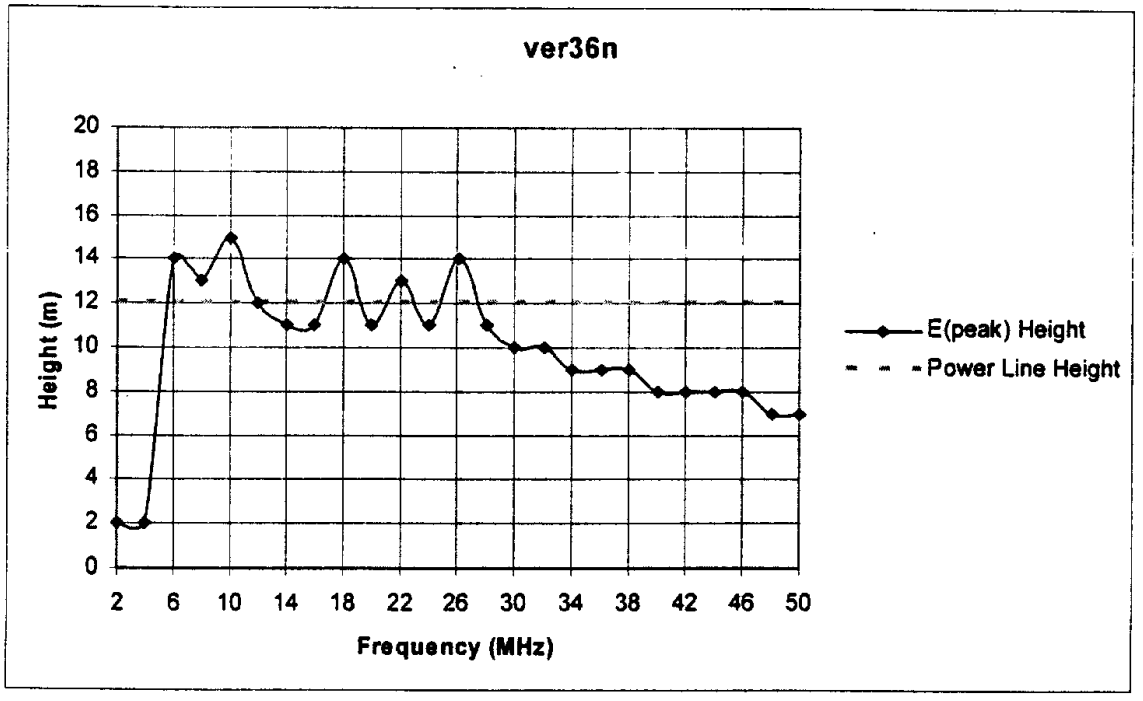

Figure 2-8: Height corresponding to peak field strength, vs. frequency – ver36n topology

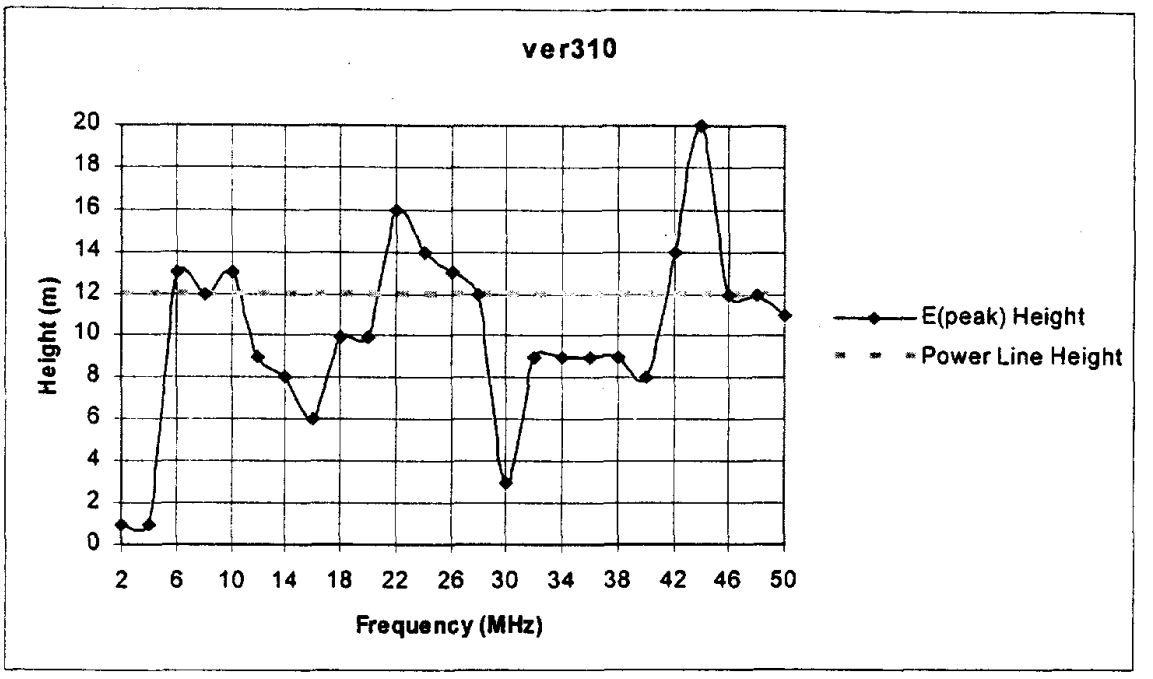

Figure 2-9: Height corresponding to peak field strength, vs. frequency – ver310 topology

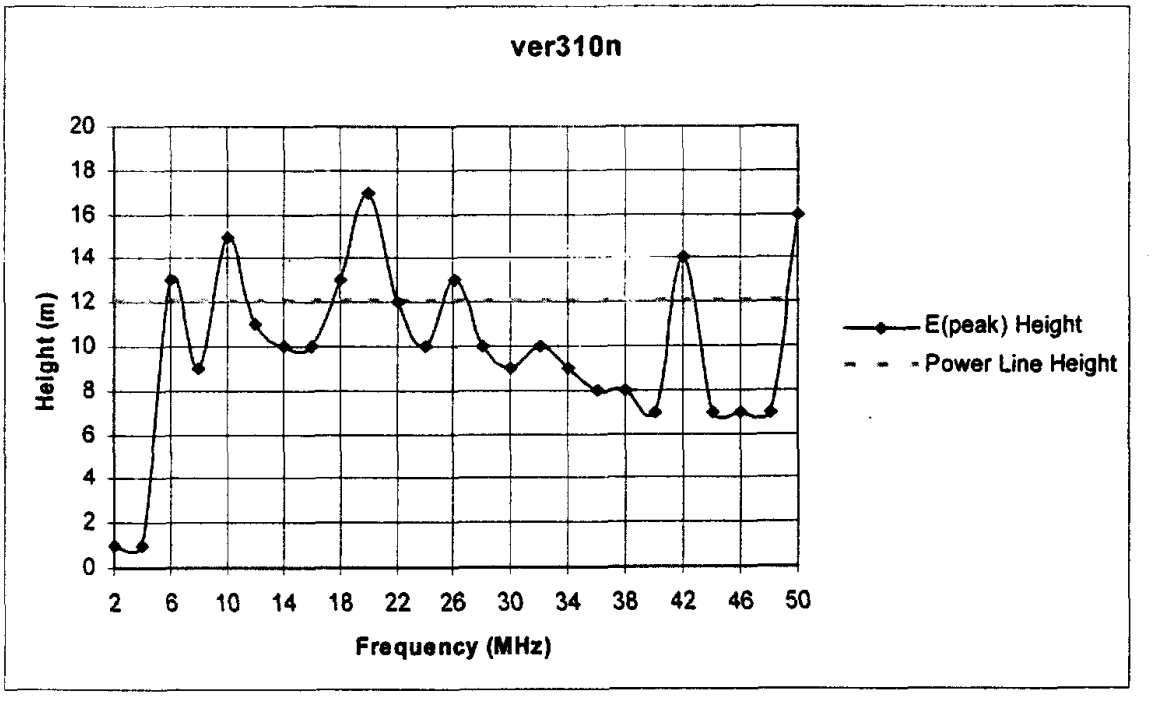

Figure 2-10: Height corresponding to peak field strength, vs. frequency – ver310n topology

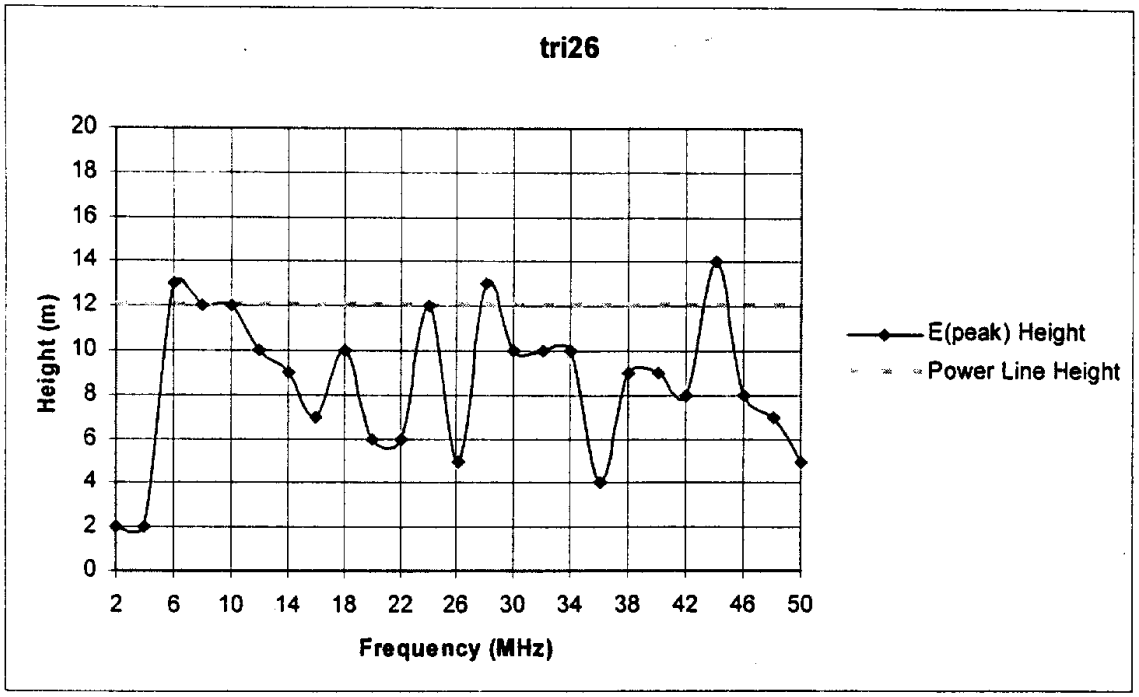

Figure 2-11: Height corresponding to peak field strength, vs. frequency – tri26 topology

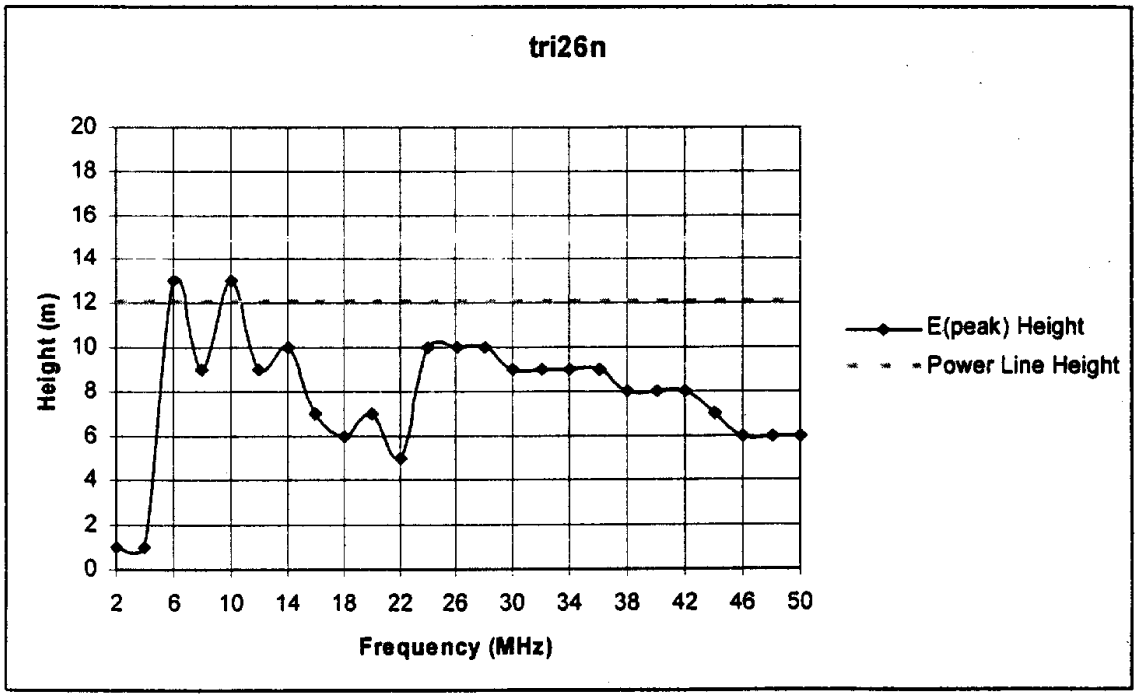

Figure 2-12: Height corresponding to peak field strength, vs. frequency – tri26n topology

