STATE OF MAINE
PUBLIC UTILITIES COMMISSION

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March 7, 2012

Sharon E. Gillett, Chief
Wireline Competition Bureau
Federal Communications Commission
445 12th Street, SW
Washington, DC 20554

Re: In the Matters of: Connect America Fund, WC Docket No. 10-90
High-Cost Universal Service support, WC Docket No. 05-337

Dear Ms. Gillett:

On behalf of the Maine Public Utilities Commission, I am filing three (3) documents with the Wireline Competition Bureau at the Federal Communications Commission (FCC). Each of these documents has been signed by Joel Shifman, the Commission Staff Person of the Maine Public Utilities Commission to review the forward-looking cost models that are under consideration in the above-captioned FCC proceedings.

The three documents that are enclosed are entitled as follows:

Appendix A – Acknowledgement of Confidentiality

Appendix B – CostQuest Associates, Inc. Restricted CQBAT License Limited to Use Solely for Review and Evaluation for Purposes of the FCC Proceeding in WC Docket Nos. 05-337, 10-90

Appendix C – Non-Disclosure Agreement for CostQuest Source Code for use in the FCC Proceeding in WC Docket Nos. 05-337, 10-90

By copy of this letter, I am also sending the same documents, both electronically and via the U.S. mail, to CostQuest Associates, Inc., through its Counsel of Record, Margaret Avril Lawson, Esq., at the following address:

Taft Stettinius & Hollister LLP
425 Walnut Street, Suite 1800
Cincinnati, OH 45202-3957

If you have any questions about this filing, please do not hesitate to contact me.

Sincerely,

Joel Shifman
Utility Analyst

Enclosure: Appendix A, B & C

cc: Dr. Robert Loube
Margaret Avril Lawson, Esq.
Andrew Hagler, Maine PUC
William Black, Maine Public Advocate

Before the
Federal Communications Commission
Washington, D.C. 20554

In the Matter of	)	
	)	
Connect America Fund	)	WC Docket No. 10-90
	)	
High-Cost Universal Service Support	)	WC Docket No. 05-337

SECOND SUPPLEMENTAL PROTECTIVE ORDER

Adopted: February 10, 2012 **Released: February 10, 2012**

By the Chief, Wireline Competition Bureau:

1. On November 18, 2011, the Federal Communications Commission (Commission) released the *USF/ICC Transformation Order*.[1] In that Order, the Commission adopted a methodology for distributing universal service support under the newly created Connect America Fund (CAF) in areas served by price cap carriers. The methodology will use a forward-looking cost model to estimate the costs of deployment of broadband-capable networks in high-cost areas and identify at a granular level the areas where support will be made available. The Commission delegated to the Wireline Competition Bureau (Bureau) "the task of selecting a specific engineering cost model and associated inputs" that meet criteria specified in the *USF/ICC Transformation Order*.[2] To begin the selection process, the Bureau released a Public Notice on December 15, 2011, inviting parties to submit forward-looking cost models with certain specific capabilities by February 1, 2012.[3] The Bureau asked parties interested in submitting a forward-looking cost model to notify us in advance so that we could "craft the terms of any protective order(s) necessary to resolve any issues related to licensing of third party data and making appropriate arrangements for providing access to the public."[4]

2. In response to the Public Notice, US Telecom notified us that it plans to submit a cost model developed by CostQuest Associates, Inc. (CostQuest). To ensure that the proprietary features of the cost model are afforded adequate protection in these dockets, the Bureau, on its own motion, adopts this Second Supplemental Protective Order to govern the terms and conditions of access to the cost model.[5]

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[1] *Connect America Fund; A National Broadband Plan for Our Future; Establishing Just and Reasonable Rates for Local Exchange Carriers; High-Cost Universal Service Support; Developing a Unified Intercarrier Compensation Regime; Federal-State Joint Board on Universal Service; Lifeline and Link-Up; Universal Service Reform—Mobility Fund*; WC Docket Nos. 10-90, 07-135, 05-337, 03-109, CC Docket Nos. 01-92, 96-45, GC Docket No. 09-51, WT Docket No. 10-208, Report and Order and Further Notice of Proposed Rulemaking, FCC 11-161 (rel. Nov. 18, 2011) (*USF/ICC Transformation Order*); *pets. for review pending sub nom. Direct Commc'ns Cedar Valley, LLC v. FCC*, No. 11-9581 (10th Cir. filed Dec. 8, 2011) (and consolidated cases).

