

6. Attaching Additional Information

If an applicant needs to provide additional information not requested directly in the screens comprising the FCC Form 175, that information can be provided in an attachment. For example, applicants can describe the relationship among indirect owners of the applicant, or provide information regarding lease and resale arrangements (including wholesale) involving the spectrum capacity of applicants claiming eligibility for bidding credits.⁴³³

The screen for adding an attachment to FCC Form 175 can be accessed by clicking on the **Attachments** link in the upper right of any screen. The *Add Attachment* screen requests information regarding the type of attachment to be added, the name of the file to be attached, and a brief description of the attachment.

NOTE: If the attachment is a request for a waiver of any of the Commission's rules or procedures, the applicant must identify the "Type" of attachment as a "Waiver" to facilitate prompt processing.

Once the requested information is provided, applicants can add the attachment to the application by clicking on the **ADD ATTACHMENT** button. A list of any attachments already uploaded along with the applicant's FCC Form 175 will appear at the bottom of the screen.

When uploading attachments, applicants may use a variety of file formats, including Word 2000 or earlier, WordPerfect 5.x or later, Adobe PDF, and ASCII text. Applicants must, however, verify that the files contain all exhibit information, and files may be no larger than 10 Mb and must not be password-protected. Graphics files (e.g., .bmp, .tiff, .jpg) and spreadsheets (e.g., Excel, Lotus) are supported but not recommended.

7. Certify and Submit

The *Certify and Submit* screens are the sixth and final series of screens in FCC Form 175. In the *Certify and Submit* screens, applicants will provide certifications required of all participants in the Commission's competitive bidding processes.⁴³⁴

The first *Certify and Submit* screen requires each applicant to indicate whether the applicant, any affiliate, any controlling interest, and any affiliate of a controlling interest have ever been in default on any Commission license or have ever been delinquent on any non-tax debt owed to any Federal agency.⁴³⁵ Applicants associated with a relevant entity that previously has been in default or delinquent – but is not currently – may participate in an auction, if otherwise qualified. However, such applicants must submit an upfront payment equal to 50 percent more than otherwise required.⁴³⁶

⁴³³ Applicants claiming eligibility for bidding credits in Auction 73 must disclose (1) a list of all parties with which the applicant has entered into arrangements for the lease or resale (including wholesale agreements) of any of the capacity of any of the applicant's spectrum, and (2) a list, separately and in the aggregate, of the gross revenues of entities with which the applicant has an attributable material relationship. 47 C.F.R. § 1.2112(b)(1)(iii)-(iv). These lists should be provided in an attachment to the application, with the attachment type identified as "Other."

⁴³⁴ See generally, 47 C.F.R. § 1.2105(a)(2)(iv)-(vii) and (ix)-(xi).

⁴³⁵ 47 C.F.R. § 1.2105(a)(2)(xi).

⁴³⁶ 47 C.F.R. § 1.2106(a).

The second *Certify and Submit* screen lists the certifications required of all applicants in the Commission's competitive bidding processes and requests that the applicant's certifying official be identified and sign the application.

Who Can Certify. A short-form application can be certified by: (1) the applicant, if the applicant is an individual; (2) one of the partners, if the applicant is a partnership; (3) an officer, director, or duly-authorized employee, if the applicant is a corporation; (4) an officer, if the applicant is an unincorporated association; (5) the trustee, if the applicant is an amateur radio service club; or (6) a duly elected or appointed official who is authorized to do so under the laws of the applicable jurisdiction, if the applicant is a governmental entity.

All applicants must certify on their short-form applications under penalty of perjury that they are legally, technically, financially and otherwise qualified to hold a license.⁴³⁷ Applicants are reminded that submission of a FCC Form 175 constitutes a representation by the certifying official that he or she is an authorized representative of the applicant and has read the form's instructions and certifications, and that the contents of the application, its certifications and any attachments are true and correct. Submission of a false certification to the Commission may result in penalties, including monetary forfeitures, license forfeitures, ineligibility to participate in future auctions, and/or criminal prosecution. As noted above, a change of certifying official is considered a major change of the short-form application and will not be permitted after the short-form deadline.

Once the two *Certify and Submit* screens have been filled out, the application may be submitted by clicking on the **SUBMIT** button.

After the application has been submitted, a confirmation screen will be displayed that states the submission time and date, along with a unique file number. Applicants should print a copy of the confirmation page for their records. Applicants may then view and print copies of their submitted applications by clicking on the **PRINT PREVIEW** button.

Short-form applications for Auction 73 must be submitted and confirmed prior to 6:00 p.m. ET on Monday, December 3, 2007. Late applications or unconfirmed submissions of electronic data will not be accepted.

8. Withdrawing an Application Before the Short-Form Filing Deadline and Updating Existing Applications

a. Withdrawing an Application

To withdraw a submitted application before the short-form application filing deadline, applicants should click on the **Withdraw Application** icon on the Auction Application Manager *Create or Review Auction Applications* page. All previously-submitted data will be deleted.

Applications may not be withdrawn after the short-form application filing deadline.

b. Updating Existing Applications

The *Create or Review Auction Applications* page displays any application created or submitted within a filing window. This page shows the application status, the date and time the application was last updated,

⁴³⁷ 47 C.F.R. § 1.2105(a)(2)(v).

and the date and time the application was last submitted. This page may be used as a reference to confirm the date and time of the most recent submission of the application.

To review or continue working on an existing application, an applicant should click the application auction number, which will produce the application's *Summary* page in a view/edit mode. Applicants must go to the *Certify and Submit* screens and click the **SUBMIT** button to submit any changes made to their short-form applications. After the revised application has been submitted, a confirmation page will be displayed that states the submission time and date, along with your unique file number. Applicants should print a copy of the confirmation page for their records. Applicants may then view and print copies of their submitted applications by clicking on the **PRINT PREVIEW** button.

After the filing deadline, applicants may make only minor changes to their short-form applications. An applicant will not be permitted to make major modifications to its application (e.g., changes to applicant's change their license selections or certifying official, changes in control of the applicant, or claim an increase in its bidding credit).⁴³⁸

9. Refund of Upfront Payment

On *My Auctions* page, applicants can click on the **See Wire Transfer for Refund Purposes** link to provide wire transfer instructions for purposes of refunds of upfront payments. The Commission will use this information to refund excess funds on deposit upon receipt of a written refund request.

D. Application Review

Once the short-form application review process is complete, short-form applications can be viewed by searching for them in the FCC database. To start a search, go to either <http://auctions.fcc.gov/> (primary location) or <http://auctions2.fcc.gov/> (secondary location) and click the **Auction Applications** link in the Public Access area on the *FCC Integrated Spectrum Auction System* page.