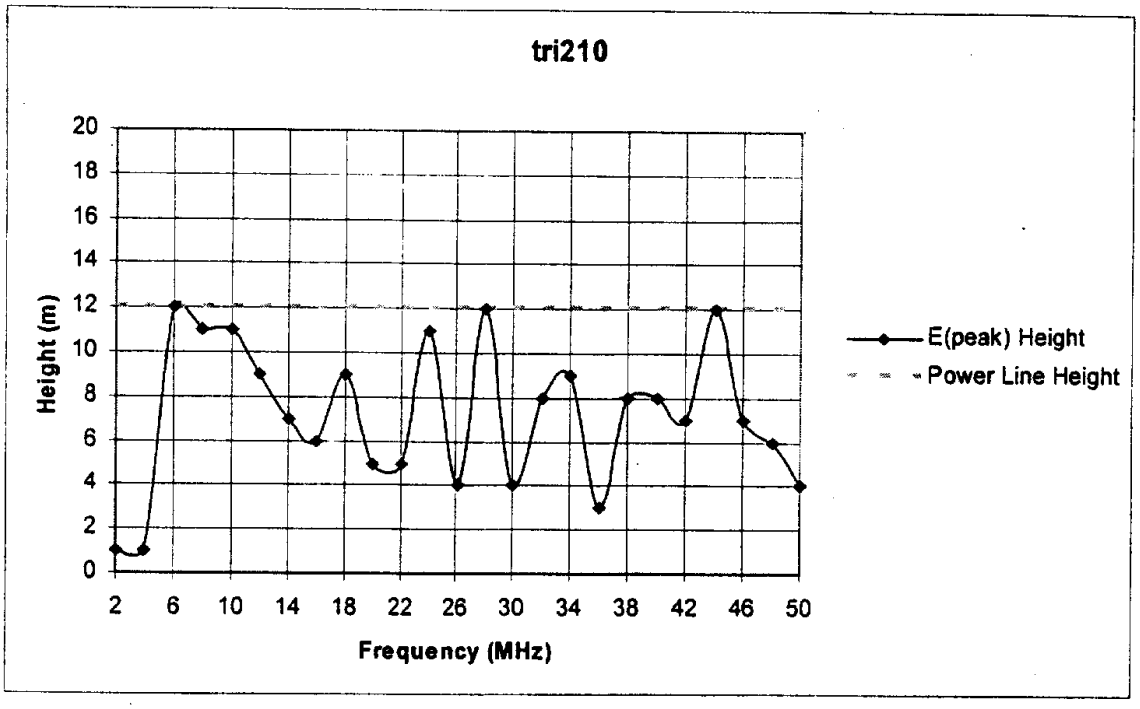

Figure 2-13: Height corresponding to peak field strength, vs. frequency – tri210 topology

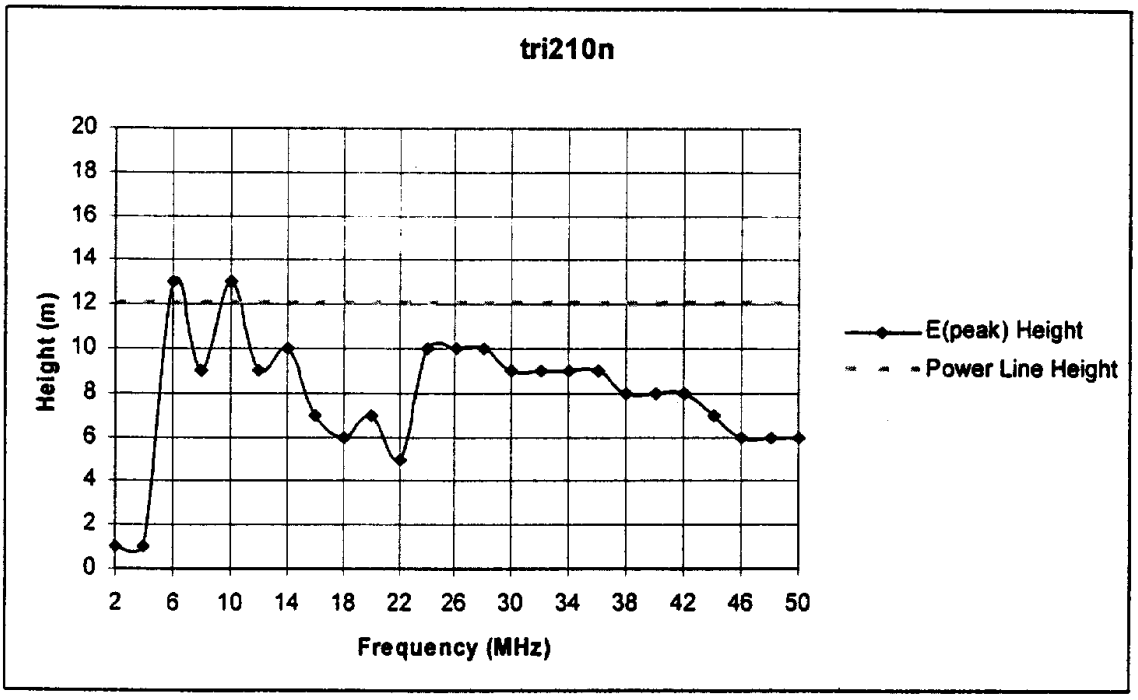

Figure 2-14: Height corresponding to peak field strength, vs. frequency – tri210n topology

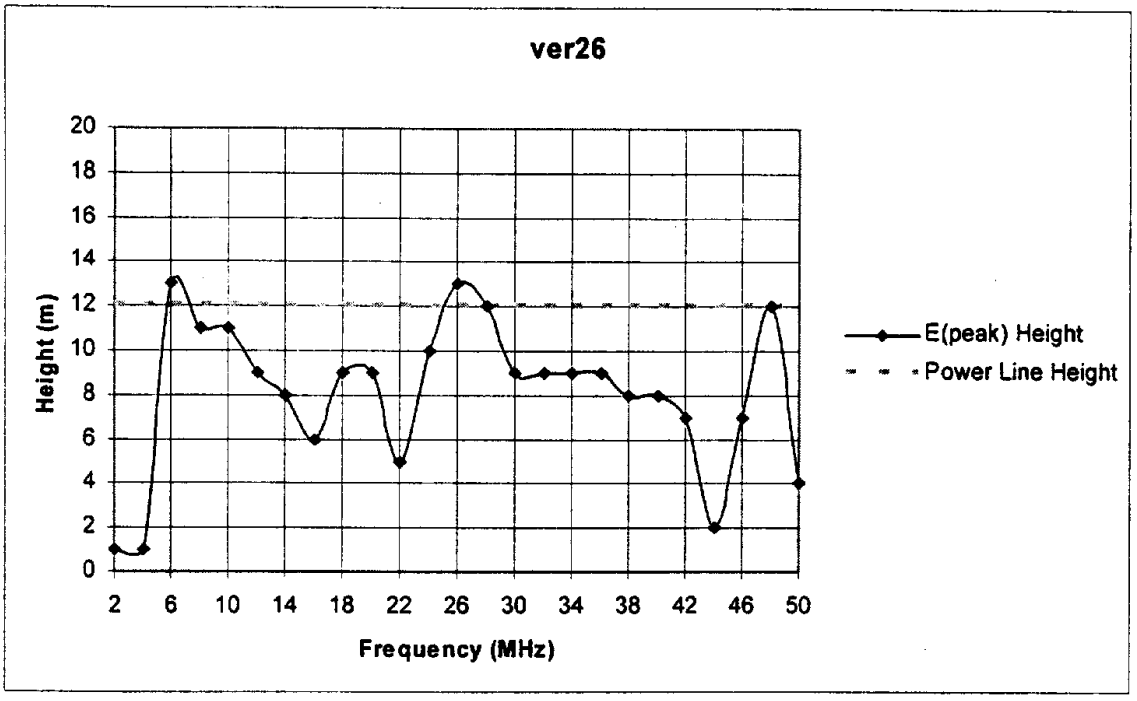

Figure 2-15: Height corresponding to peak field strength, vs. frequency – ver26 topology

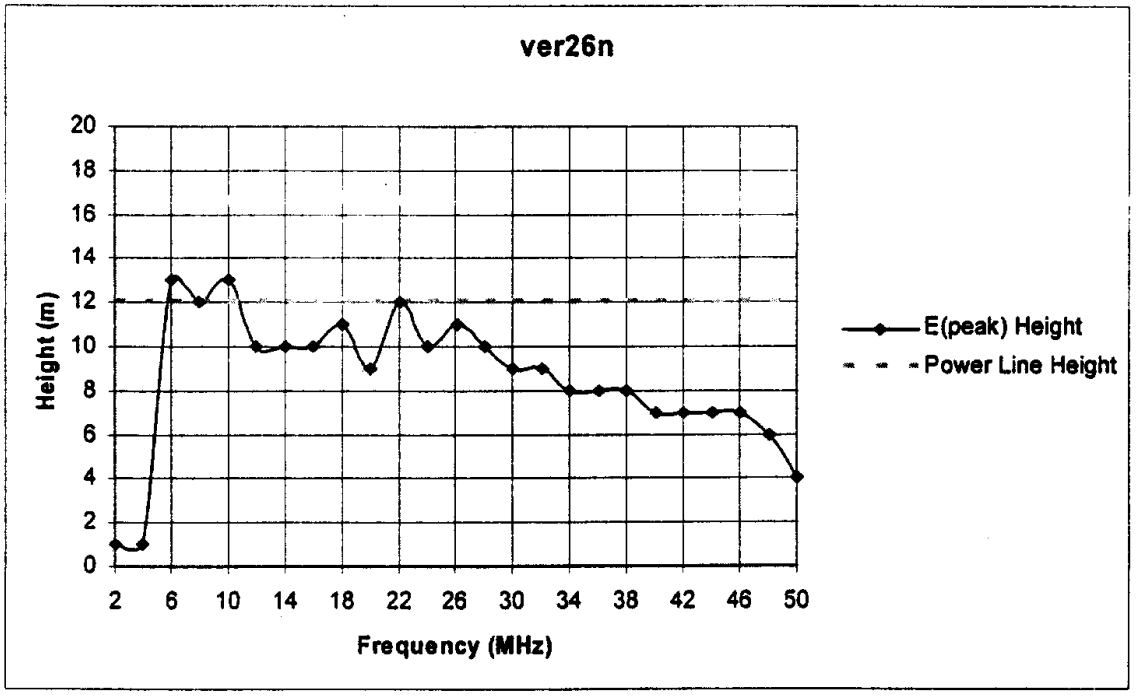

Figure 2-16: Height corresponding to peak field strength, vs. frequency – ver26n topology

