

MOBILE: 501-350-2573

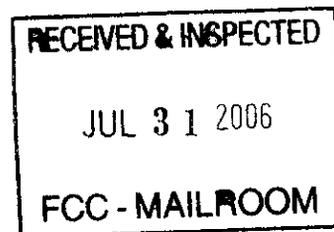
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CLAY FENDLEY

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July 28, 2006 ~~DOCKET~~ FILE COPY ORIGINAL

Federal Communications Commission
Office of the Secretary
9300 East Hampton Drive
Capitol Heights, MD 20743

RE: In the matter of Request for Waiver by I-K Electric Company
CC Docket No. 02-06
CC Docket No. 96-45
Funding year 2003 (7/1/2003 to 6/30/2004)
SLD Invoice No.: 614810
SLD Invoice Line: 2207263
Billed Entity Name: Great Rivers Educational Cooperative
Billed Entity No.: 139575
Form 470 Application No.: 953070000449389
Form 471 Application No.: 371294
FRN No.: 1034708

Dear sir or madam:

Enclosed please find a **REQUEST FOR WAIVER** by I-K Electric Company to be filed in docket number CC Docket No. 02-06 and CC Docket No. 96-45.

Thank you for your time and attention to this matter. Please do not hesitate to call if you have any questions.

Sincerely,

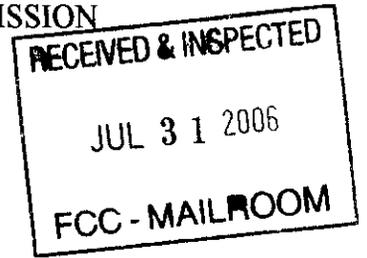

Clay Fendley

cc: Mr. Scott Korenblat
(Hand-delivered)

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BEFORE THE FEDERAL COMMUNICATIONS COMMISSION
WASHINGTON, D.C. 20554



In the matter of)
)
Request for Waiver by)
)
I-K Electric Company)
)
Schools and Libraries Universal Service) CC Docket No. 02-06
Support Mechanism)
)
Federal-State Joint Board on) CC Docket No. 96-45
Universal Service)

REQUEST FOR WAIVER

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SLD Invoice No.: 614810
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Billed Entity Name: Great Rivers Educational Cooperative
Billed Entity No.: 139575
Form 470 Application No.: 953070000449389
Form 471 Application No.: 371294
FRN: 1034708

I. Introduction and Background.

I-K Electric Company (“IK”) respectfully requests a waiver of the rule requiring that a request for an extension of the service delivery deadline for non-recurring services be filed on or before the expiration of the deadline. *See* Federal-State Joint Board on Universal Service, Report and Order, CC Docket No. 96-45, ¶ 15 (rel. June 29, 2001). Good cause exists to waive the rule, and waiver of the rule would serve the public interest better than strict adherence to the rule.

IK is a service provider for the Universal Service Support Mechanism for Schools and Libraries, commonly referred to as the “E-rate program.” IK was denied payment for E-rate eligible services provided to the Great Rivers Educational Cooperative (“Coop”), the E-rate applicant, due to a minor, procedural mistake by the Coop. The Coop’s mistake resulted from misleading information on FCC Form 500, in the Form 500 Notification Letter and provided by the Client Service Bureau of the Schools and Libraries Division (“SLD”) of the Universal Service Administrative Company (“USAC”).

SLD denied payment of IK Invoice No. 614810 because the services¹ were delivered to the Coop after expiration of the September 30, 2005 service delivery deadline for FRN

¹ The “services” provided by IK were providing equipment ordered by the Coop. *See* Exhibit 6.

1034708.² However, the Coop reasonably believed that it requested an extension of the service delivery deadline in a timely manner, that it qualified for an extension of the service delivery deadline, that it followed the correct procedure for obtaining an extension of the service delivery deadline and that it was granted an extension of the service delivery deadline to at least January 28, 2006. *See* Exhibit 1. Thus, on October 4, 2005, the Coop ordered services from IK under FRN 1034708. *See* Exhibit 6.

Upon receipt of the Coop's October 2005 order, IK contacted the SLD Client Service Bureau to confirm that the service delivery deadline had been extended. The SLD Client Service Bureau informed IK that the service delivery deadline for FRN 1034708 had been extended to January 28, 2006 and that "all was in order for IK to proceed." *See* Exhibit 10. This confirmed information in the Form 500 Notification Letter that IK received from SLD in July of 2004. That letter stated that a contract expiration date change "may provide more time for the provision of service." *See* Exhibit 1.

Relying on the information contained in the Form 500 Notification Letter and confirmed by the SLD Client Service Bureau, IK provided the services ordered by the Coop and submitted Invoice No. 614810 in the amount of \$91,077.54 for payment by SLD under FRN 1034708. *See* Exhibits 7 and 10. SLD denied payment of IK's invoice because the services were performed after the service delivery deadline of September 30, 2005. *See* Exhibit 8. On June 2, 2006, USAC rejected IK's appeal of SLD's denial of IK Invoice No. 614810. *See* Exhibit 9.