E. Technical Support

For technical assistance with using FCC software, contact the FCC Technical Support Hotline at (877) 480-3201, option nine; (202) 414-1250; or (202) 414-1255 (TTY). The FCC Technical Support Hotline is available Monday through Friday from 8 a.m. to 6 p.m. ET. All calls to the FCC Technical Support Hotline are recorded.

Paperwork Reduction Act Approval: The FCC Form 175 was assigned control number 3060-0600 and was approved by the Office of Management and Budget on November 1, 2006.

⁴³⁸ 47 C.F.R. § 1.2105(b).

ATTACHMENT E

AUCTION 76 SHORT-FORM APPLICATION FILING INSTRUCTIONS

This attachment provides instructions for filing a short-form application (FCC Form 175) to participate in any contingent subsequent bidding for 700 MHz licenses in Auction 76.

Short-Form Application for Auction 76

To streamline the application process, other than license selection requirements, all relevant information for the application to participate in Auction 76 must be submitted as part of the application to participate in Auction 73 (instructions of which are provided in Attachment D to this Public Notice). The filing period for short-applications for Auctions 73 and 76 will be simultaneous. Thus, short-form applications for Auction 76 must be submitted and confirmed prior to **Monday, December 3, 2007 at 6:00 p.m. ET**. Late applications or unconfirmed submissions of electronic data will not be accepted.

The Auction 76 abbreviated application will request – and will accept – only information that the FCC Auction System requires in order to enable applicants to submit license selections for Auction 76. For example, applicants seeking to submit information regarding bidding agreements with respect to licenses offered in Auction 76 will not be able to access the bidding agreement screens that are usually part of the short-form application in the Auction 76 abbreviated application. Instead, such applicants must submit information regarding those agreements as part of their Auction 73 short-form application.

To comply with FCC Auction System requirements, however, applicants will be required to repeat some information submitted in their Auction 73 application, e.g. their FCC Registration Number (FRN), their name and address, certification of the form's contents, etc. As noted in the procedures for filing the abbreviated short-form application for Auction 76, with respect to information requested in the abbreviated application, applicants must provide the same information submitted in their application for Auction 73. Most importantly, applicants must use the same FRN in both applications, even if the applicant has more than one FRN, and that the same person must certify both applications, as the certification applies to information submitted in both applications.

Application Preparation and Submissions for Auction 76

An applicant must submit its short-form application (FCC Form 175) electronically, via the FCC Auction System. Applicants may make multiple changes to their short-form applications until the close of the filing window. However, applicants must press the **SUBMIT** button in the FCC Auction System for the changes to be submitted and considered by the Commission.

F. Minimum Software Requirements

The following software, at a minimum, is required to use the FCC Integrated Spectrum Auction System:

- Web Browser, either of the following:
 - Microsoft® Internet Explorer 6.0 or higher (recommended). Your browser must have either Microsoft VM or Java Plug-In Version 1.5 installed.
 - Netscape® Communicator™ 6.0 or higher, with Java Plug-In Version 1.5.

To obtain Java Plug-In Version 1.5, point your browser at http://java.sun.com/java.se/downloads/index_jdk5.jsp and click the Download button for the Java Runtime Environment (JRE) 5.0 option.

- PDF Viewer: Adobe Acrobat Reader 5.0 or higher (available at <http://www.adobe.com>)

Currently, the Apple® Mac OS® is not supported.

G. Logging On

To submit an FCC Form 175 electronically via the Internet, an applicant should start its web browser and point it to either <http://auctions.fcc.gov/> (primary location) or <http://auctions2.fcc.gov/> (secondary location). Once on the *FCC Integrated Spectrum Auction System* page, the applicant may log in to create a short-form application using its FCC Registration Number ("FRN") and password.

NOTE: An applicant submitting a short-form application for Auction 76 must use the same FRN that it used when filing its short-form application for Auction 73.

H. Application Filing Instructions

Pursuant to Section 1.2105(a), FCC Form 175 must be submitted electronically. Applicants must submit required information as entries in the data fields of the FCC Form 175 whenever a data field is available for that information. Attachments should not be used for Auction 76 short-form applications.

The screens comprising FCC Form 175 for Auction 76 consist of three series, each requesting separate types of information: 1) *Applicant Information*; 2) *License Selection*; and 3) *Certify and Submit*. In addition, *Summary* screens, a fourth series, appear prior to the *Certify and Submit* screens. The *Summary* screens provide an overview of an applicant's FCC Form 175 that facilitates reviewing and revising specific information, as well as an automated check for certain inconsistencies and omissions in submitted information.

To simplify filling out FCC Form 175, certain initial information applicants provide is used to determine what additional information is needed, and what subsequent screens will appear to collect that information. For example, a corporate applicant, unlike an individual applicant, must identify a corporate officer or director responsible for the application (sometimes called a responsible party). If an applicant identifies itself as an individual, no additional information is needed regarding an additional responsible party, and screens requesting responsible party information will not appear. However, if the applicant identifies itself as a corporation, subsequent screens in the FCC Form 175 will ask for responsible party information. Again, applicants should provide identical information to that provided in the short-form application for Auction 73.

Applicants should be able to fill out FCC Form 175 by following the instructions below. Additional help in filling out FCC Form 175 can be accessed from the electronic FCC Form 175 in two ways: 1) by clicking on the **Help** link in the upper right of any screen, which will open Auction Application Online Filing Help; or 2) by clicking on the text of any **Common Question** link appearing on the right side of the screen. The common questions displayed relate to the current screen and vary from screen to screen. In the event the assistance provided by these sources is insufficient, filers should use the contact information provided in this Public Notice to obtain additional assistance.

1. Applicant Information

The *Applicant Information* screens are the first series of screens in FCC Form 175. In the *Applicant Information* screens, the applicant will provide basic information including

the applicant's legal classification (e.g., individual, corporation, rural telephone cooperative, etc.)