[2] *Id.* para. 157.

[3] *Request for Connect America Fund Cost Models*, Public Notice, DA 11-2026, WC Docket Nos. 10-90, 05-337 (Wireline Comp. Bur. rel. Dec. 15, 2011).

[4] *Id.* at 2, para. 2.

[5] This Second Supplemental Protective Order does not constitute a determination whether any materials would be released publicly by the Commission upon a proper request under the Freedom of Information Act or otherwise.

We adhere, to the extent practical, to the standard terms used in other Commission protective orders, making modifications as appropriate to reflect that the material being made available is access to and the output of proprietary software. As we did last fall, we adopt a License Agreement to afford appropriate protections to the proprietary cost model. We also adopt a Non-Disclosure Agreement to facilitate access to the source code that underlies the cost model.[6] On our own motion, we extend the filing deadline to February 13, 2012, so that US Telecom can make its filings under the protections of this Order.

3. There have been two prior protective orders in these proceedings—a traditional Protective Order addressing the treatment of traditional confidential filings,[7] and a Supplemental Protective Order[8] to address the terms and conditions of access to the model that CostQuest submitted in September 2011. With regard to the first, we make no change here to the treatment of documents and other materials already submitted or that may be submitted under the first Protective Order. Parties who previously followed procedures to review materials under that order need not take any additional steps unless they want access to the cost model and related materials now being submitted. Parties who obtained access to CostQuest's model under the original Supplemental Protective Order do not automatically have access to this newer submission. Any party—including parties who gained access under the original Supplemental Protective Order—must follow the terms of this Second Supplemental Protective Order in order to gain access to the newly submitted model. In addition, parties who obtained access to the earlier submitted CostQuest model, and who want to continue to have access to that earlier submitted CostQuest model, are asked to request access again under this Second Supplemental Protective Order, to facilitate the efficient provision of access by CostQuest.[9]

4. To facilitate robust public comment regarding its submission, CostQuest is making different levels of access available to parties to this proceeding. The levels of access and the procedures for obtaining each are described after the Definitions.

5. *Definitions.* As used herein, capitalized terms not otherwise defined in this Second Supplemental Protective Order shall have the following meanings:

"Acknowledgement" means the Acknowledgement of Confidentiality attached as Appendix A hereto.

"Code File" means a digital rights management protected PDF file containing source code for CQBAT ("Source Code").

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[6] We find it appropriate to adopt a non-disclosure agreement in this unusual situation where the Commission and the public will evaluate a cost model, with the goal of having a final cost model in place to calculate disbursements for an entire program. We are unlikely to adopt a non-disclosure agreement in other circumstances.

[7] *Developing a Unified Intercarrier Compensation Regime; Establishing Just and Reasonable Rates for Local Exchange Carriers; Connect America Fund; High-Cost Universal Service Support; A National Broadband Plan for Our Future*, DA 10-1749, CC Docket No. 01-92, WC Docket Nos. 17-135, 10-90, 05-337, GN Docket No. 09-51, Protective Order, 25 FCC Rcd 13160 (Wireline Comp. Bur. rel. Sept. 16, 2010) (Protective Order).