II. Legal Standard.

A rule may be waived where the particular facts make strict compliance inconsistent with the public interest. *Northeast Cellular Telephone Co. v. FCC*, 897 F.2d 1164, 1166 (D.C. Cir. 1990). In addition, the Commission may take into account considerations of hardship, equity, or more effective implementation of overall policy on an individual basis. *WAIT Radio v. FCC*, 418 F.2d 1153, 1157 (D.C. Cir. 1969), *affirmed* *WAIT Radio v. FCC*, 459 F.2d 1203 (D.C. Cir. 1972), *cert. denied* 409 U.S. 1027 (1972). In sum, waiver is appropriate if special circumstances warrant a deviation from the general rule, and such deviation would better serve the public interest than strict adherence to the general rule. *See* *Northeast Cellular*, 897 F.2d at 1166.

² Although FRN 1034708 pertained to Funding Year 2003, the Funding Commitment Decision Letter was not issued until March 3, 2004. As a result, the service delivery deadline for FRN 1034708 (which included non-recurring services) was automatically extended to September 30, 2005.

III. Discussion.

A. The Coop Attempted to Request an Extension in a Timely Manner.

The Coop attempted to request an extension of the service delivery deadline for FRN 1034708 in June of 2004 by filing an FCC Form 500. *See* Exhibit 1. The Federal-State Joint Board on Universal Service, Report and Order, CC Docket No. 96-45, (rel. June 29, 2001) (“June 2001 Extension Order”), required that the request for an extension be filed on or before the original service delivery deadline of September 30, 2005. *Id.* at ¶ 15. Thus, the Coop attempted to request an extension in a timely manner.

B. The Coop Qualified for an Extension.

The Coop qualified for an extension of the service delivery deadline pursuant the criteria established in the June 2001 Extension Order. *Id.* Paragraph 12 of the June 2001 Extension Order states that an extension should be granted to “applicants whose service providers are unable to complete implementation for reasons beyond the service provider’s control.” *Id.* Obviously, IK could not have completed implementation of the Coop’s October 2005 order before September 30, 2005.

Moreover, the amount of services to be provided under FRN 1034708 required an extension of the September 30, 2005 service delivery deadline. The Coop represents thirteen poor, rural school districts in Arkansas’ Mississippi River Delta. FRN 1034708 provided funds for the purchase of equipment necessary for installation of a computer network at each school in the thirteen districts. One person, Tim Vent, the Coop’s technology coordinator, was responsible for installing all of the equipment purchased under FRN 1034708 for all thirteen districts. Neither the Coop nor its member districts had secure space available to store the equipment. Therefore, Vent planned to implement FRN 1034708 in stages and to order the equipment for each stage as he was ready to install it.

Vent anticipated completing implementation of FRN 1034708 by January 28, 2006. Vent recognized that January 28, 2006 was beyond the service delivery deadline and the contract deadline for FRN 1034708. Accordingly, Vent attempted to extend those deadlines to accommodate his staged implementation schedule.

C. The Coop Reasonably Believed that It Followed the Correct Procedure for Obtaining an Extension of the Service Delivery Deadline.

Vent had worked with the E-rate program since its inception and was generally familiar with the program’s procedures. Vent spent several hours on SLD’s website trying to determine the correct procedure for obtaining an extension of the service delivery deadline and the contract deadline. Vent concluded that the Coop could obtain an extension of both deadlines by filing an FCC Form 500.

Vent's conclusion was reasonable given the information available to him at the time. Vent first reviewed SLD's website³ to determine the appropriate procedure for obtaining an extension of the deadlines. Vent followed a link to the "Schools & Libraries (E-rate) Forms." See Exhibit 3. That link identified seven forms including the Form 500 which was described as the "Adjustment to Funding Commitment & Modification to Receipt of Service Confirmation Form." See Exhibit 4. The Form 500 indicated that it could be used, among other things, for the following: "Contract Expiration Date: If you wish to change the ending date for services." See Exhibit 5. Vent wanted to "change the ending date for services," so he concluded that he should file a Form 500 to obtain an extension of both the contract expiration date and the service delivery deadline.

After reviewing SLD's website, Vent called the SLD Client Service Bureau to confirm that the Form 500 could be used to extend the service delivery deadline. The SLD Client Service Bureau verified that the Coop could obtain an extension of the service delivery deadline by filing a Form 500 and that no other action was required. Accordingly, Vent submitted the Form 500, and the Coop received notice from SLD that the contract date had been extended to January 28, 2006. See Exhibit 1. The Form 500 Notification Letter explained that the "extension may provide more time for the provision of service." See Exhibits 1 and 2. Thus, the Coop reasonably believed that the service delivery deadline for FRN 1034708 had also been extended to January 28, 2006.