- optional information regarding the applicant's status as a minority- or woman-owned business or a rural telephone company
- the applicant's name, which will be used as the bidder name during the auction
- citizenship for individuals, or jurisdiction of formation for legal entities
- *for applicants classified as legal entities (e.g., corporations and partnerships), the name of and information regarding the entity's responsible individual*
- the name, address, telephone and fax number of a contact person who will communicate with the Commission regarding the applicant's FCC Form 175⁴³⁹
- the names of up to three persons authorized to bid for the applicant in the auction
- the applicant's preference for electronic or telephonic bidding
- whether the applicant claims eligibility for certain types of bidding credits and the amount claimed.⁴⁴⁰

Each screen will specify the information that the applicant must provide. Please note the following with respect to particular information requested:

- Applicants must indicate their legal classification before continuing to subsequent screens, because the legal classification will determine which subsequent screens will appear.
- P.O. Boxes may not be used for an applicant's address.
- To simplify filling out the FCC Form 175, an applicant that has the same address as its contact person can click on the **COPY APPLICANT ADDRESS** button to automatically fill in the contact person's address. P.O. Boxes may not be used for a contact person's address.
- Applicants must identify at least one authorized bidder. While applicants may change their authorized bidders at a later date, in Auction 73 only those bidders listed on the FCC Form 175 will be authorized to place bids for the applicant during the auction.
- Any qualified bidder may bid either via the Internet or by telephone during the auction. Specifying a preference for electronic or telephonic bidding assists the Commission in determining the staff required for telephonic bidding.

Again, applicants should provide identical information to that provided in the short-form application for Auction 73.

2. License Selection

The *License Selection* screens make up the second series of screens in FCC Form 175. In the *License Selection* screens, the applicant will identify the licenses that may be offered in Auction 76 on which the applicant may wish to bid during the auction. While an applicant is not obligated to bid on all licenses that it selects, it will not be able to bid on licenses that it has not selected on its FCC Form 175 for Auction 76.⁴⁴¹ Be advised that there is no opportunity to change this list after the short-form filing deadline.

⁴³⁹ FCC personnel will communicate only with an applicant's contact person or certifying official, as designated on the applicant's FCC Form 175, unless the applicant's certifying official or contact person notifies the Commission in writing that applicant's counsel or other representative is authorized to speak on its behalf.

⁴⁴⁰ 47 C.F.R. § 1.2105(a)(2)(ii)(A).

⁴⁴¹ 47 C.F.R. § 1.2105(a)(2)(i).

To participate in an auction, applicants must select at least one license in Auction 76. Until the applicant selects a license, the applicant cannot submit the FCC Form 175. An applicant's license selections cannot be changed after the initial filing window has closed. Therefore, during the initial filing window it is important to carefully review license selections to make sure all the licenses on which the applicant may wish to bid have been selected.

The *License Selection* screens contain a list of all licenses that may be available in Auction 76. Bidding on these licenses would only occur in the event that Auction 73 results do not satisfy one or more aggregate reserve prices for licenses offered in Auction 73. If the aggregate reserve price is met in Auction 73 for a particular block, that block of licenses will not be offered in Auction 76.

Applicants can navigate within the *License Selection* screen by selecting the individual page links or the "Previous" and "Next" buttons above and below the list of available licenses. In addition, applicants can use pre-defined filters to narrow down the list of licenses for which they wish to select and save. Specifically, licenses can be selected by either: 1) clicking the check box next to the license name, then selecting the "Save Selected Items" button; 2) clicking the "Save All Items" button to save all available licenses for Auction 73; or, by 3) clicking the "Save All Filtered Items" button after applying a filter.

NOTE: An applicant should be aware that "Save All Items" on its short-form application for Auction 76 will not include any licenses that may be offered in Auction 73. License selection for Auction 73 must occur on the FCC Form 175 for Auction 73.

Once the applicant has successfully saved the license(s), the word "Saved" will appear next to the license name to confirm that it has been saved with the application. Licenses selected on the *License Selection* screen will be automatically saved when navigating to another screen within the Auction Application by using the links and buttons provided on the screen.

Licenses can be removed by either: 1) clicking the check box (to uncheck) next to a previously saved license, then selecting the "Remove Selected Items" button; 2) clicking the "Remove All Items" button to remove all previously saved licenses; or by 3) clicking the "Remove All Filtered Items" button after applying a filter. Removed licenses will not have the word "Saved" next to the license name.

Applicants may view all saved licenses by either clicking the **Edit Icon** next to "View Saved Items" at the top right corner of the list, or by clicking the **CONTINUE** button at the bottom of the page.

Once licenses have been saved, the *View/Edit License Selections* screen will be the first screen displayed when navigating to the *License Selection* step. This screen lists all licenses the applicant has selected and saved for the auction. To select a new license or remove a previously selected license, click the **Edit Icon** next to "Return to License Selection" at the top right corner of the list. This will take you to the original *Select Licenses* screen, as described above.

Applicants interested in bidding on packages for C1 and C2 Block licenses in Auction 76 must select the individual licenses to create those packages in the bidding system. If an applicant fails to pick a particular C1 or C2 Block license, it cannot bid on that license as part of a pre-defined package.

3. Agreements

Applicants will not see any *Agreements* screens for the abbreviated Auction 76 short-form application. Information regarding auction-related agreements with respect to licenses offered in Auction 76 should be supplied in the short-form application for Auction 73.

4. Ownership

Applicants will not see any *Ownership* screens for the abbreviated Auction 76 short-form application. Information regarding parties with ownership interests in the applicant that must be disclosed pursuant to *Commission rules should be supplied in the short-form application for Auction 73. Additionally, revenue information supporting an applicant's claim of eligibility for a bidding credit on licenses offered in Auction 76 must likewise be provided in the short-form application for Auction 73.*⁴⁴²

5. Summary

The *Summary* screens summarize information applicants have provided in previous screens, offering an overview of an applicant's FCC Form 175 to help locate specific information. The *Summary* screens will appear prior to the *Certify and Submit* screens, in order to permit the applicant to review all the information entered in previous screens and to provide an opportunity to check for errors in the information submitted.

The first *Summary* screen, the *Summary Overview* screen, lists the first a series of screens in the application and provides a **VIEW/EDIT** button to access each one.

- Clicking **VIEW/EDIT** for Applicant Information produces a *Detail for Applicant Information* screen showing what you entered for each data entry field. To change any data item, click the **Edit** icon for the relevant data field.
- Clicking **VIEW/EDIT** for License Selection takes you to the *View/Edit License Selection* screen discussed above in the License Selection section.

Note: the **VIEW/EDIT** button will not be active for Agreements or Ownership for Auction 76 short-form applications.

Clicking on the **CHECK ERRORS** button initiates an automated check of the application. If the automated check encounters any errors that must be corrected before submitting the application, the error(s) will be listed in an Error box at the top of the screen. To correct one of these errors, click its corresponding **EDIT** button. In addition, if the automated check encounters any apparent errors that might render the application incomplete if the application is submitted with current information, the apparent error will be listed in a Warning box. To revise the information related to the apparent error, click its corresponding **EDIT** button.