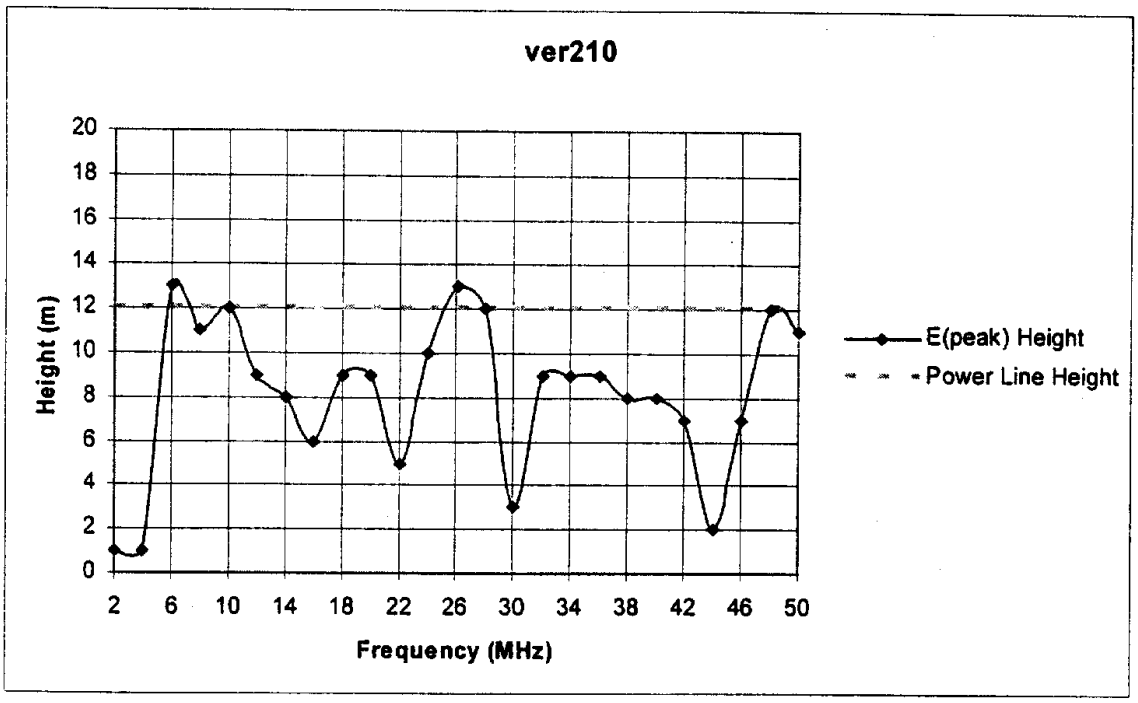

Figure 2-17: Height corresponding to peak field strength, vs. frequency – ver210 topology

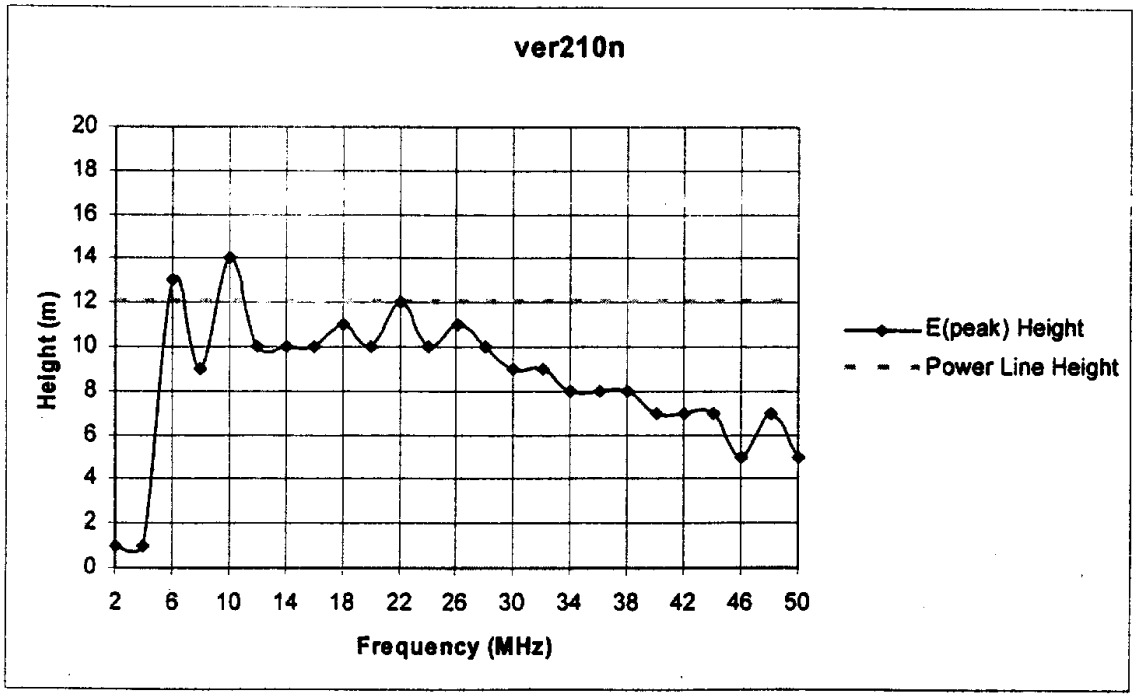

Figure 2-18: Height corresponding to peak field strength, vs. frequency – ver210n topology

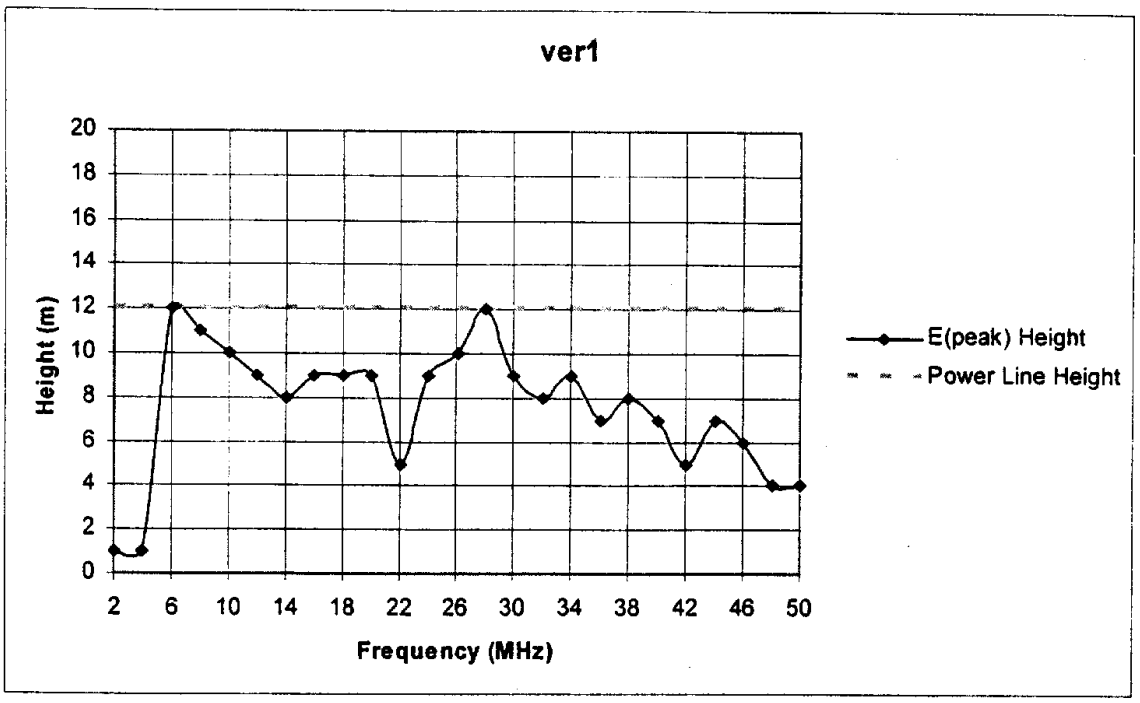

Figure 2-19: Height corresponding to peak field strength, vs. frequency – ver1 topology

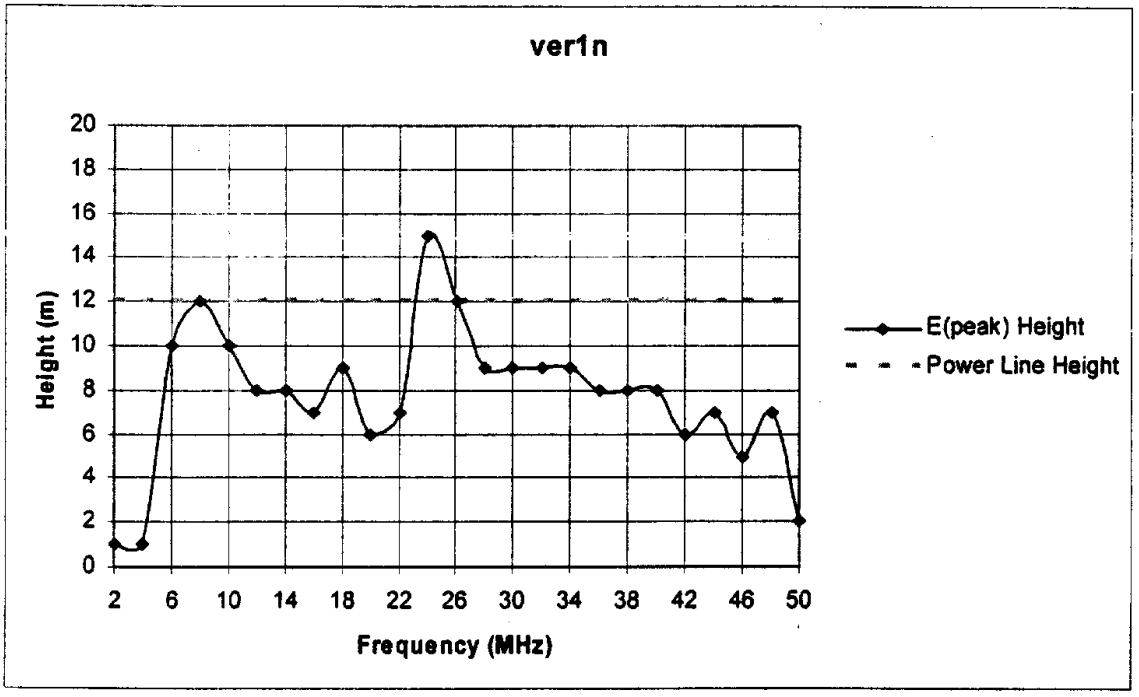

Figure 2-20: Height corresponding to peak field strength, vs. frequency – ver1n topology

For the model based upon an actual Access BPL power line structure (Figure 2-2), electric field simulations were performed at heights of 1 meter and 2 to 20 meters (in two-meter increments) for the entire area adjacent to the power line structure. The latter simulation was completed using NEC's "Near Field Along a Line" command ("LE"), which calculates electric fields for vectors along and perpendicular to a line. This more accurately depicts real-world measurement conditions in which measurements would be taken along these vectors. Figures 2-21 through 2-23 illustrate the variation in field strength in all three polarizations at 1 meter and at the height of the power lines (12 meters). Figure 2-24 shows the height corresponding to the peak field strength in any polarization at ten meters from the power line, for the four frequencies evaluated with this model.