Vent proceeded with the staged implementation of FRN 1034708. On October 4, 2005, Vent placed an order for equipment from IK. See Exhibit 6. Upon receipt of this order, IK contacted the SLD Client Service Bureau to confirm that the service delivery deadline had been extended. The SLD Client Service Bureau informed IK that the service delivery deadline for FRN 1034708 had been extended to January 28, 2006 and that "all was in order for IK to proceed." See Exhibit 10. This confirmed information in the Form 500 Notification Letter that IK had received from SLD in July of 2004. That letter stated that a contract expiration date change "may provide more time for the provision of service." See Exhibit 1.

Relying on the information contained in the Form 500 Notification Letter and confirmed by the SLD Client Service Bureau, IK provided the services ordered by the Coop and submitted Invoice No. 614810. See Exhibits 7 and 10. In a statement dated November 21, 2005, SLD rejected IK Invoice No. 614810. The reason given was "Billed Date Outside of Funding Year." See Exhibit 8.

Neither IK nor the Coop immediately understood the problem since the Form 500 Notification Letter indicated that the contract expiration date had been extended to January 28, 2006 and that the extended contract expiration date "may provide more time for the provision of service." See Exhibits 1 and 2. After the rejection of IK Invoice No. 614810, IK received another order from the Coop under FRN 1034708. Again, IK contacted the SLD Client Service Bureau. On January 26, 2006, the SLD Client Service Bureau informed IK that, at the request of

³ An archived version of the website may be viewed at the following address:
<http://web.archive.org/web/20030621124021/www.universalservice.org/default.asp>

the Coop, the service delivery deadline for FRN 1034708 had been extended a second time to June 30, 2006, and that IK could continue to provide services and equipment until that date.⁴ See Exhibits 2 and 10. Thus, even after SLD rejected Invoice No. 614810, the SLD Client Service Bureau was still telling IK that it could provide services under FRN 1034708.⁵

IK's investigation into why SLD rejected Invoice No. 614810 culminated in a July 12, 2006, conference call with John Noran, USAC Senior Manager of Customer Service, and Paul Stankus, USAC Assistant Manager of Customer Service. See Exhibit 10. Noran and Stankus acknowledged an ongoing problem with applicants seeking extensions of the service delivery deadline through the Form 500 due to the lack of direction to applicants to take additional steps. They explained that changes had recently been made to the SLD website to mitigate the misleading information on the Form 500. They recognized that the problem created by the misleading Form 500 was compounded by the fact that IK had no way to know that the service delivery deadline for FRN 1034708 had expired. For some unknown reason, FRN 1034708 was not listed on the FRN Extension Table on SLD's website (nor is it there now).

It was known that the SLD Client Service Bureau equated an extension of the contract date with an extension of the service delivery deadline. Noran and Stankus conceded that IK was provided inaccurate information when it contacted the SLD Client Service Bureau to find out whether the service delivery deadline for FRN 1034708 had been extended. Even so, USAC decided that it was constrained from granting IK the relief sought and advised IK to request an FCC waiver of the rule requiring that a request for an extension of the service delivery deadline be filed before expiration of the deadline. See Exhibits 9 and 10.

D. Waiver Would Better Serve the Public Interest.

Given the facts and circumstances described above, waiver would better serve the public interest than strict adherence to the general rule. See *Northeast Cellular*, 897 F.2d at 1166. First, the Coop's mistake could not have resulted in an advantage for it in the processing of its request – that is, the Coop's mistake could not have resulted in the Coop receiving more funding than it was entitled to. There is no evidence of waste, fraud, abuse or misuse of funds or the failure to adhere to core program requirements. See *Schools and Libraries Universal Service Support Mechanism*, CC Docket No. 02-6, Order, ¶ 11 (rel. May 19, 2006). IK has already provided the services, and SLD's contract reviewer, Bearing Point, has conducted a site visit and approved payment to IK.

Second, the denial of funding will inflict undue hardship on the Coop and the school districts it serves. See *Schools and Libraries Universal Service Support Mechanism*, CC Docket No. 02-6, Order, ¶ 11 (rel. May 19, 2006). The Coop provides services to thirteen small, poor, rural school districts in the Mississippi River Delta. Neither the Coop nor its participating school districts have reserved the money necessary to pay IK Invoice No. 614810, anticipating that the services provided would be paid for through the E-rate program. To pay IK the school districts

⁴ IK has been told that the case number for this conversation is 21-265543.

⁵ Despite assurances from the SLD Client Service Bureau that it could do so, IK did not provide any additional services under FRN 103478 after SLD rejected Invoice No. 614810.

will be required to use funds that otherwise could be spent in the classroom, and thus, the denial of a waiver will negatively impact the education of children in one of the most impoverished regions in the United States.

Finally, strict compliance with the rule would not further the purpose of 47 U.S.C. § 254(h). Section 254 directs the commission to “enhance . . . access to advanced telecommunications and information services for all public and non-profit elementary and secondary school classrooms, health care providers and libraries.” Service providers may refuse to participate in the E-rate program if minor, procedural mistakes by the applicant that cannot be fixed by the service provider may result in the service provider not being paid for services already provided.