Each applicant is solely responsible for providing complete and accurate information in its FCC Form 175. The automated check is provided to assist applicants in completing FCC Form 175. However, the automated check cannot be relied upon to determine whether the information provided in the FCC Form 175 is complete or accurate. The automated check may not catch all errors and applicants cannot rely on the automated check to determine the completeness or the accuracy of submitted information.

If the automated check does not encounter any errors, a box will appear displaying the message "No Errors found - You may continue to Certify and Submit." Applicants may then click the **CONTINUE TO CERTIFY** button.

⁴⁴² This includes any information regarding any arrangements for the lease or resale (including wholesale agreements) of any of the capacity of any of the applicant's spectrum, as well as the gross revenues of entities with which the applicant has an attributable material relationship as a result of such arrangements.

6. Attaching Additional Information

If an applicant needs to provide additional information not requested directly in the screens comprising the FCC Form 175, that information should be provided in an attachment to the Auction 73 short-form application. Applicants should not provide any attachments to the Auction 76 short-form application.

7. Certify and Submit

The *Certify and Submit* screens are the final series of screens in FCC Form 175. In the *Certify and Submit* screens, applicants will provide certifications required of all participants in the Commission's competitive bidding processes.⁴⁴³

The first *Certify and Submit* screen requires each applicant to indicate whether the applicant, any affiliate, any controlling interest, and any affiliate of a controlling interest have ever been in default on any Commission license or have ever been delinquent on any non-tax debt owed to any Federal agency.⁴⁴⁴ Applicants associated with a relevant entity that previously has been in default or delinquent – but is not currently – may participate in an auction, if otherwise qualified. However, such applicants must submit an upfront payment equal to 50 percent more than otherwise required.⁴⁴⁵

The second *Certify and Submit* screen lists the certifications required of all applicants in the Commission's competitive bidding processes and requests that the applicant's certifying official be identified and sign the application.

Who Can Certify. An applicant for Auction 76 must use the same person who certified its Auction 73 short-form application.

All applicants must certify on their short-form applications under penalty of perjury that they are legally, technically, financially and otherwise qualified to hold a license.⁴⁴⁶ Applicants are reminded that submission of a FCC Form 175 constitutes a representation by the certifying official that he or she is an authorized representative of the applicant and has read the form's instructions and certifications, and that the contents of the application, its certifications and any attachments are true and correct. Submission of a false certification to the Commission may result in penalties, including monetary forfeitures, license forfeitures, ineligibility to participate in future auctions, and/or criminal prosecution. As noted above, a change of certifying official is considered a major change of the short-form application and will not be permitted after the short-form deadline.

Once the two *Certify and Submit* screens have been filled out, the application may be submitted by clicking on the **SUBMIT** button.

After the application has been submitted, a confirmation screen will be displayed that states the submission time and date, along with a unique file number. Applicants should print a copy of the confirmation page for their records. Applicants may then view and print copies of their submitted applications by clicking on **PRINT PREVIEW** button.

⁴⁴³ See generally, 47 C.F.R. § 1.2105(a)(2) (iv)-(vii) and (ix)-(xi).

⁴⁴⁴ 47 C.F.R. § 1.2105(a)(2)(xi).

⁴⁴⁵ 47 C.F.R. § 1.2106(a).

⁴⁴⁶ 47 C.F.R. § 1.2105(a)(2)(v).

Short-form applications for Auction 76 must be submitted and confirmed prior to 6:00 p.m. ET on Monday, December 3, 2007. Late applications or unconfirmed submissions of electronic data will not be accepted.

8. Withdrawing an Application Before the Short-Form Filing Deadline and Updating Existing Applications

a. Withdrawing an Application

To withdraw a submitted application before the short-form application filing deadline, applicants should click on the **Withdraw Application** icon on the Auction Application Manager *Create or Review Auction Applications* page. All previously-submitted data will be deleted.

Applications may not be withdrawn after the short-form application filing deadline.

b. Updating Existing Applications

The *Create or Review Auction Applications* page displays any application created or submitted within a filing window. This page shows the application status, the date and time the application was last updated, and the date and time the application was last submitted. This page may be used as a reference to confirm the date and time of the most recent submission of the application.

To review or continue working on an existing application, an applicant should click the application auction number, which will produce the application's *Summary* page in a view/edit mode. Applicants must go to the *Certify and Submit* screens and click the **SUBMIT** button to submit any changes made to their short-form applications. After the revised application has been submitted, a confirmation page will be displayed that states the submission time and date, along with your unique file number. Applicants should print a copy of the confirmation page for their records. Applicants may then view and print copies of their submitted applications by clicking on **PRINT PREVIEW** button.

After the filing deadline, applicants may make only minor changes to their short-form applications. An applicant will not be permitted to make major modifications to its applications (e.g., changes to applicant's license selections or certifying official, changes in control of the applicant, or claim an increase in its bidding credit).⁴⁴⁷

Any minor changes made to an applicant's Auction 76 short-form application should be also be made in its Auction 73 short-form application.

9. Refund of Upfront Payment

On *My Auctions* page, applicants can click on the **See Wire Transfer for Refund Purposes** link to provide wire transfer instructions for purposes of refunds of upfront payments. The Commission will use this information to refund excess funds on deposit upon receipt of a written refund request.

I. Application Review

Auction 76 short-form applications will not be viewable by the public until after the close of bidding in Auction 73. At that time, if Auction 76 is necessary, Auction 76 short-form applications can be viewed by searching for them in the FCC database. To start a search, go to either <http://auctions.fcc.gov/>

⁴⁴⁷ 47 C.F.R. § 1.2105(b).

(primary location) or <http://auctions2.fcc.gov/> (secondary location) and click the Auction Applications link in the Public Access area on the *FCC Integrated Spectrum Auction System* page.

J. Technical Support

For technical assistance with using FCC software, contact the FCC Technical Support Hotline at (877) 480-3201, option nine; (202) 414-1250; or (202) 414-1255 (TTY). The FCC Technical Support Hotline is available Monday through Friday from 8 a.m. to 6 p.m. ET. All calls to the FCC Technical Support Hotline are recorded.

Paperwork Reduction Act Approval: The FCC Form 175 was assigned control number 3060-0600 and was approved by the Office of Management and Budget on November 1, 2006.