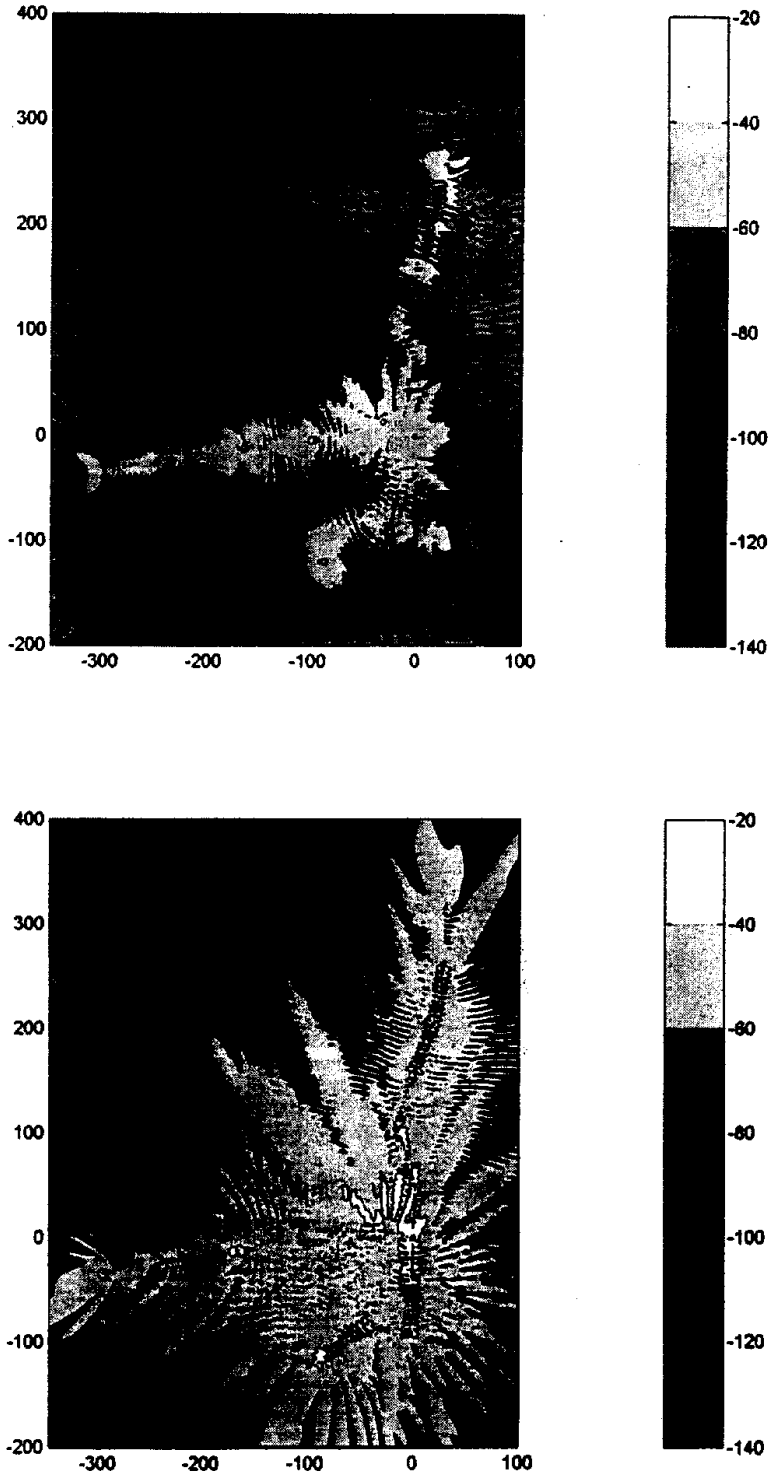

Figure 2-21: X-axis electric field values surrounding power line structure at 28.298 MHz. Top: 1 meter height. Bottom: 12 meter height. Axis values in meters; relative electric field values in dB.

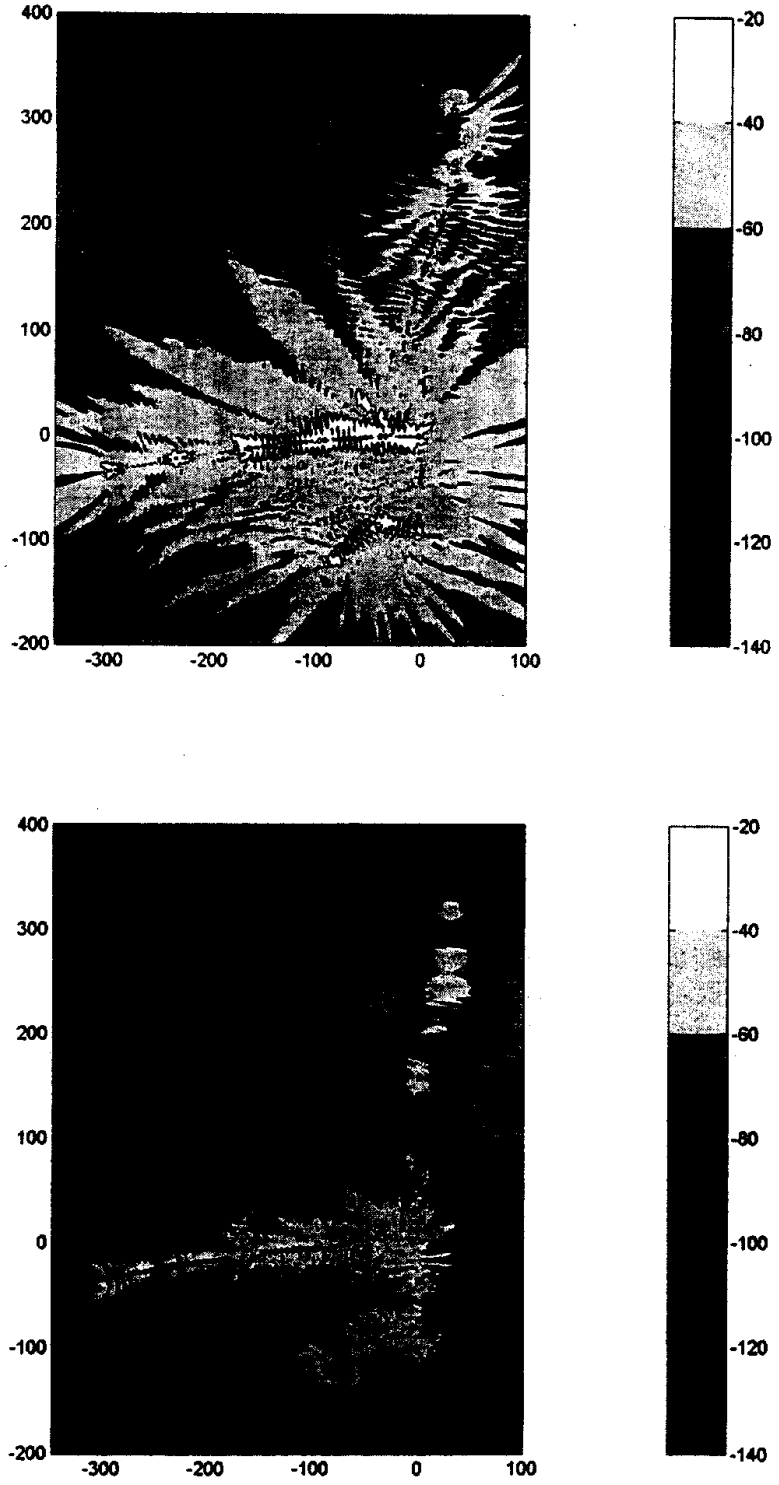

Figure 2-22: Y-axis electric field values surrounding power line structure at 28.298 MHz. Top: 1 meter height. Bottom: 12 meter height.

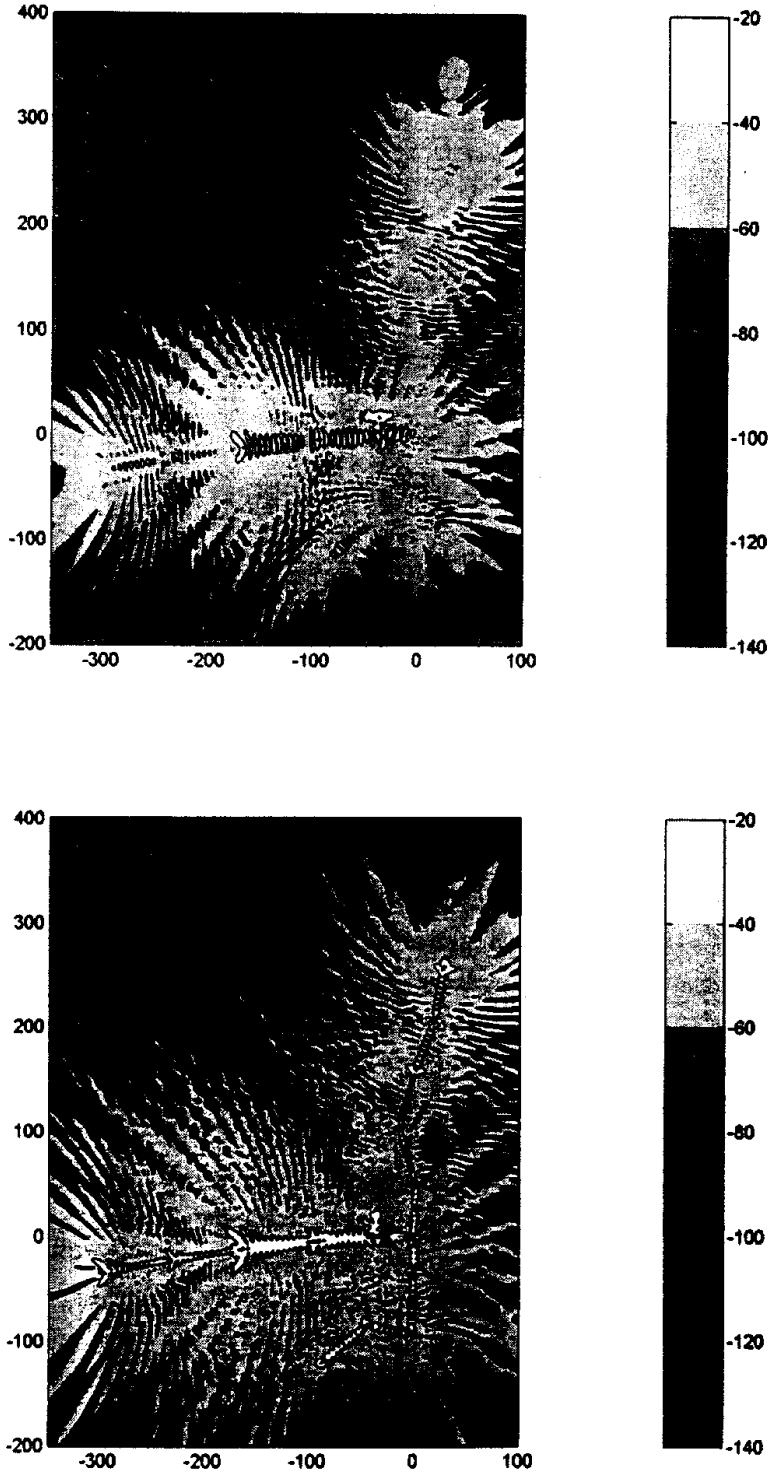

Figure 2-23: Z-axis electric field values surrounding power line structure at 28.298 MHz. Top: 1 meter height. Bottom: 12 meter height.

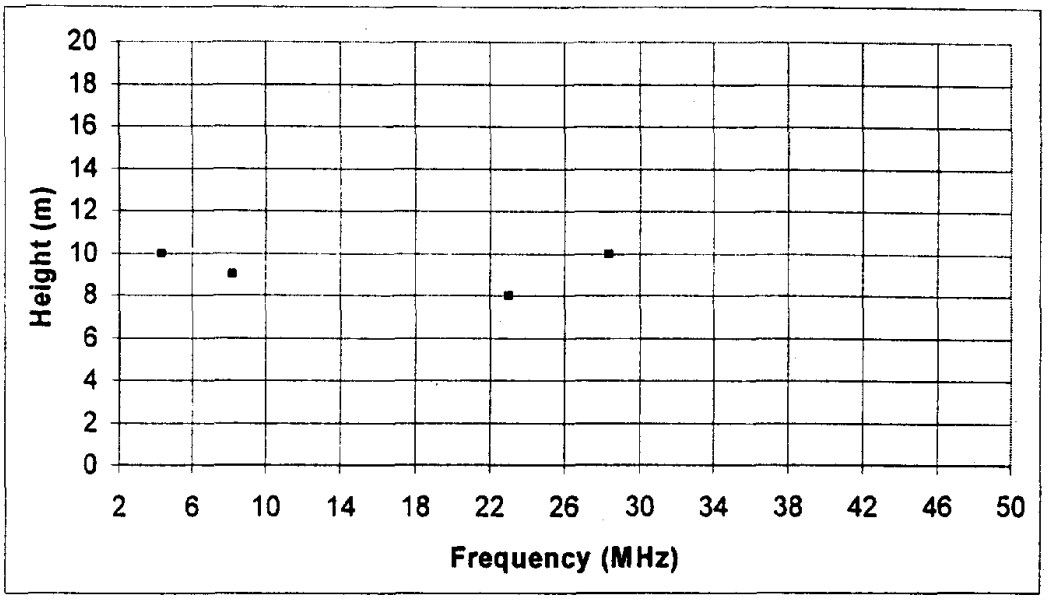

Figure 2-24: Height corresponding to peak field strength, vs. frequency for the power line model shown in Figure 2-2.

2.4 ANTENNA MEASUREMENT HEIGHT CORRECTION FACTOR

NTIA has found through measurements and simulations that existing Part 15 compliance measurements performed at an antenna height of 1 meter will likely underestimate the overall peak electric field strength of BPL emissions. Determination of peak field strength over all heights for Part 15 compliance measurement purposes can be accomplished either through direct measurement at various heights and directions, or by application of a correction factor to measurements made with a standard 1 meter antenna height. NTIA evaluated the above power line configurations using the NEC software to determine a suitable height correction factor when field strength measurements are performed at a 1 meter height.