IV. Prayer for Relief.

For the reasons set forth above, IK respectfully requests that the FCC waive the requirement that a request for an extension of the service delivery deadline be filed on or before the original service delivery deadline; that the FCC authorize the Coop to submit a request(s) for an extension of the service delivery deadline and the contract deadline to such a date as necessary to enable IK to provide all services authorized by FRN 1034708; and that the FCC order SLD to pay IK Invoice No. 614810.

Respectfully Submitted,

Mr. Clay Fendley
John C. Fendley, Jr. P.A.
51 Wingate Drive
Little Rock, AR 72205
Ph.: 501-907-9797
Fax: 501-907-9798
Email: clayfendley@comcast.net

Attorney for I-K Electric Company

INDEX TO EXHIBITS

1. Form 500 Notification Letter dated July 5, 2004.
2. Form 500 Notification Letter dated January 30, 2006.
3. USAC, SLD website, main page, as it existed in June 2004.
4. USAC, SLD website, forms page, as it existed in June 2004.
5. FCC Form 500 – April 2000.
6. October 4, 2005, email from Coop ordering services pursuant to FRN 1034708.
7. IK Invoice No. 614810.
8. USAC Statement Dated November 21, 2005;
9. Administrator's Decision on Invoice Appeal dated June 2, 2006.
10. Emails between IK and USAC.



FORM 500 NOTIFICATION LETTER
(Funding Year 2003: 07/01/2003 - 06/30/2004)

July 05, 2004

I-K Electric Company
Mike Seitz
PO Box 2140
Little Rock, AR 72203

Re: Service Provider Name: I-K Electric Company
Service Provider Identification Number: 143007431

This letter is to notify you that the Schools and Libraries Division (SLD) of the Universal Service Administrative Company has received and accepted FCC Form(s) 500 (Adjustment to Funding Commitment and Modification to Receipt of Service Confirmation Form) from Billed Entities who filed FCC Form(s) 471 listing your company's Service Provider Identification Number (SPIN). This Form 500 information will affect information previously reported to you.

As described in the "Funding Commitment Synopsis Explanation" below, this letter confirms several important pieces of information from each Form 500. Each Funding Commitment Synopsis relates to a particular Funding Request Number (FRN) and will set forth the modifications requested by the applicant for that FRN. (An FRN is the number assigned to each Block 5 of the applicant's Form 471 once an application has been processed.) Changes may include:

- change of the previously reported Service Start Date;
- change of the previously reported Contract Expiration Date;
- cancellation of an FRN;
- reduction of an FRN.

NOTICE ON SERVICE START DATE

There may be some situations where the New Service Start Date as reflected on this letter has been changed from what the applicant indicated on the Form 500. Such changes are made by the SLD to be sure that the service start date is in compliance with program rules. You will know that a change has been made if there is an asterisk (*) next to the New Service Start Date. It is important that you and the 471 applicant both recognize that the SLD should be invoiced and the SLD may direct disbursement of the discounts only on eligible, approved services actually delivered and installed after the Service Start Date indicated on this letter.

Any appeal of the change in Service Start Date detailed in a Form 500 Notification Letter must be received within 60 days of the date on the Form 500 Notification Letter. (Information on the appeal process can be found in "Appeals Procedure" posted in the Reference Area of the SLD web site, www.sl.universalservice.org) Therefore, prompt

communication with your customer is essential.

NOTICE ON INVOICING

INVOICING DEADLINES: After a Form 486 has been properly filed, the SLD must receive an invoice from either the applicant or the service provider in order to make payments for approved discounts on eligible services. Form 472, Billed Entity Applicant Reimbursement (BEAR) Form, is filed by the applicant; Form 474, Service Provider Invoice Form, is filed by the service provider. Invoices must be postmarked no later than 120 calendar days after the last date to receive service or 120 calendar days after the date of the Form 486 Notification Letter, whichever is later. If an invoice is postmarked after the later of those two dates, payment will be denied.

Please note that the SLD encourages service providers to work with their customers to establish whether discounts will appear on bills or whether customers prefer a reimbursement process. The SLD will process either reimbursements based on Form 472 (BEAR) or discounts based on Form 474 (SPIF) for a given FRN. Once established, however, the selected process - SPIFs or BEARS - must be used consistently for the entire Funding Year.

NOTE: The SLD will base the billing mode (reimbursement or discounting) on the first invoice type that it processes for payment. It is therefore imperative for the service provider and the customer to establish together the preferred invoicing mode.

EXPLANATION OF INFORMATION PROVIDED IN THE FORM 500 NOTIFICATION LETTER

On the following pages is a list of ERNs under which you are providing service and for which the applicant has notified us that it is making a modification. To help you understand this list, the following definitions are provided. Most of these are identical to the definitions that were included in the Funding Commitment Decision Letters (FCDL) earlier sent to you.

Funding Request Number (FRN): A Funding Request Number is assigned by the SLD to each Block 5 on the applicant's Form 471 once an application has been processed. This number is used to report to applicants and service providers the status of individual discount requests submitted on a Form 471.

Form 471 Application Number: A unique identifier assigned to a Form 471 application by the SLD.

Name of 471 Billed Entity Applicant: The name of entity that applied to the SLD, from Item 1 of the Form 471.