ATTACHMENT F

**AUCTION-SPECIFIC INSTRUCTIONS FOR
FCC REMITTANCE ADVICE (FCC FORM 159-February 2003 Edition)**

Upfront Payments

The following information supplements the standard instructions for FCC Form 159 (Revised 2/03), and is provided to help ensure correct completion of FCC Form 159 for upfront payments for Auction 73. Applicants need to complete FCC Form 159 carefully, because:

- **Mistakes may affect bidding eligibility; and**
- **Lack of consistency between information provided in FCC Form 159 (Revised 2/03), FCC Form 175, long-form application, and correspondence about an application may cause processing delays.**

Therefore appropriate cross-references between the FCC Form 159 Remittance Advice and the short-form application (FCC Form 175) are described below:

Block Number	Required Information
1	LOCKBOX # - Enter "358405"
2	Payer Name - Enter the name of the person or company making the payment. If the applicant itself is the payer, this entry would be the same as FCC Form 175.
3	Total Amount Paid - Enter the amount of the upfront payment associated with the FCC Form 159 (Revised 2/03).
4-8	Street Address, City, State, ZIP Code - Enter the street mailing address (not Post Office box number) where mail should be sent to the payer. If the applicant is the payer, these entries would be the same as FCC Form 175 from the Applicant Information section.
9	Daytime Telephone Number - Enter the telephone number of a person knowledgeable about this upfront payment.
10	Country Code - For addresses outside the United States, enter the appropriate postal country code (available from the Mailing Requirements Department of the U.S. Postal Service).
11	Payer FRN - Enter the payer's ten-digit FCC Registration Number (FRN) registered in the Commission Registration System (CORES).
21	Applicant FRN (Complete only if applicant is different than payer.) - Enter the applicant's ten-digit FRN registered in CORES.
24A	Payment Type Code - Enter "A73U"

- 25A Quantity - Enter the number "1"
- 26A Fee Due - Amount of Upfront Payment
- 27A Total Fee - Will be the same amount as 26A.
- 28A FCC Code 1 - Enter the number "73" (indicating Auction 73).

NOTES:

- Do not use Remittance Advice (Continuation Sheet), FCC Form 159-C, for upfront payments.
- If applicant is different from the payer, complete blocks 13 through 21 for the applicant, using the same information shown on FCC Form 175. Otherwise leave them blank.
- Since credit card payments will not be accepted for upfront payments for an auction, leave Section E blank.

Winning Bidder Requirements, Down Payments and Final Payments

Specific information regarding down payments and final payments will be included in a post-auction public notice announcing the winning bidders.

ATTACHMENT G

Activity-Based Formula to Determine Minimum Acceptable Bids

$$A_i = (C * B_i) + ((1-C) * A_{i-1})$$

$$I_{i+1} = \text{smaller of } ((1 + A_i) * N) \text{ and } M$$

$$X_{i+1} = I_{i+1} * Y_i$$

where

A_i = activity index for the current round (round i)

C = activity weight factor

B_i = number of bidders submitting bids on the licenses in the current round (round i)

A_{i-1} = activity index from previous round (round i-1), A_0 is 0

I_{i+1} = additional percentage for the next round (round i+1)

N = minimum additional percentage or floor

M = maximum additional percentage or ceiling

X_{i+1} = additional dollar amount

Y_i = current price estimate (CPE) for the license from the current round

(The CPE for a license is the provisionally winning bid, unless there is a provisionally winning package bid covering the license. See Attachment H for more information on CPEs.)

Examples

$$C = 0.5, N = 0.1, M = 0.2$$

Round 1 (1 bidder submitting bids, CPE = \$1,000,000)

1. Calculation of additional percentage for round 2:

$$A_1 = (0.5 * 1) + (0.5 * 0) = 0.5$$

$$I_2 = \text{The smaller of } [((1 + 0.5) * 0.1) = 0.15] \text{ and } 0.2 \text{ (the maximum additional percentage)} \\ = 0.15$$

2. Calculation of dollar amount associated with the additional percentage for round 2 (using I_2 from above):

$$X_2 = 0.15 * \$1,000,000 = \$150,000$$

3. Minimum acceptable bid amount for round 2 = \$1,150,000

Round 2 (3 bidders submitting bids, CPE = \$2,000,000)

1. Calculation of additional percentage for round 3:

$$A_2 = (0.5 * 3) + (0.5 * 1) = 2$$

$$I_3 = \text{The smaller of } [(1 + 2) * 0.1] = 0.3 \text{ and } 0.2 \text{ (the maximum additional percentage)} \\ = 0.2$$

2. Calculation of dollar amount associated with the additional percentage for round 3 (using I_3 from above):

$$X_3 = 0.2 * \$2,000,000 = \$400,000$$

3. Minimum acceptable bid amount for round 3 = \$2,400,000

Round 3 (1 bidder submitting bids, CPE = \$2,400,000)

1. Calculation of additional percentage for round 4:

$$A_3 = (0.5 * 1) + (0.5 * 2) = 1.5$$

$$I_4 = \text{The smaller of } [(1 + 1.5) * 0.1] = 0.25 \text{ and } 0.2 \text{ (the maximum additional percentage)} \\ = 0.2$$

2. Calculation of dollar amount associated with the additional percentage for round 4 (using I_4 from above):

$$X_4 = 0.2 * \$2,400,000 = \$480,000$$

3. Minimum acceptable bid amount for round 4 = \$2,880,000

For packages, the minimum acceptable bid amount is the sum of the minimum acceptable bid amounts for the licenses in the package.

ATTACHMENT H

Determining Provisionally Winning Bids and Current Price Estimates

The licenses subject to package bidding in a Hierarchical Package Bidding auction are grouped into non-overlapping, successively larger packages at each level. This attachment addresses the HPB structure to be used for the C Block licenses available in Auction 73, and for the C2 Block licenses that may be available in Auction 76.⁴⁴⁸ This hierarchical structure has two levels, consisting of 12 individual REAG licenses in the first level and several packages of different sizes in the second level, as shown in Table 1 below. After each round, all considered bids and minimum opening bids are used to determine the largest bid on each license and on each allowed package, using the random tie-breaking rule.⁴⁴⁹ A simple procedure is used to calculate the provisionally winning set of bids and a "current price estimate" ("CPE") for each individual license.⁴⁵⁰ This appendix describes the winner determination and pricing rules, first in terms of an example and then in more general terms.