Calculations of peak field strength *vs.* height for the eighteen simple power line models described earlier are shown in Figures 2-25 through 2-42. The peak electric field strength at each height was determined from the 80th percentile values of field strength along the length of the power line. The 80th percentile values eliminate the localized peaks that are unlikely to be encountered by a radio receiver randomly located in close proximity to an Access BPL power line. Use of the 80th percentile value is consistent with international measurement standards that seek 80% compliance with an 80% degree of confidence.[6]

[6] *See e.g.*, Information technology equipment – Radio disturbance characteristics – Limits and methods of measurement, CISPR 22:2003, ("CISPR 22"), Section 7.1.2 "The significance of the limits for equipment shall be that, on a statistical basis, at least 80% of the mass-produced equipment complies with the limits with at least 80% confidence."

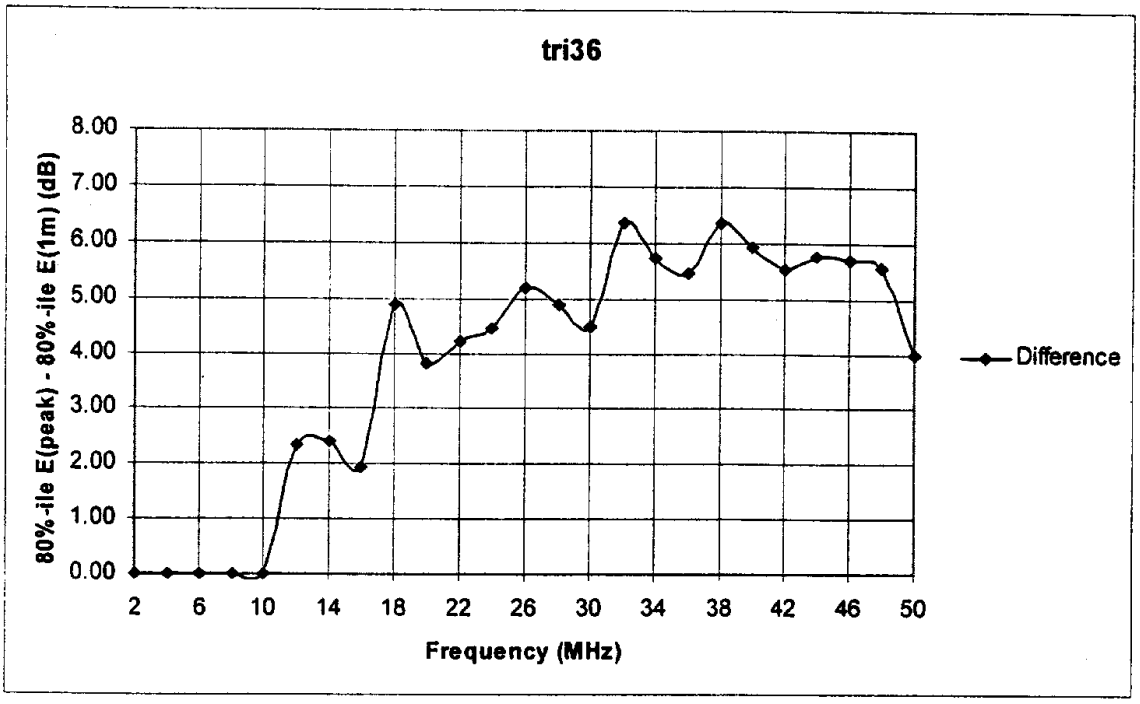

Figure 2-25: Difference between peak field strength at any height and the peak field strength at 1 meter, based on 80th percentile values; tri36 power line topology

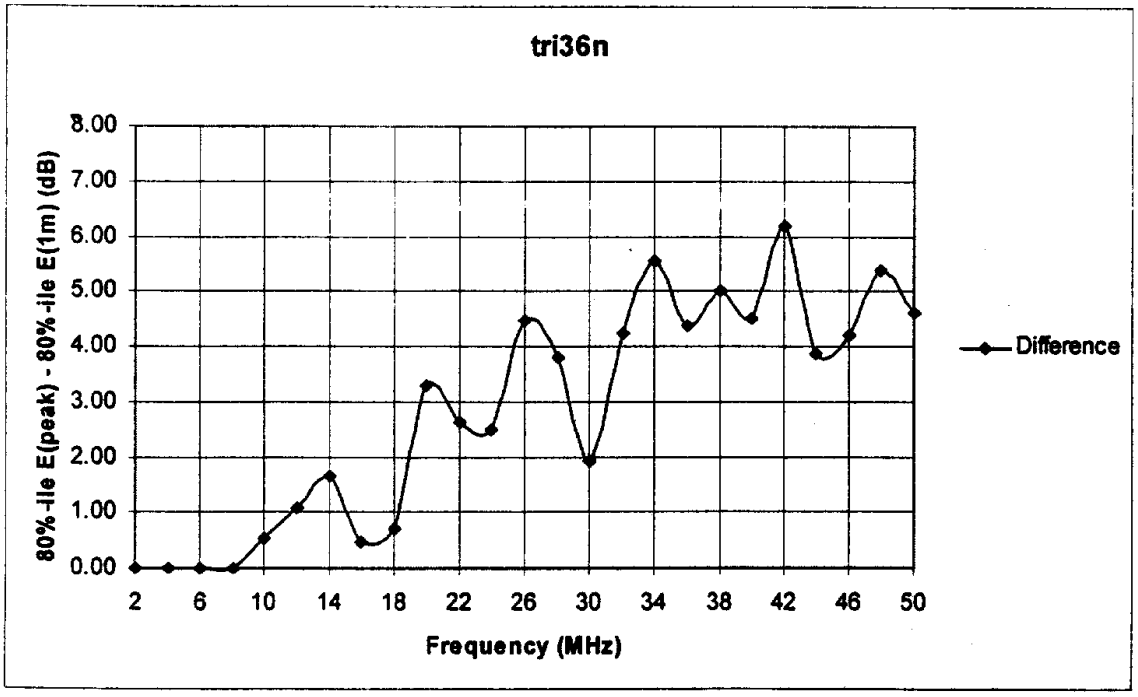

Figure 2-26: Difference between peak field strength at any height and the peak field strength at 1 meter, based on 80th percentile values; tri36n power line topology

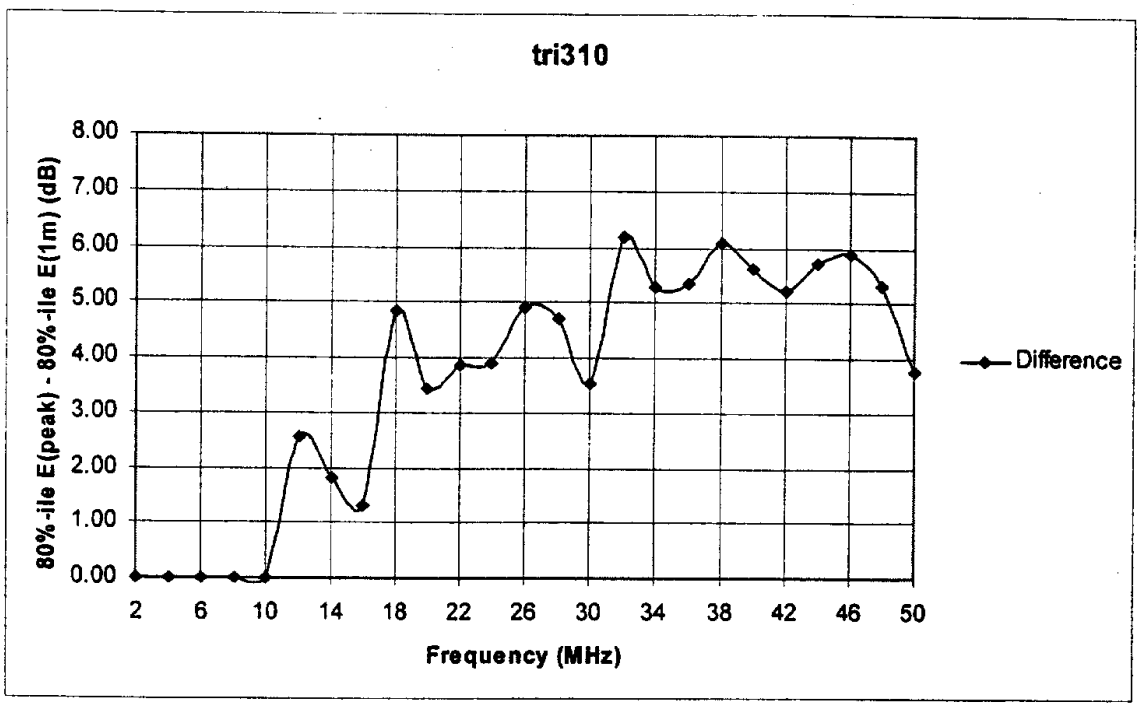

Figure 2-27: Difference between peak field strength at any height and the peak field strength at 1 meter, based on 80th percentile values; tri310 power line topology

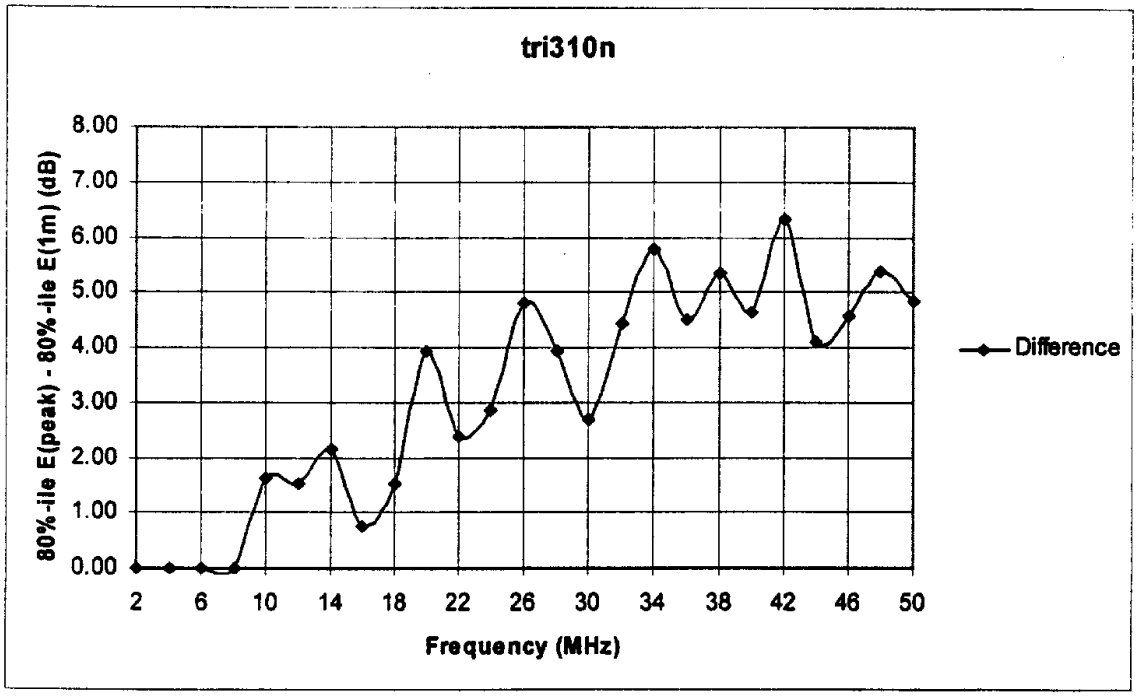

Figure 2-28: Difference between peak field strength at any height and the peak field strength at 1 meter, based on 80th percentile values; tri310n power line topology