Entity Number: A unique identifier assigned by the SLD for the Billed Entity applicant.

Name of Form 500 Contact Person: The name of the contact person from Block 1 of the Form 500.

Form 500 Contact Person Information: Mailing address from Block 1, Item 5 of the Form 500, telephone number, fax number, and e-mail address.

Funding Year: The funding year for which discounts have been approved. Funding years begin on July 1 and end on the following June 30. Funding years are designated by the calendar year in which they begin.

Billing Account Number: The account number that you have established with your customer for billing purposes. This will be present only if a Billing Account Number was provided on Form 471.

Service Start Date Change (SHOWN ONLY IF REQUESTED): The New Service Start Date as indicated on the Form 500. If this date is marked with an asterisk, it was changed by SLD from what the applicant indicated on the Form 500 to be in compliance with program rules and an explanation for the change has been provided. This date as shown is controlling and USAC will not reimburse discounts on services delivered prior to this date.

Service Start Date Change Explanation (SHOWN ONLY IF RELEVANT): If the Service Start Date is marked with an asterisk, this field will appear to explain why SLD changed the date. One of the following explanations may appear:

AVSCD: The Service Start Date may not be before the Allowable Vendor Selection/Contract Date (AVSCD) from the Form 470 cited for this ERN on the Form 471. If the applicant indicated an earlier SSD on the Form 500, SLD changed the SSD to the AVSCD.

486 DEADLINE: Applicants can not use the Form 500 to change the Service Start Date from an adjusted Service Start Date on the Form 486. If the applicant indicated an earlier SSD on the Form 500, SLD changed the SSD to what was reflected on the Form 486 Notification letter sent to both the applicant and the service provider.

Contract Expiration Date Change (SHOWN ONLY IF REQUESTED): The Original Contract Expiration Date as shown on the Form 471 and the New Contract Expiration Date. A contract extension does not result in more money being committed to the FRN; the funding remains at the level provided in the Funding Commitment Decision Letter, but an extension may provide more time for the provision of service.

Cancel FRN (SHOWN ONLY IF REQUESTED): The Original Commitment Amount as shown in the Funding Commitment Decision Letter (FCDL) and a New Commitment Amount of \$0.00. Canceling an FRN is an irrevocable action.

Reduce FRN (SHOWN ONLY IF REQUESTED): The Original Commitment Amount as shown in the Funding Commitment Decision Letter (FCDL) and the New Commitment Amount After Reduction. The New Commitment Amount will become the new cap for the FRN. Reducing an FRN is an irrevocable action.

FORM 500 NOTIFICATION LETTER FUNDING COMMITMENT SYNOPSIS
(Funding Year 2003)

Service Provider Name: I-K Electric Company
Service Provider Identification Number: 143007431

Funding Request Number: 1034630
Form 471 Application Number: 371294
Name of 471 Applicant: GREAT RIVERS ED COOPERATIVE
Entity Number: N/A
Name of Form 500 Contact Person: TIM VENT
Form 500 Contact Person Information: 100 CAMPUS DRIVE, HELENA, AR 72342, 870-338-6461,
870-338-7905, TVENT@RIVER.GRSC.K12.AR.US
Funding Year 2003: 07/01/2003 - 06/30/2004
Billing Account Number: N/A
Contract Expiration Date Change: 06/30/2004; 01/28/2006

Funding Request Number: 1034708 ←
Form 471 Application Number: 371294
Name of 471 Applicant: GREAT RIVERS ED COOPERATIVE
Entity Number: N/A
Name of Form 500 Contact Person: TIM VENT
Form 500 Contact Person Information: 100 CAMPUS DRIVE, HELENA, AR 72342, 870-338-6461,
870-338-7905, TVENT@RIVER.GRSC.K12.AR.US
Funding Year 2003: 07/01/2003 - 06/30/2004
Billing Account Number: N/A
Contract Expiration Date Change: 06/30/2004; 01/28/2006

Funding Request Number: 1034883
Form 471 Application Number: 371294
Name of 471 Applicant: GREAT RIVERS ED COOPERATIVE
Entity Number: N/A
Name of Form 500 Contact Person: TIM VENT
Form 500 Contact Person Information: 100 CAMPUS DRIVE, HELENA, AR 72342, 870-338-6461,
870-338-7905, TVENT@RIVER.GRSC.K12.AR.US
Funding Year 2003: 07/01/2003 - 06/30/2004
Billing Account Number: N/A
Contract Expiration Date Change: 06/30/2004; 01/28/2006

1034708

1034708

*Don't see to Block 2 change from
1034708 to
1034708*

*1034708
Case # 12-365543
10/27/04*

*1034708
Case # 12-365543
10/27/04*

communication with your customer is essential.