Table 1. Two-Level Structure

Level 2: Packages	50 States								Atlantic		Pacific	
Level 1: REAG licenses	R1	R2	R3	R4	R5	R6	R7	R8	R10	R12	R9	R11

Determining Provisionally Winning Bids

After each round of bidding, the FCC Auction System determines which combination of bids provides the greatest aggregate gross amount and are therefore provisionally winning. In order to determine the best combination of bids, the system takes the highest bid on each individual license. It then compares the sum of the high bids on individual licenses in a package with the highest package bid to determine provisionally winning bid(s).

Example 1: Suppose that the highest bids on each license and package are as shown in Table 2 below. The sum of the highest bids on REAG licenses R1-R8, 80, exceeds the highest bid on the 50-state package, 70; the sum of the highest bids on REAG licenses R10 and R12, 20, exceeds the highest bid on the Atlantic package, 15; and the sum of the highest bids on REAG licenses R9 and R11, 20, exceeds the highest bid on the Pacific package. Thus, the provisionally winning bids would be the bids on the individual REAG licenses.

⁴⁴⁸ If the C Block licenses in Auction 73 do not meet the aggregate reserve price for that block, C1 and C2 Block licenses will be offered in Auction 76. The C2 Block licenses will be auctioned using the procedures described in this attachment, and the C1 Block of EA licenses will be auctioned using simultaneous multiple round auction procedures, without package bidding.

⁴⁴⁹ The set of considered bids in a round includes new bids for that given round and each bidder's highest bid on each license or package, regardless of the round in which it was placed. For a description of how tied bids are broken, see Section IV.B.4. "Provisionally Winning Bids." In addition to these bids, the FCC Auction System considers a set of "FCC bids." There is an FCC bid on each license, and the amount of each FCC bid is slightly below the minimum opening bid amount.

⁴⁵⁰ The hierarchical structure of HPB was suggested by Rothkopf, Pekeč, and Harstad, "Computationally Manageable Combinatorial Auctions," *Management Science*, 44(8), August 1998, 1131-1147. The pricing mechanism for HPB was proposed by Goeree and Holt (2007) "A Simple Combinatorial Auction," working paper, May 2007.

Table 2. Example 1 with High Bids in Parentheses

Level 2: Packages	50 States (70)								Atlantic (15)		Pacific (15)	
Level 1: REAGs	R1 (10)	R2 (10)	R3 (10)	R4 (10)	R5 (10)	R6 (10)	R7 (10)	R8 (10)	R10 (10)	R12 (10)	R9 (10)	R11 (10)

Example 2: Next, suppose that the highest bid on the 50-state package changes to 120, as shown below in Table 3, which exceeds the sum of the high bids for the 8 individual REAGs included in the package. In this case, the provisionally winning bids would be the package bid for the 50 states and the bids for the 4 individual REAG licenses in the Atlantic and Pacific packages.

Table 3. Example 2 with High Bids in Parentheses

Level 2: Packages	50 States (120)								Atlantic (15)		Pacific (15)	
Level 1: REAGs	R1 (10)	R2 (10)	R3 (10)	R4 (10)	R5 (10)	R6 (10)	R7 (10)	R8 (10)	R10 (10)	R12 (10)	R9 (10)	R11 (10)

Determining Current Price Estimates (CPEs) for Licenses

CPEs for licenses are determined in such a way as to indicate to bidders how high they must bid to compete with the provisionally winning bid on the package containing those licenses in the event the package bid is the provisionally winning bid. If the bids on the individual REAG licenses are all provisionally winning, then the CPEs are simply equal to those bids, as is the case in an FCC simultaneous multiple round (SMR) auction without package bidding. But if the bid amount for a package is greater than the sum of the bid amounts for the individual licenses it contains, then the highest bids received so far for the licenses are scaled up to the package bid amount by adding "shares."⁴⁵¹ These shares are proportional to the bidding units associated with each license relative to the total number of bidding units in the package.

Suppose that all REAG licenses have equal bidding units, and that the bid amounts are as shown as in Example 2 above. The high bid on the 50 States package is 120, which exceeds the sum of the bids for the REAG licenses in the package. Therefore, the individual bids for licenses R1-R8 are raised from 10, to $10 + (1/8)[120 - 80] = 15$. In other words, the "shortfall" of 40 is divided among the 8 licenses in the package in proportion to their bidding units (assumed to be equal). In this example, a "package share" of 5 is added to the high bids on the REAG licenses in the 50-state package, but there is no package share for the licenses in the other packages.

⁴⁵¹ If no bids have been placed on an individual license, the share will be added to the minimum opening bid amount.

Minimum acceptable bids for licenses in the next round of the auction are calculated by adding a percentage to the license CPE.⁴⁵² For packages, minimum acceptable bids are the sums of the minimum acceptable bids for the licenses in the package. As a result of constructing CPEs in this way, the minimum acceptable bids for the next round will indicate to bidders what they must bid to unseat the provisionally winning bids from the last round.

Technical Description

The discussion below specifically addresses the two level package structure for bidding on the C Block licenses in Auction 73 and/or the C2 Block licenses that may be offered in Auction 76. For a more general description, which can be applied to variations on HPB, see Goeree and Holt, "A Simple Combinatorial Auction," working paper, May 2007, "Appendix A: General Hierarchical Assignment and Pricing."⁴⁵³

To Determine Provisionally Winning Bids: Suppose a package r is divided into individual licenses, $i = 1, \dots, I_r$ where I_r is the number of individual licenses in package r , each with α_{ir} bidding units. Bidders can submit bids on individual licenses and package bids for the entire package. Let b_{ir}^{\max} denote the highest bid for license i in package r and b_r^{\max} the highest package bid for package r . In this case, the revenue-maximizing allocation can be found recursively (Rothkopf, Pekeč, and Harstad, 1998):

1. Pick the larger of b_r^{\max} and $\sum_{i=1}^{I_r} b_{ir}^{\max}$, which determines Rev_r , the revenue for package r .
2. If $Rev_r = b_r^{\max}$, the highest package bid for package r is provisionally winning and the bids on individual licenses in that package are losing, otherwise the highest bids on individual licenses in package r are provisionally winning and the package bid for package r is losing.

To Determine CPEs for Licenses: A second procedure is used to calculate current price estimates (CPEs) for licenses (Goeree and Holt, 2007).⁴⁵⁴ The CPE p_{ir} of license i in package r is the maximum bid for the license plus a "package share" (if the sum of the high bids for individual licenses in the package falls short of Rev_r). The shares are determined by the relative sizes of the licenses in terms of bidding units. Let $\alpha_r = \sum_{i=1}^{I_r} \alpha_{ir}$ denote the total number of bidding units in package r . With this notation, the pricing formula is:

$$p_{ir} = b_{ir}^{\max} + \frac{\alpha_{ir}}{\alpha_r} \left(Rev_r - \sum_{i'=1}^{I_r} b_{i'r}^{\max} \right)$$

where the difference in parentheses is non-negative by construction. Thus,

- $p_{ir} = b_{ir}^{\max}$ if an individual license bid wins.
- $p_{ir} = b_{ir}^{\max} + \text{package share}$ if a package bid wins.