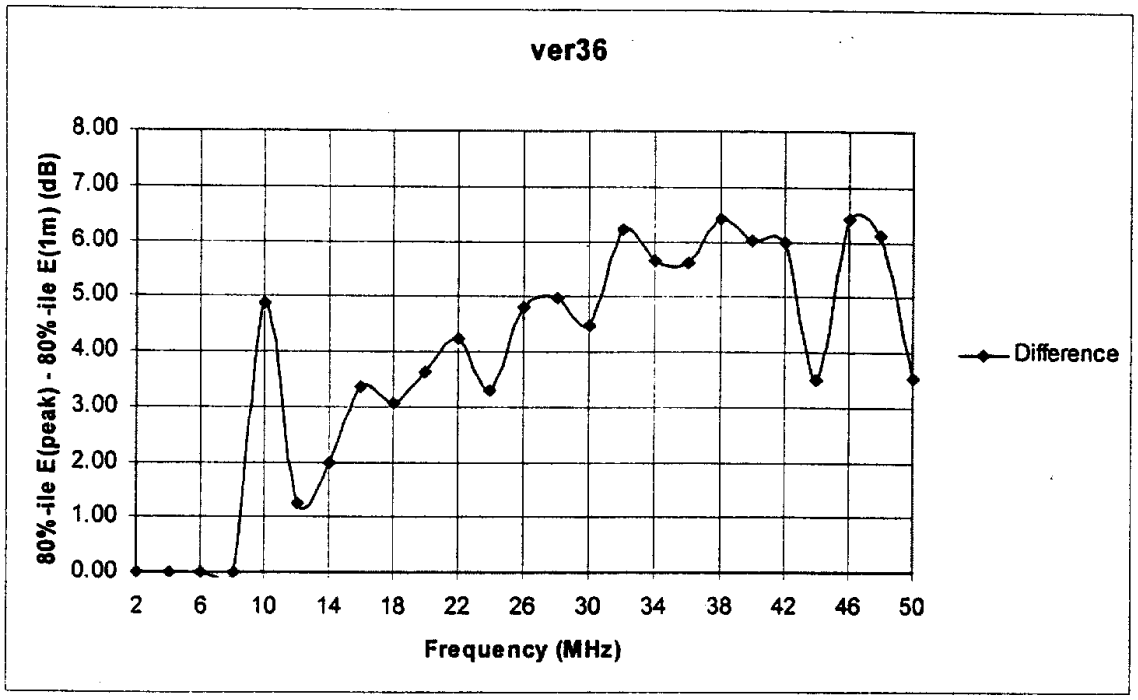

Figure 2-29: Difference between peak field strength at any height and the peak field strength at 1 meter, based on 80th percentile values; ver36 power line topology

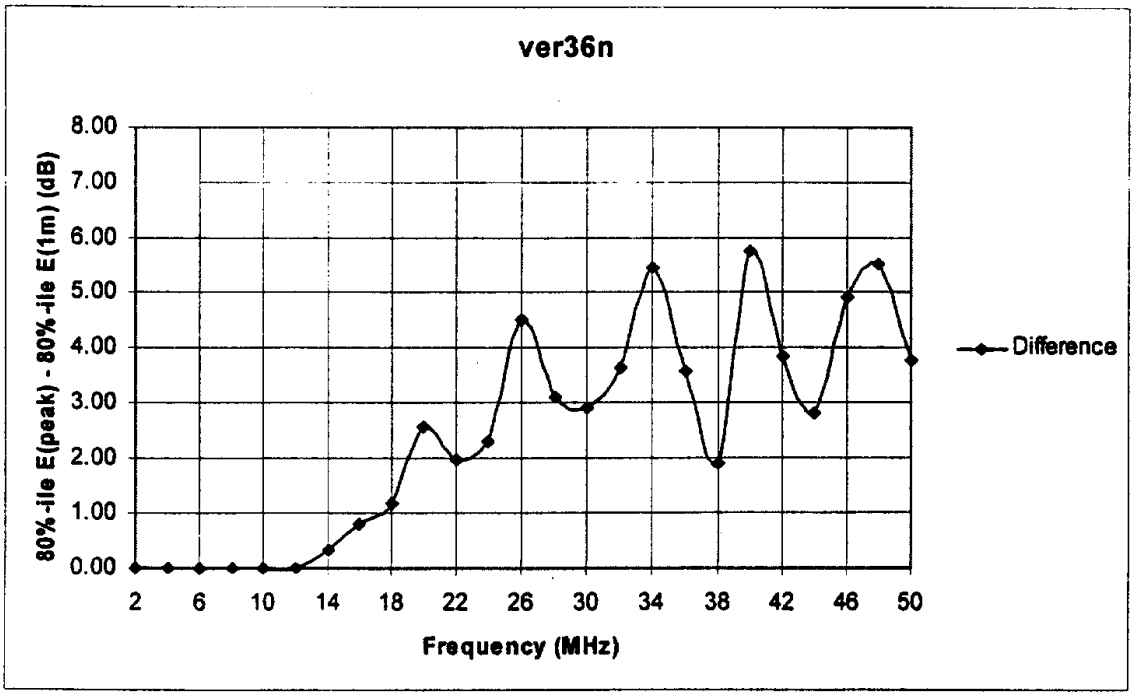

Figure 2-30: Difference between peak field strength at any height and the peak field strength at 1 meter, based on 80th percentile values; ver36n power line topology

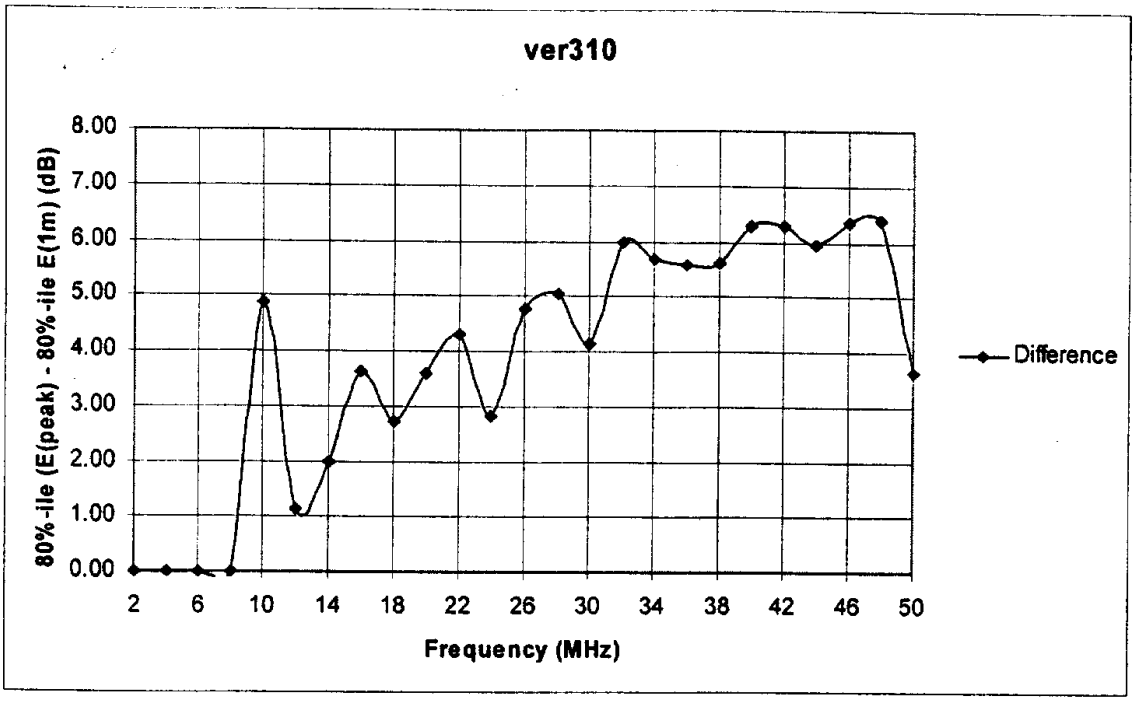

Figure 2-31: Difference between peak field strength at any height and the peak field strength at 1 meter, based on 80th percentile values; ver310 power line topology

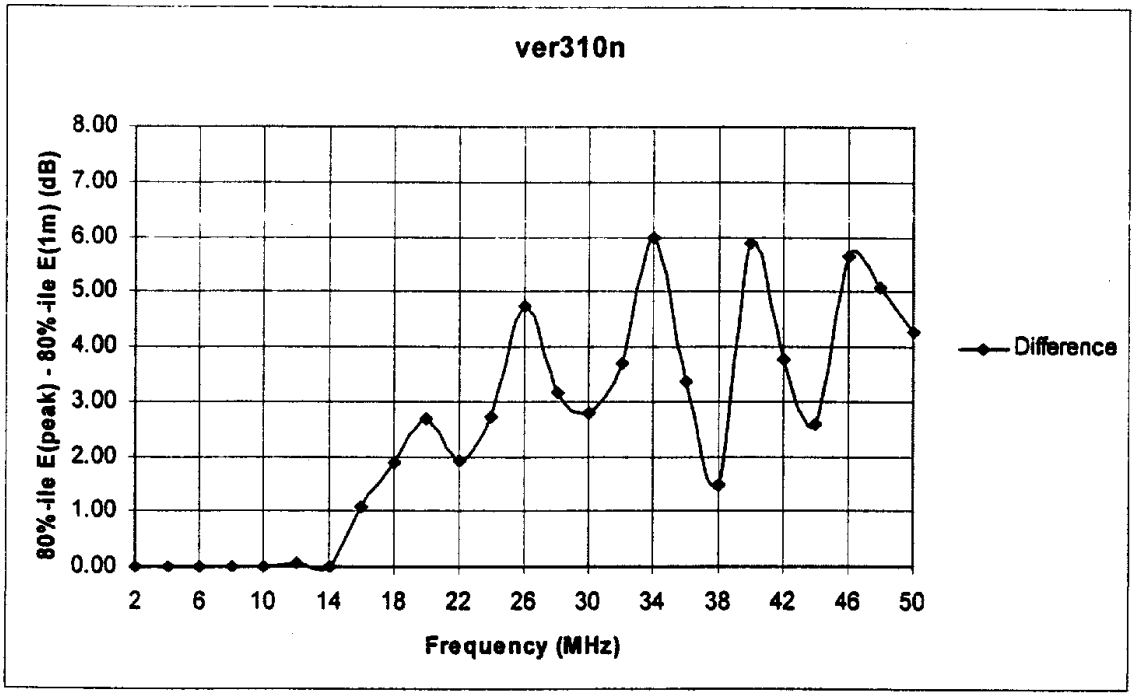

Figure 2-32: Difference between peak field strength at any height and the peak field strength at 1 meter, based on 80th percentile values; ver310n power line topology

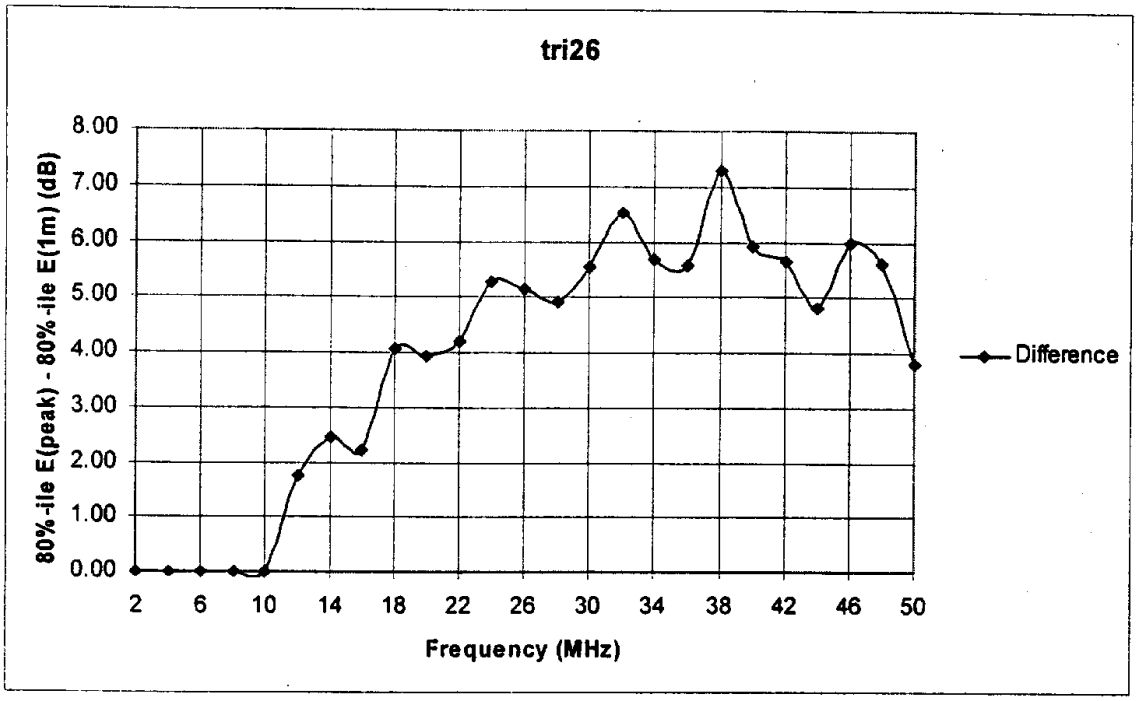

Figure 2-33: Difference between peak field strength at any height and the peak field strength at 1 meter, based on 80th percentile values; tri26 power line topology