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Form 471 Application Number: 371294
Name of 471 Applicant: GREAT RIVERS ED COOPERATIVE
Entity Number: N/A
Name of Form 500 Contact Person: TIM VENT
Form 500 Contact Person Information: 100 CAMPUS DRIVE, HELENA, AR 72342, 870-338-6461,
870-338-7905, TVENT@RIVER.GRSC.K12.AR.US
Funding Year 2003: 07/01/2003 - 06/30/2004
Billing Account Number: N/A
Contract Expiration Date Change: 06/30/2004; 06/30/2006

Graphics Off



Home

High Cost

Low Income

Schools & Libraries

Overview

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- Form 499 Online Data Collection System

Forms

- Billing, Collections, & Disbursements
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- Rural Health Care
- Schools & Libraries (E-rate)

The Universal Service Administrative Company (USAC) administers the Universal Service Fund (USF), which provides communities across the country with affordable telecommunication services.

IMPORTANT NOTICES

- **Informational Notice to All USAC Contributors and Debtors** (5/20/03)
- **USAC Launches a New, Redesigned Website** (4/18/03)
- **FCC Form 498 Update - Address Change for Submission** (4/11/03)



QUICK LINKS

- Form 499 Online Data Collection
- Board Meeting Schedules
- FCC Website

SITE SEARCH

Search Help

CONTACT INFO

- Contact Us
- Report **Fraud, Waste, and Abuse** with our Whistleblowers Hotline!

SITE HELP

- FAQ's
- Get Help!
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- Website Policy

HEADLINES

FCC Contribution Methodology Calculation Example Now Available (3/17/03)
You will need Adobe Acrobat to view this example.

USAC Launches 499-A On-line Submission (3/17/03)
USAC will begin accepting 499-A online submissions on Friday, March 14, 2003. The online submission will provide contributors with an alternate method for completing the form. [full article](#)

The Filing Due Date For the Form 499A is April 1, 2003. Need Assistance? (3/4/2003)
If you need assistance in completing the form, please call the 499 Helpline at (973) 560-4460 or **send an email** to the Form 499 Data Collection Staff. The 499A form is available on this website under **USF forms** along with the instructions [PDF format] and **General Helpful Hints**. [full article](#)

RURAL HEALTH CARE

Post FY 2002 Form 465 by June 2, 2003 to Receive Support (5/2/03)

RHCD Announces Improvement to E-Certification Process (3/26/03)

Draft Form (FCC Form 466) for Rural Health Care Mechanism Available for Download and Review (3/12/03)

SCHOOLS AND LIBRARIES

No FY2002 Wave Issued This Week (6/16/03)

Final Funding Threshold Set for FY 2002 Internal Connections Requests (6/13/2003)

FY 2003 Funds Insufficient for Internal Connections Requests Below 70% (6/13/2003)

HIGH COST/ LOW INCOME

FY2004 Form 470

In the Matter of I-K Electric Company Request for Waiver

Filing Requirement

Changes for ICLS (5/13/03)

On May 8, 2003, the FCC granted the Petition for Reconsideration of the MAG Order that requested, among other things, moving the ICLS filing deadlines for actual common line cost and revenue data for incumbent rate-of-return carriers from July 31st to December 31st of each year. [full article](#)

FCC Form 508, Interstate Common Line Support (ICLS) Projected Annual Common Line Revenue Requirement Form, is Approved and Available for Downloading (03/17/03)

Available (6/10/2003)

The FCC Form 470 for Funding Year 2004 (07/01/2004-06/30/2005) is now available for filing. You may file this form online or download the Form 470 and Instructions from this web site. [full article](#)

No FY2002 Wave Issued This Week
(6/2/03)

Additional Guidance on "Exclusive Use" for On-premise Priority 1 Equipment (5/30/03)

The FCC has provided additional guidance on evaluating the "exclusive use" requirement for on-premise Priority 1 equipment. [full article](#)

Summer Contact Procedure for Information Requests
(5/23/03)

Additional Guidance on WAN Eligibility (5/16/03)

Service Providers Cannot Respond to Selective Review Requests (5/13/03)

[Additional Archives]

Need help? You can contact us toll free at 1-888-641-8722.

The BCD call center hours of operation are 9:30AM to 4:30PM, Eastern Time, Mon-Fri. Aware of fraud, waste, and abuse, report it to our Whistle Blowers Hotline!



USAC | High Cost | Low Income | Rural Health Care | Schools and Libraries
 Site Tour | FAQs | Contacts | Get Help!

SL Forms

- SL Overview
- Applicants
- Service Providers
- Reference Area
- SL Forms
- Data Requests
- Funding Commitments
- Site Map
- PIN Request Area

All SLD forms are available for download, including Service Provider Forms. If a form is available in multiple formats, each format icon will appear in the "Download" column. Once you have determined which format you need, click on the icon for the form to open in your web browser otherwise you can save a local copy by right-mouse clicking on the icon. Files saved as XLS may be downloaded and viewed using a spreadsheet application. Files saved as PDF may be typed into directly on the computer and then printed out for your convenience. Should you need additional assistance, guidance is available on-site at "How to Download PDF Files".

Forms are available in three formats:

Adobe Acrobat | MS Excel | MS Word

Applicant Forms

Please visit Applying for Discounts: Step-by-Step under Applicants for guidance on filling out these forms.