[8] *Developing a Unified Intercarrier Compensation Regime; Establishing Just and Reasonable Rates for Local Exchange Carriers; Connect America Fund; High-Cost Universal Service Support; A National Broadband Plan for Our Future*; CC Docket No. 01-92, WC Docket Nos. 07-135, 10-90, 05-337, GN Docket No. 09-51, Supplemental Protective Order (Wireline Comp. Bur. rel. Sept. 9, 2011) (Supplemental Protective Order).

[9] For administrative efficiency, CostQuest will not continue to host the original cost model platform but will migrate users to the new platform after receiving their relevant executed documents.

"Competitive Decision Making" means that a person's activities, association, or relationship with its employer or any of its clients involve advice about or participation in the relevant business decisions or the analysis underlying the relevant business decisions of the employer or client in competition with or in a business relationship with CostQuest.

"CQBAT" means CostQuest Associates Broadband Analysis Tool, a proprietary software application owned by CostQuest.

"Full Access User" is a person who has obtained Full Access (described below).

"Licensed Materials" means all materials available through Reports Only Access, Full Access, and System Evaluator Access.

"Licensing Agreement" means the Licensing Agreement attached as Appendix B hereto.

"Non-Disclosure Agreement for Source Code" means the Non-Disclosure Agreement attached as Appendix C hereto.

"Protected Materials" means the Licensed Materials, underlying source code, and the Code File.

"Reports Only User" means a person who has obtained Reports Only Access (described below).

"Reviewing Party" means a person who has obtained access to any Protected Materials pursuant to this Second Supplemental Protective Order.

"System Evaluator" means a person who has obtained System Evaluator Access (described below).

6. *Levels of Access.* CostQuest is making different types of access available:

- "Reports Only Access." Reports Only Access is similar to the access that CostQuest made available in September 2011 in this proceeding pursuant to the Supplemental Protective Order. Under Reports Only Access, CostQuest shall provide a Reviewing Party a login and a password that will enable access to CQBAT results over the Internet, using Internet Explorer version 8 or version 9. Specifically, CostQuest will provide (i) access to CQBAT, (ii) the output of CQBAT, and (iii) supporting inputs. CostQuest shall grant sufficient access to enable the Reviewing Party to be able to generate reports based on available model runs. These runs include all those filed in this proceeding in addition to those runs made public by parties with Full Access who choose to do so. CostQuest shall grant the Reviewing Party the capability to test the sensitivities of various parameters, including, at a minimum: Total Max Funding, Target Benchmark, Alternative Technology Cost Cutoff, FCC Portion, Monthly Support Funding Cap, Mark with Provider, and Cable Unserved. CostQuest shall also grant the Reviewing Party the capability to generate reports at the following levels of geography: Census Designated Place, Census Block Group, Census Tract, service area, Company, County, OCN-Operating Company, and SAC-Study Area Code. No Reviewing Party shall be charged any fee by CostQuest for access in this manner to the above-described materials.

- "Full Access." Full Access includes all features and capabilities of Reports Only Access. A Full Access User shall also be able to provide his own inputs to CostQuest to run through

CQBAT.[10] After selecting the inputs and runtime parameters that define a new Solution Set (i.e., database of results), the Solution Set shall be queued to run through CQBAT. CostQuest shall notify the Full Access User that the Solution Set is complete, and the Full Access User may view the results using the same interface as Reports Only Users. CostQuest shall provide Full Access Users with 30 GBs of storage for their Solution Sets on its servers. For storage beyond 30 GBs, CostQuest may assess a fee of $55 per 10 GBs of additional storage.

- "System Evaluator Access." System Evaluator Access includes all the features and capabilities of Full Access. In addition, System Evaluators shall be provided with a test environment populated with a sample database to allow the System Evaluator to view database structures and observe the processing steps of CQBAT for the sample areas. CostQuest shall provide a self-install package for the System Evaluator's computer.[11] CostQuest shall also provide access to its testing computers by appointment either at its offices in Cincinnati, Ohio, or via remote login. System Evaluator Access is likely to require only a small amount of storage (for those choosing to use the remote-access or on-site options) relative to the 30 GB that CostQuest shall provide to Full Access Users. For storage beyond 30 GBs, CostQuest may assess a fee of $55 per 10 GBs of additional storage.