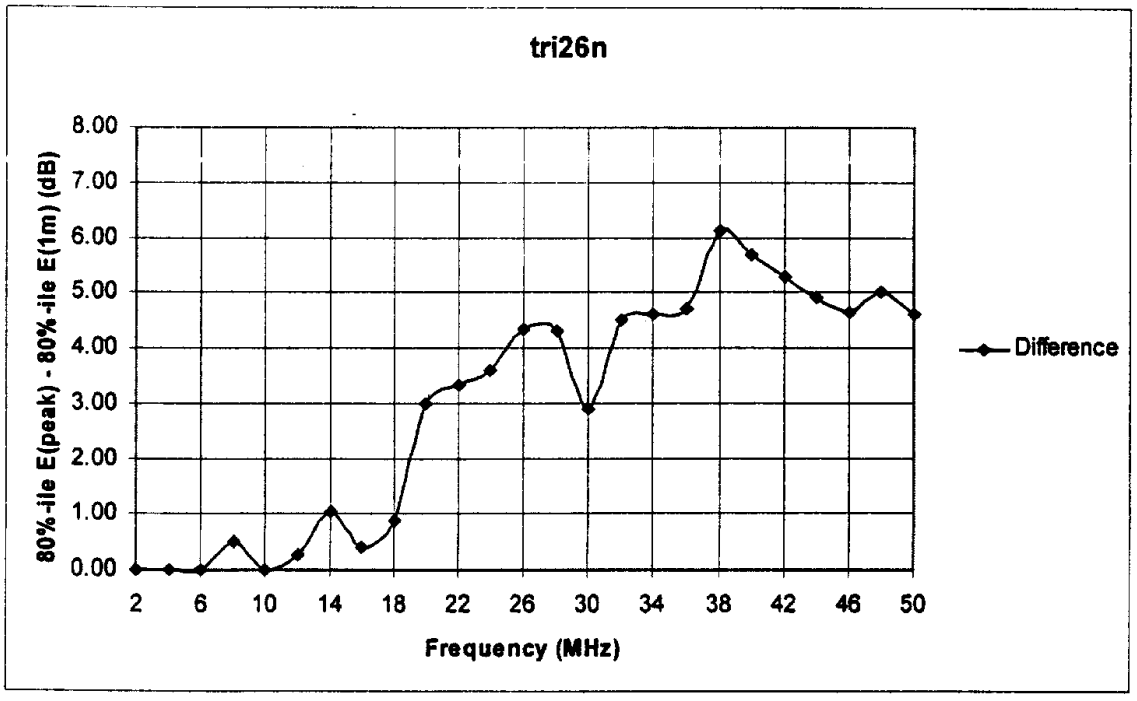

Figure 2-34: Difference between peak field strength at any height and the peak field strength at 1 meter, based on 80th percentile values; tri26n power line topology

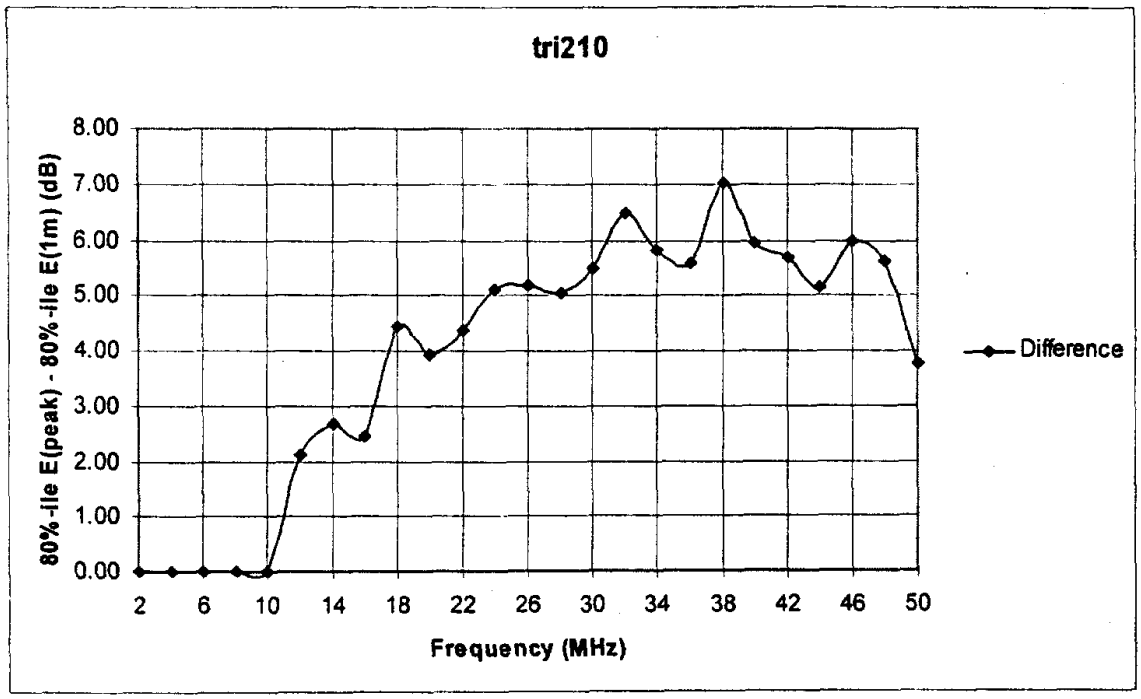

Figure 2-35: Difference between peak field strength at any height and the peak field strength at 1 meter, based on 80th percentile values; tri210 power line topology

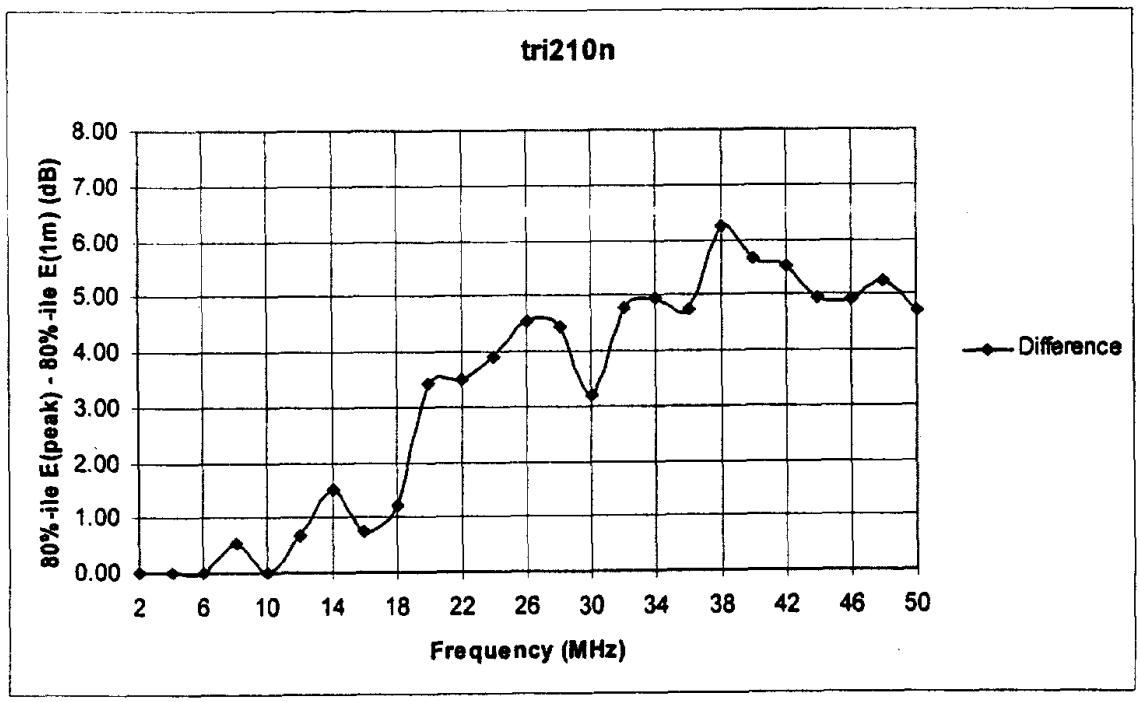

Figure 2-36: Difference between peak field strength at any height and the peak field strength at 1 meter, based on 80th percentile values; tri210n power line topology

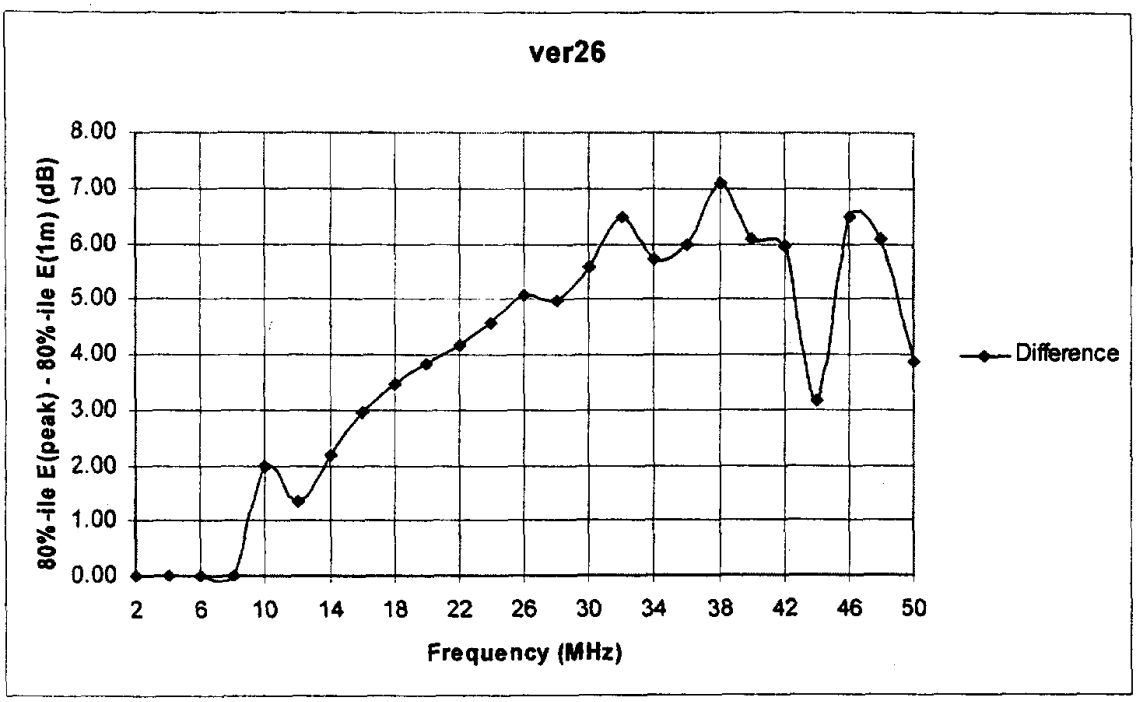

Figure 2-37: Difference between peak field strength at any height and the peak field strength at 1 meter, based on 80th percentile values; ver26 power line topology