Form #	Description	Download	Instructions
Form 470	1st SLD Form which describes the services you are seeking		
Form 471 - YEAR 2003 <small>NEW!</small>	2nd SLD Form which describes the services ordered		
471 Cost Calculation Grid	Use to Complete Block 5 of Form 471		
Form 479	Certification by Administrative Authority to Billed Entity of Compliance with the Children's Internet Protection Act		
Form 486	SLD Form which indicates receipt of services ordered		
Form 500	Adjustment to Funding Commitment & Modification		

~~Apply Online!~~
View Forms

Search Site

Enter Keyword

Get the most out of your search query by viewing Search TIPS!

Task Force on the Prevention of Waste, Fraud and Abuse

In the Matter of I-K Electric Company Request for Waiver

	to Receipt of Service Confirmation Form	 	
Form 472 BEAR	Used for seeking discounts on services already paid		

Please visit Form 471 - October 2000 if you wish to obtain the old version of the Form 471.

Service Provider Forms

Please download the instructions and read carefully for guidance on filling out the Service Provider forms.

Form #	Description	Download	Instructions
Form 499-A	Universal Service Worksheet - for telecom providers		 <p>Additional Resources:</p> <ul style="list-style-type: none"> - Reporting Requirements - General Helpful Hints - Revenue Helpful Hints - FCC Methodology Calculation Example
Form 498	Service Provider Information Form - for obtaining a SPIN		 <p>Additional Resources:</p> <ul style="list-style-type: none"> - Form Example ;  13kb - Form FAQs
Form 473	Service Provider Annual Certification Form		
Form 474 <i>New Online Submission Capability!</i>	Service Provider Invoice Form (This form can now be submitted online by clicking on the "SUBMIT" button within the PDF form. <i>Note: You must be using Adobe Acrobat 5.0 or higher.</i>)		

[Go back to Previous Page](#)

Web Site Privacy Policy

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**Universal Service for Schools and Libraries
Adjustment to Funding Commitment and
Modification to Receipt of Service Confirmation Form**

Please read instructions before completing.

Estimated Average Burden Hours Per Response: 1.5 hours
(To be completed by Schools and Libraries or Consortia.)

Form 500 Number
(unique identifying number assigned by applicant)

Block 1: Applicant Information

1. Name of Billed Entity Applicant (required)		2. Billed Entity Number (required)	3. Funding Year (required)
4. Complete Mailing Address of Billed Entity Applicant (required)			
Street Address, P. O. Box or Route Number	City	State	Zip Code
10-Digit Phone Number	Fax Telephone Number	E-Mail Address	
5. Contact Person Information			
Contact Person Name (required)			
Mailing Address (required if different from Item 4)			
Street Address, P. O. Box or Route Number	City	State	Zip Code
10-Digit Phone Number	Fax Telephone Number	E-Mail Address	

Persons willfully making false statements on this form can be punished by fine or forfeiture, under the Communications Act, 47 U.S.C. Secs. 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18 U.S.C. Sec. 1001.

NOTICE: The collection of information stems from the Commission's authority under Section 254 of the Communications Act of 1934, as amended, 47 U.S.C. § 254. The data in the form will be used to inform the Schools and Libraries Division of the Universal Service Administrative Company that a billed entity, and/or the schools and libraries that it represents, wishes to reduce its funding commitment amount on the funding request number level, or has modified the beginning or ending date for services received during the funding year.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

The FCC is authorized under the Communications Act of 1934, as amended, to collect the personal information we request in this form. We will use the information you provide to determine whether approving this application is in the public interest. If we believe there may be a violation or potential violation of a FCC statute, regulation, rule or order, your application may be referred to the Federal, state, or local agency responsible for investigating, prosecuting, enforcing or implementing the statute, rule, regulation or order. In certain cases, the information in your application may be disclosed to the Department of Justice or a court or adjudicative body when (a) the FCC; or (b) any employee of the FCC; or (c) the United States Government, is a party in a proceeding before the body or has an interest in the proceeding.

If you do not provide the information requested on the form, your application may be returned without action or your application may be delayed.

The foregoing Notice is required by the Privacy Act of 1974, Pub. L. No. 93-579, December 31, 1974, 5 U.S.C. § 552, and the Paperwork Reduction Act of 1995, Pub. L. No. 104-13, 44 U.S.C. § 3501, *et seq.*

Public reporting burden for this collection of information is estimated to average 1.5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, completing, and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the reporting burden, to the Federal Communications Commission, Performance Evaluation and Records Management, Washington, D.C. 20554.

Billed Entity Applicant's 500 Number (to be assigned by Fund Administrator) _____

Billed Entity Name _____ Contact Name _____

Billed Entity Number _____ Contact Telephone Number _____

Block 2: Services Adjustment: Fill in one Block 2 for EACH Funding Request (FRN) affected. If you are submitting more than one Block 2, please number your pages 2A, 2B, 2C, etc. and write the number in the space provided here: Page 2

6. Provide the following information about each service cited in your Form 471 Block 5, Discount Funding Request, [FRN] for which you want to take one of the following actions:

Remember: The FRNs listed on this form must be for the same Funding Year as listed in Item 3, Block 1.