⁴⁵² In a standard FCC SMR auction (without package bidding), the FCC Auction System adds a percentage to the current provisionally winning bid amount for each license to determine minimum acceptable bids for the next round. This percentage may be determined by the activity-based formula, described in Attachment G and in Section IV.B.3. "Bid Amounts."

⁴⁵³ "A Simple Combinatorial Auction" is available on Jacob Goeree's web page at <http://www.hss.caltech.edu/~jkg/>.

⁴⁵⁴ The computed prices determine minimum acceptable bids for licenses by adding a percentage. The minimum acceptable bid for a package is equal to the sum of the minimum acceptable bids for the licenses it contains.

Current price estimates based on this procedure address the "threshold problem" in the sense that they provide benchmarks for bids on individual licenses that will, when incremented, allow the individual license bids to displace a provisionally winning bid on the package containing those licenses.

The procedures for determining provisionally winning bids and CPEs for licenses, set forth here for two levels, can be generalized for any number of levels. With a single level these procedures reduce to the SMR pricing and allocation rules in which the provisionally winning bid for a license is the highest bid received for that license. With multiple levels, the algorithm for determining provisionally winning bids follows a "bottom-up" approach and checks at every level, h , whether it is better to provisionally assign a level- h package to the high bidder for that package or to provisionally assign the smaller sub-packages that it contains. The rule for determining CPEs follows from this recursive comparison of level- h and level- $(h+1)$ packages: if the larger packages provide higher revenue, then the prices of the individual licenses contained in the larger packages are incremented in proportion to bidding units to reflect this shortfall. Once the algorithm finishes, the CPEs of the individual licenses add up to the sum of the associated provisionally winning bids.

ATTACHMENT I

SUMMARY LISTING OF COMMISSION AND BUREAU
DOCUMENTS ADDRESSING APPLICATION OF THE ANTI-COLLUSION
RULE

A. Commission Decisions:

Star Wireless, LLC and Northeast Communications of Wisconsin, Inc., *Order on Review*, FCC 07-80, 22 FCC Rcd 8943 (2007).

Amendment of Part 1 of the Commission's Rules – Competitive Bidding Procedures, WT Docket No. 97-82, *Seventh Report and Order*, FCC 01-270, 16 FCC Rcd 17546 (2001).

Notice of Apparent Liability for Forfeiture of Western PCS BTA 1 Corp., *Memorandum Opinion and Order*, FCC 99-385, 14 FCC Rcd 21571 (1999); Application of Western PCS BTA I Corp., *Notice of Apparent Liability for Forfeiture*, FCC 98-42, 13 FCC Rcd 8305 (1998).

Notice of Apparent Liability for Forfeiture of US West Communications, Inc., *Order*, FCC 99-90, 14 FCC Rcd 8816 (1999); Application of US West Communications, Inc., *Notice of Apparent Liability for Forfeiture*, FCC 98-41, 13 FCC Rcd 8286 (1998).

Application of Mercury PCS II, LLC, *Memorandum Opinion and Order*, FCC 98-203, 13 FCC Rcd 23755 (1998); Applications of: Mercury PCS II, LLC, *Notice of Apparent Liability for Forfeiture*, FCC 97-388, 12 FCC Rcd 17970 (1997).

Amendment of Part 1 of the Commission's Rules – Competitive Bidding Procedures, WT Docket No. 97-82, *Third Report and Order and Second Further Notice of Proposed Rule Making*, FCC 97-413, 13 FCC Rcd 374, 463-469 ¶¶ 155-166 (1997).

Commercial Realty St. Pete, Inc., *Memorandum Opinion and Order*, FCC 96-400, 11 FCC Rcd 15374 (1996); Commercial Realty St. Pete, Inc., *Notice of Apparent Liability for Forfeiture*, FCC 95-58, 10 FCC Rcd 4277 (1995).

Implementation of Section 309(j) of the Communications Act – Competitive Bidding, PP Docket No. 93-253, *Memorandum Opinion and Order*, FCC 94-295, 9 FCC Rcd 7684, 7687-7689 ¶¶ 8-12 (1994).

Implementation of Section 309(j) of the Communications Act – Competitive Bidding, PP Docket No. 93-253, *Fourth Memorandum Opinion and Order*, FCC 94-264, 9 FCC Rcd 6858, 6866-6869 ¶¶ 47-60 (1994).

Implementation of Section 309(j) of the Communications Act – Competitive Bidding, PP Docket No. 93-253, *Second Memorandum Opinion and Order*, FCC 94-215, 9 FCC Rcd 7245, 7253-7254 ¶¶ 48-53 (1994).

Implementation of Section 309(j) of the Communications Act – Competitive Bidding, PP Docket No. 93-253, *Fifth Report and Order*, FCC 94-178, 9 FCC Rcd 5532, 5570-5571 ¶¶ 91-92 (1994).

Implementation of Section 309(j) of the Communications Act – Competitive Bidding, PP Docket No. 93-253, *Second Report and Order*, FCC 94-61, 9 FCC Rcd 2348, 2386-2388 ¶¶ 221-226 (1994).

B. Wireless Telecommunications Bureau Decisions:

Applications of High Plains Wireless, L.P., *Memorandum Opinion and Order*, DA 97-2451, 12 FCC Rcd 19627 (Wireless Telecom. Bur. 1997).

Applications of Mercury PCS II, LLC, *Memorandum Opinion and Order on Reconsideration*, DA 97-2324, 12 FCC Rcd 18093 (Wireless Telecom. Bur. 1997); Applications of Mercury PCS II, LLC, *Memorandum Opinion and Order*, DA 97-1782, 13 FCC Rcd 5756 (Wireless Telecom. Bur. 1997).

Applications of GWI PCS, Inc., *Memorandum Opinion and Order*, DA 97-674, 12 FCC Rcd 6441 (Wireless Telecom. Bur. 1997).

Amendment of Parts 21 and 74 of the Commission's Rules With Regard to Filing Procedures in the Multipoint Distribution Service and in the Instructional Television Fixed Service, MM Docket No. 94-131, *Order*, DA 95-2292, 11 FCC Rcd 9655 (Wireless Telecom. Bur. 1995).