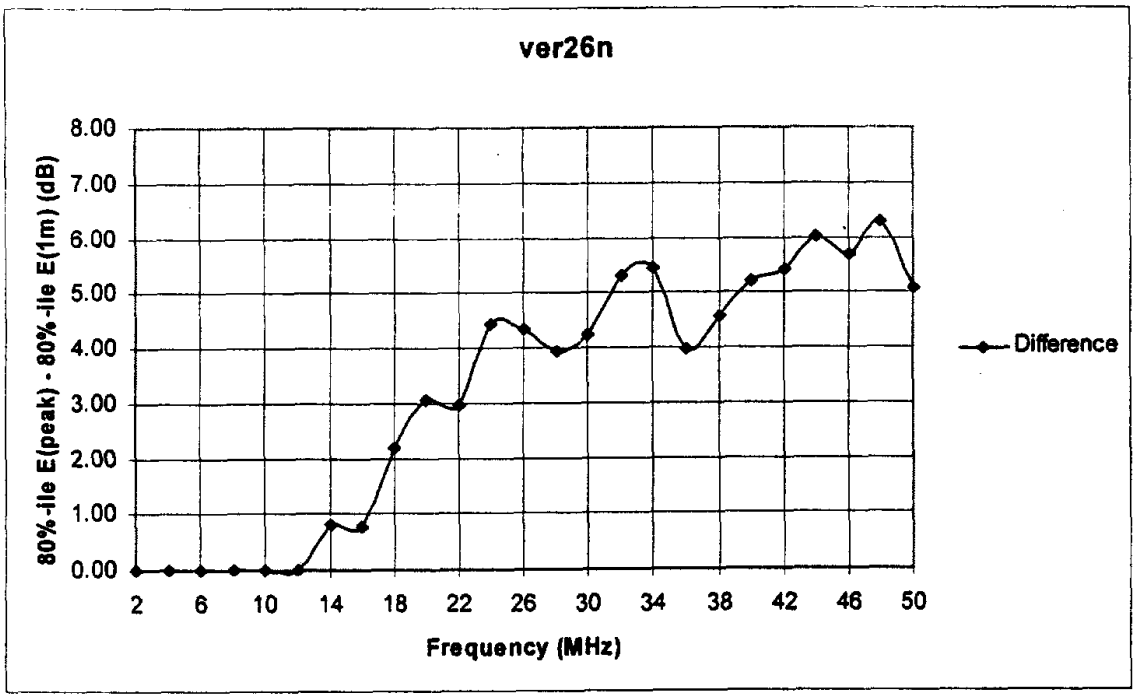

Figure 2-38: Difference between peak field strength at any height and the peak field strength at 1 meter, based on 80th percentile values; ver26n power line topology

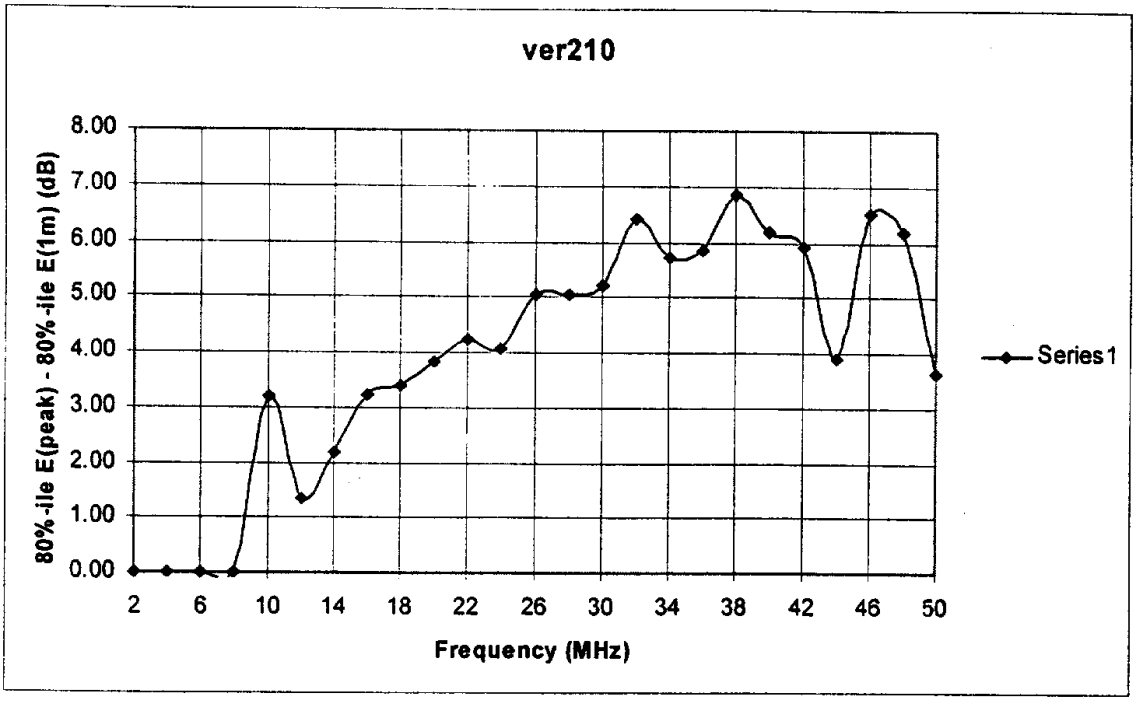

Figure 2-39: Difference between peak field strength at any height and the peak field strength at 1 meter, based on 80th percentile values; ver210 power line topology

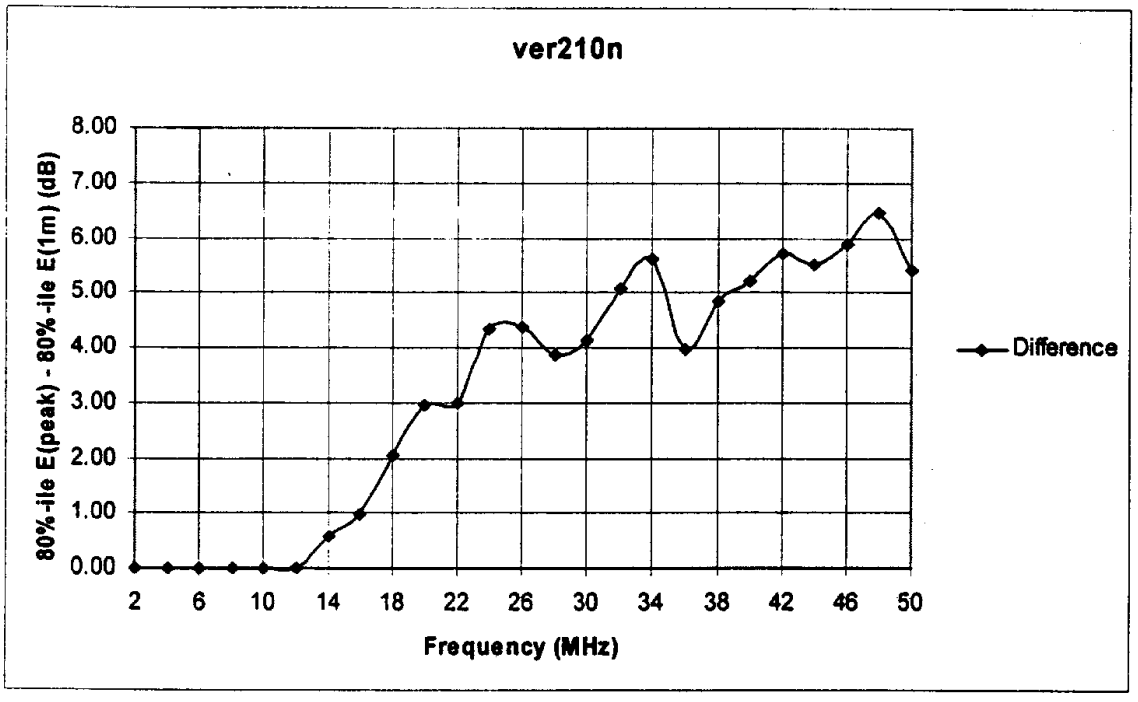

Figure 2-40: Difference between peak field strength at any height and the peak field strength at 1 meter, based on 80th percentile values; ver210n power line topology

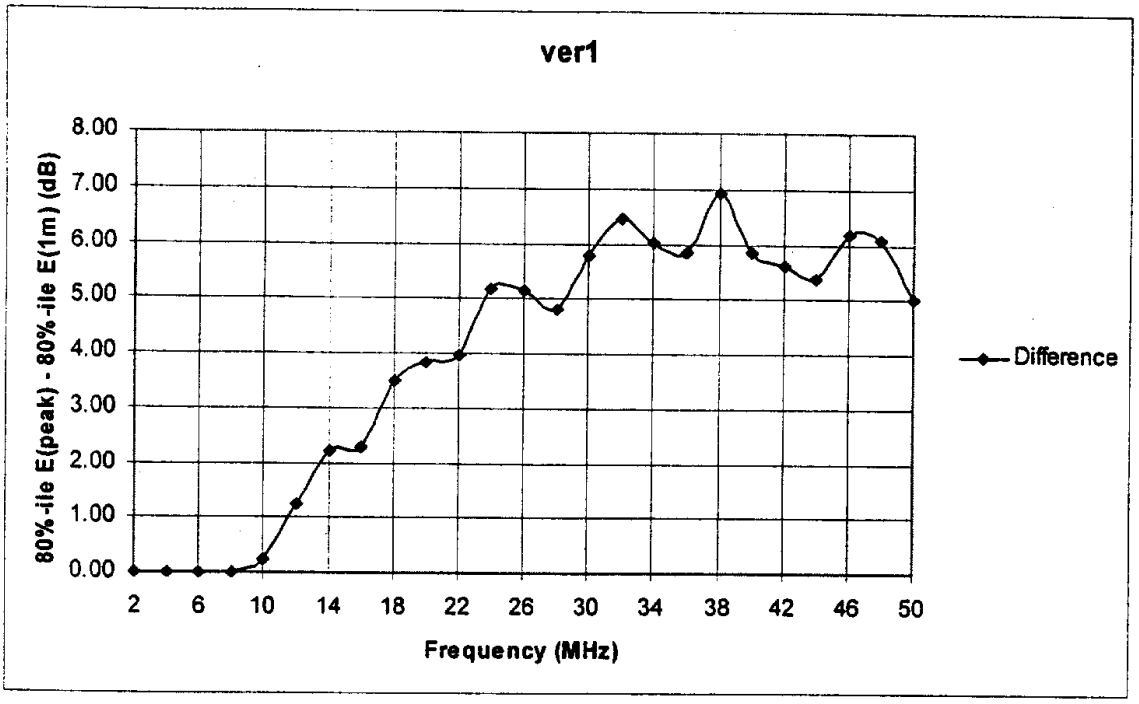

Figure 2-41: Difference between peak field strength at any height and the peak field strength at 1 meter, based on 80th percentile values; ver1 power line topology

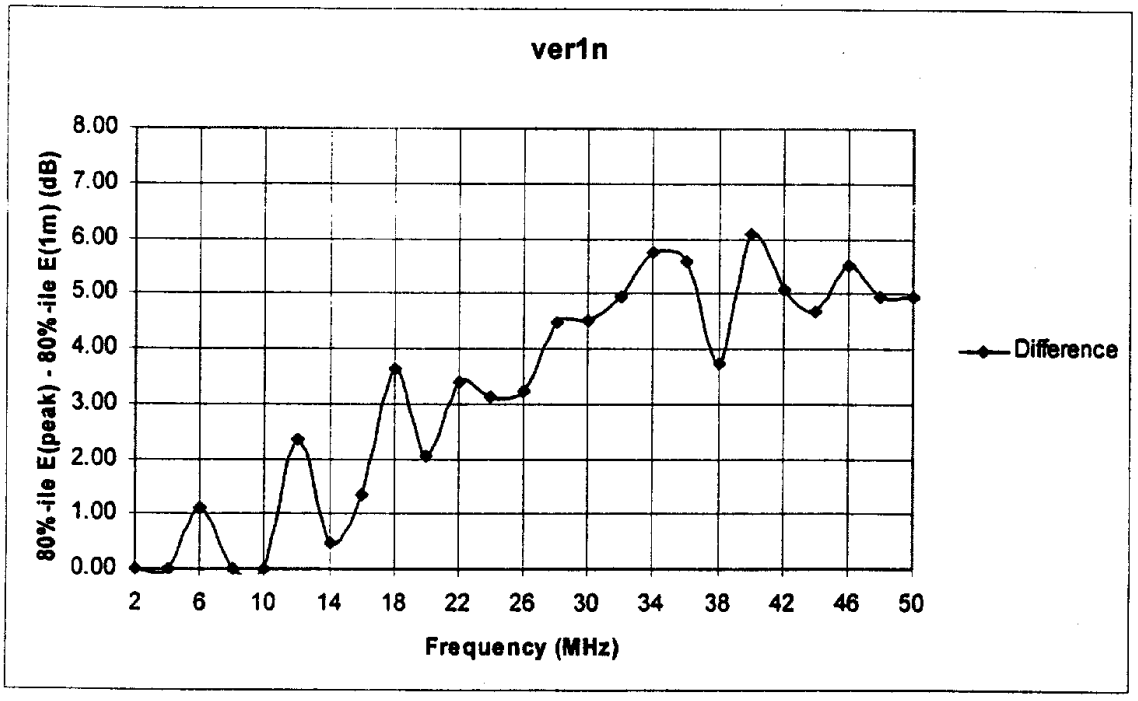

Figure 2-42: Difference between peak field strength at any height and the peak field strength at 1 meter, based on 80th percentile values; ver1n power line topology