New Start Date: If you wish to change the Funding Year Service Start Date you listed on a previously filed Form 486 in this funding year. This action will NOT result in more funding.

Contract Expiration Date: If you wish to change the ending date for services. This action will not result in more funding but you could combine it with a reduction in funding.

Cancel: If you wish to cancel a Funding Request Number. Please note: This action is irrevocable and the FRN can NOT be reinstated later. This action would allow money to be put back into the Universal Service fund for possible commitment to other applicants.

Reduce: If you wish to reduce the amount of your funding commitment for a particular FRN. This action is irrevocable and the FRN can NOT be increased later. This action would allow money to be put back into the Universal Service fund for possible commitment to other applicants.

The information required can be found in your Funding Commitment Decision Letter (FCDL) pertaining to the Funding Request (FRN) being affected.

To launch the submission of invoices for payment, please file **Form 486**.

IDENTIFICATION OF THE FRN TO BE ADJUSTED

(A) Form 471 Application Number (required): _____

(B) Funding Request Number (required): _____

(C) Billing Account Number (required, if contained in your FCDL): _____

(D) Service Provider Name (required): _____

(E) Service Provider SPIN (required): _____

ADJUSTMENT TO FRN LISTED ABOVE:

(F) Service Start Date	Original Date (mm/dd/yyyy):	New Date (mm/dd/yyyy):
<input type="checkbox"/> Change Date		
(G) Contract Expiration Date	Original Date (mm/dd/yyyy):	New Date (mm/dd/yyyy):
<input type="checkbox"/> Change Date		
(H) Cancel FRN	Original Commitment Amount:	New Commitment Amount:
<input type="checkbox"/> Please Cancel		\$0.00
(I) Reduce FRN	Original Commitment Amount from FCDL:	New Commitment Amount AFTER Reduction:
<input type="checkbox"/> Please Reduce		

Do Not Write In This Area

Billed Entity Name _____ Contact Name _____

Billed Entity Number _____ Contact Telephone Number _____

Block 3: Certification

7. I certify that I am authorized to submit this Form on behalf of the above-named billed entity applicant, that I have examined this request, and that, to the best of my knowledge, information, and belief, all statements of fact contained herein are true.
8. I understand that the discount level used for shared services is conditional, for future years, upon ensuring that the most disadvantaged schools and libraries that are treated as sharing in the services receive an appropriate share of benefits from those services.
9. I recognize that I may be audited pursuant to this application and will retain for five years any and all records that I rely upon to fill in this form.

10. Signature (original ink signature required)	11. Date (required)
12. Printed name of authorized person (required)	
13. Title or position of authorized person (required)	
14. Telephone number of authorized person (required)	
15. E-Mail address of authorized person (required, if available)	
16. Address of authorized person (required)	

A paper copy of this form, with an original signature in Block 3, Item 10 should be mailed to:

**SLD-Form 500
P. O. Box 7026
Lawrence, Kansas 66044-7026**

If sent by express delivery services or U.S. Postal Service, Return Receipt Requested, the form should be mailed to:

**SLD-Form 500
c/o Ms. Smith
3833 Greenway Drive
Lawrence, Kansas 66046
888-203-8100**

clayfendley

From: Mike Seitz [mseitz@IKELECTRIC.com]
Sent: Wednesday, July 26, 2006 11:51 AM
To: clayfendley
Subject: FW: Palestine/Wheatley

Clay,
Here is the purchase request we received from Great Rivers for the Palestine order on which payment was denied. I will follow this up with another email which has the purchase order number in it.

-----Original Message-----
From: Tim Vent {mailto:tvent@griver.grsc.k12.ar.us}
Sent: Tuesday, October 04, 2005 3:26 PM
To: Mike Seitz
Subject: Palestine/Wheatley

Here is what we need:

High School:

Cisco 3550-24pwr	9	
Cisco 3550-12G	1	
Stacking GBIC	8	
TX GBIC		12
FX GBIC		8
1200 AP G & ant	4	
Wall Rack		3
Floor Rack		2
Liebert UPS	7	

Palestine Elem:

Cisco 3550-24pwr	8	
Cisco 3550-12G	1	
Stacking GBIC	4	
TX GBIC		4
FX GBIC		18
1200 AP G & ant	7	
Wall Rack		6
Floor Rack		2
Liebert UPS	8	

Wheatley Middle:

Cisco 3550-12T	1	
Cisco 3550-24pwr	3	
Stacking GBIC	4	
FX GBIC		2
TX GBIC		2
1200 AP G & ant	3	
Wall Rack		2
Floor Rack		2
Liebert UPS	4	

**In the Matter of I-K Electric
Company Request for Waiver**

Exhibit 6

Looking at probably 6 servers too, but if we are having a holdup on the storage boxes I'd like to just get the servers but load them up with internal hard drives. What do you think? I need the stuff above for the High School like yesterday. Can we go ahead and process it and then add the other two campuses to the same PO?