1. Public Notices:

Wireless Telecommunications Bureau Responds to Questions About the Local Multipoint Distribution Service Auction, *Public Notice*, DA 98-37, 13 FCC Rcd 341 (Wireless Telecom. Bur. 1998).

Wireless Telecommunications Bureau Provides Guidance on the Anti-Collusion Rule for D, E and F Block Bidders, *Public Notice*, DA 96-1460, 11 FCC Rcd 10134 (Wireless Telecom. Bur. 1996).

FCC Staff Clarifies Application of Anti-Collusion Rule to Broadband PCS "C" Block Reauction, *Public Notice*, DA 96-929, 11 FCC Rcd 7031 (Auc. Div. 1996).

Wireless Telecommunications Bureau Clarifies Spectrum Auction Anti-Collusion Rules, *Public Notice*, DA 95-2244, 11 FCC Rcd 9645 (Wireless Telecom. Bur. 1995).

2. Letters from the Office of General Counsel, the Wireless Telecommunications Bureau and the Media Bureau:

Letter to John Cooper, Aurora Communications, Inc., from Margaret W. Wiener, DA 06-157, 21 FCC Rcd 523 (Auc. Div. 2006).

Letter to Howard A. Kalmenson, Lotus Communications Corp., from Margaret W. Wiener, DA 06-156, 21 FCC Rcd 520 (Auc. Div. 2006).

Letter to Colby M. May from Barbara A. Kreisman and Margaret W. Wiener, DA 05-2445, 20 FCC Rcd 14648 (Video and Auc. Divs. 2005).

Letter to Robert Pettit from Margaret W. Wiener, DA 00-2905, 16 FCC Rcd 10080 (Auc. Div. 2000).

Letter to Elliott J. Greenwald from Christopher J. Wright, DA 98-644, 13 FCC Rcd 7132 (Gen. Counsel 1998).

Letter to David L. Nace from Kathleen O'Brien Ham, DA 96-1566, 11 FCC Rcd 11363 (Auc. Div. 1996).

Letter to Mark Grady from Kathleen O'Brien Ham, DA 96-587, 11 FCC Rcd 10895 (Auc. Div. 1996).

Letter to Jonathan D. Blake from Kathleen O'Brien Ham, DA 95-2404, 10 FCC Rcd 13783 (Auc. Div. 1995).

Letter to Leonard J. Kennedy from Rosalind K. Allen, Acting Chief, Commercial Radio Division, Wireless Telecommunications Bureau (released December 14, 1994).

Letter to R. Michael Senkowski from Rosalind K. Allen, Acting Chief, Commercial Radio Division, Wireless Telecommunications Bureau (released December 1, 1994).

Letter to Gary M. Epstein and James H. Barker from William E. Kennard, General Counsel, Federal Communications Commission (released October 25, 1994).

Letter to Alan F. Ciamporcero from William E. Kennard, General Counsel, Federal Communications Commission (released October 25, 1994).

C. Enforcement Bureau Decisions:

Application of Star Wireless, LLC, *Forfeiture Order*, DA 04-3026, 19 FCC Rcd 18626 (Enf. Bur. 2004); Application of Star Wireless, LLC, *Notice of Apparent Liability for Forfeiture*, DA 03-2722, 18 FCC Rcd 17648 (Enf. Bur. 2003).

Application of Northeast Communications of Wisconsin, Inc., *Forfeiture Order*, DA 04-3027, 19 FCC Rcd 18635 (Enf. Bur. 2004); Application of Northeast Communications of Wisconsin, Inc., *Notice of Apparent Liability for Forfeiture*, DA 03-2723, 18 FCC Rcd 17672 (Enf. Bur. 2003).

D. Civil Actions Initiated by U.S. Department of Justice:

U.S. v. Omnipoint Corp., Proposed Final Judgments and Competitive Impact Statements, Department of Justice, 63 Fed. Reg. 65,228 (Nov. 25, 1998).

"Justice Department Sues Three Firms Over FCC Auction Practices," *Press Release*, U.S. Department of Justice (Nov. 10, 1998).

Complaint, *U.S. v. Omnipoint Corp.*, No. 1:98CV02750 (D.D.C. Nov. 10, 1998).

Complaint, *U.S. v. Mercury PCS II, L.L.C.*, No. 1:98CV02751 (D.D.C. Nov. 10, 1998).

Complaint, *U.S. v. 21st Century Bidding Corp.*, No. 1:98CV02752 (D.D.C. Nov. 10, 1998).

How to Obtain Copies of the Anti-Collusion Decisions:

Many of the documents listed in this attachment can be retrieved from the following Commission web site: <http://wireless.fcc.gov/auctions/anticollusion>.

The documents may be located by using our search engine (select the link "search"). Documents retrieved from the web site are available in various formats including Word, WordPerfect, Acrobat Reader, Excel, and ASCII Text. To review a document in its entirety, including footnotes, it is necessary to access the document in WordPerfect, MS Word, or Acrobat Reader.

Additionally, all of the documents can be ordered in hard copy for a fee from the Commission's duplicating contractor, Best Copy and Printing, Inc., 445 12th Street, SW, Room CY-B402, Washington, DC 20554, (800) 378-3160 (telephone) or <http://www.bcpweb.com>.

**ATTACHMENT J
FCC AUCTION 73
SEMINAR REGISTRATION FORM**

The FCC will sponsor a one-day seminar for Auction 73 applicants. The seminar is free of charge and will provide information about pre-auction procedures, service and auction rules, conduct of the auction, and the FCC Auction System.

The seminar will be held:

Monday, November 19, 2007
Federal Communications Commission
445 12th Street, SW
Washington, DC 20554
Registration 10:00 a.m. - 10:30 a.m.
Seminar 10:30 a.m. - 2:00 p.m.

If hotel accommodations are needed, please contact
the Auctions staff at (717) 338-2868 for a list of hotels in the area.

To register, please provide the information listed below no later than
Thursday, November 15, 2007, by either

E-mail: Auchelp@fcc.gov
Facsimile: 717-338-2850
Phone: 717-338-2868

Note: The seminar will also be available via webcast and may be viewed by clicking on the Auction Seminar link on the Auction 73 web page, <http://wireless.fcc.gov/auctions/73/>. For further information about accessing FCC webcasts, please visit <http://www.fcc.gov/realaudio/>.

I/We will attend the Auction 73 Seminar, scheduled for Monday, November 19, 2007.

Name of attendee: _____

Name of attendee: _____

Company name: _____

Company address: _____

Phone: _____ Fax: _____