- "Code File Access." CostQuest shall provide a digital rights management protected PDF file containing the source code for CQBAT ("Code File"). The Code File shall be viewable on a Windows-based personal computer using a free PDF viewer. No Reviewing Party shall be charged any fee by CostQuest for access to the Code File.

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[10] As appropriate, the Reviewing Party and CostQuest are free to enter into non-disclosure agreements or other mutually-agreeable arrangements for the protection of the confidentiality of inputs or other data that the Reviewing Party provides to CostQuest for purposes of Full Access and System Evaluator Access.

[11] A Reviewing Party's computer needs the following to run CQBAT:

- Windows XP SP 3 or higher
- Microsoft Excel 2003 or higher
- Internet Explorer 8.0 or higher
- Microsoft .NET Framework 4.0 (http://msdn.microsoft.com/en-us/netframework/aa569263.aspx)
- Report Viewer 2010 (http://www.microsoft.com/download/en/details.aspx?id=6442)
- Report Builder 3.0 – Recommended for access into the report files (http://www.microsoft.com/download/en/details.aspx?id=6116)
- Microsoft Express SQL Server 2008 R2 with Advanced Services (http://www.microsoft.com/download/en/details.aspx?id=25174)
    - The following are prerequisites to Microsoft Express SQL Server:
        - Microsoft .NET Framework 3.5 SP1 (http://www.microsoft.com/download/en/details.aspx?displaylang=en&id=22)
        - Windows Installer 4.5 (http://support.microsoft.com/kb/942288)
        - Windows PowerShell 1.0 (http://support.microsoft.com/kb/926139).

7. *Persons Eligible To Obtain Access to Protected Materials.* Access to Protected Information is limited to persons not engaged in Competitive Decision Making.

8. *Procedures for Obtaining Different Levels of Access.* Any person seeking any access shall sign and date the Acknowledgment agreeing to be bound by the terms and conditions of the Second Supplemental Protective Order. In addition:

- Any person seeking Reports Only Access, Full User Access, or System Evaluator Access shall sign and date the Licensing Agreement and indicate which level of access he or she is seeking.

- Any person seeking Code File Access shall sign and date the Non-Disclosure Agreement for Source Code.

The person seeking access shall then file all executed documents with the Bureau, on behalf of the Commission. Such person shall also serve[12] a copy of all executed documents to CostQuest through its Counsel of Record so that they are received at least five business days prior to such person's reviewing or having access to CostQuest's Protected Materials, except that, where the person seeking access is one described in either clause 1 or clause 2 of paragraph 10, the executed documents shall be delivered promptly prior to the person's obtaining access. CostQuest shall have an opportunity to object to granting access to Protected Materials to any such person. CostQuest must file any such objection at the Commission and serve it on counsel representing, retaining or employing such person (or on such person directly if counsel is not indicated) within three business days after receiving copies of that person's executed documents (or where the person seeking access is one described in clause 1 or 2 of paragraph 10, file and serve such objection as promptly as practicable after receipt of the relevant executed documents). Until any such objection is resolved by the Commission and, if appropriate, by any court of competent jurisdiction, and unless such objection is resolved in favor of the person seeking access, a person subject to an objection from CostQuest shall not have access to Protected Materials. Unless CostQuest has filed an objection to granting access to Protected Materials to a person seeking such access, and that objection remains pending at the Commission, CostQuest shall execute the Licensing Agreement and/or Non-Disclosure Agreement for Source Code on or before the fourth business day after receiving the Licensing Agreement and/or Non-Disclosure Agreement for Source Code (or where the person seeking access is one described in clause 1 or 2 of paragraph 10, as promptly as practicable after receipt of the relevant Acknowledgment and Licensing Agreement and/or Non-Disclosure Agreement for Source Code) and return a copy of the Licensing Agreement and/or Non-Disclosure Agreement for Source Code to the Reviewing Party.

9. *Use of Protected Materials.* A Reviewing Party shall use the Protected Materials solely for the preparation and conduct of this proceeding before the Commission and any subsequent judicial proceeding arising directly from this proceeding and, except as provided herein, shall not use such Protected Materials for any other purpose, including without limitation business, governmental, or commercial purposes, or in other administrative, regulatory or judicial proceedings. A Reviewing Party also shall not disassemble, decompile, reverse engineer, or otherwise recreate the Protected Materials, or allow any other person to do so. Should the Commission rely upon or otherwise make reference to the Protected Materials in its decision in this proceeding, it shall do so by redacting any proprietary information from the public version of the decision and by making the unredacted version of the decision available only to a court and to those persons entitled to access to Protected Materials under this Second Supplemental Protective Order.

[12] Service by electronic mail is acceptable.

10. *Permissible Disclosure.* A Reviewing Party may discuss and share the Protected Materials with another Reviewing Party and with the Commission and its staff. CostQuest's Protected Materials may also be disclosed to employees and counsel of CostQuest. Subject to the requirements of paragraph 8, a Reviewing Party may disclose Protected Materials to (1) paralegals or other employees of such Reviewing Party assisting him in this proceeding; and (2) employees of third-party contractors involved solely in one or more aspects of organizing, filing, coding, converting, storing, or retrieving documents or data or designing programs for handling data connected with this proceeding, or performing other clerical or ministerial functions with regard to materials connected with this proceeding.

11. *Filings with the Commission.* A Reviewing Party or CostQuest may in any document that it files in this proceeding disclose Protected Materials only if it complies with the following procedure. The party shall submit to the Secretary's Office one copy of the filing containing Protected Materials (the "Highly Confidential Filing"), two copies of the filing in redacted form, *i.e.*, containing no Protected Materials (the "Redacted Highly Confidential Filing"), and an accompanying cover letter. The cover or first page of the Highly Confidential Filing, and each page of the Highly Confidential Filing that contains or discloses Protected Materials subject to this order must be clearly marked: "CONFIDENTIAL INFORMATION – SUBJECT TO SECOND SUPPLEMENTAL PROTECTIVE ORDER IN WC DOCKET NOS. 05-337 AND 10-90 BEFORE THE FEDERAL COMMUNICATIONS COMMISSION." The cover letter shall also contain this legend. The Highly Confidential Filing shall be made under seal, and will not be placed in the Commission's public file. The two copies of the Redacted Highly Confidential Filing and the accompanying cover letter shall be stamped "REDACTED – FOR PUBLIC INSPECTION." The cover letter accompanying the Redacted Highly Confidential Filing shall state that the party is filing a redacted version of the filing. Each Redacted Highly Confidential Filing shall have the same pagination as the Highly Confidential Filing from which it is derived. To the extent that any page of the Highly Confidential Filing contains both Protected Materials and non-protected materials, only the Protected Materials may be redacted and the page of the unredacted Highly Confidential Filing shall clearly distinguish the Protected Materials from the non-Protected Materials. Two copies of each Highly Confidential Filing and the accompanying cover letter must be delivered, as directed by Commission staff, to Katie King, Telecommunications Access Policy Division, Wireline Competition Bureau, Federal Communications Commission, 445 12th Street, S.W., Washington, D.C. 20554, and one copy must be served on CostQuest. Parties should not provide courtesy copies of pleadings containing Protected Materials to Commission staff unless the Bureau so requests, and any such courtesy copies shall be submitted under seal.

12. *Non-Disclosure of Protected Materials.* Except with the prior written consent of CostQuest, or as provided under this Second Supplemental Protective Order, no Protected Materials may be disclosed further.

13. *Protection of Protected Materials.* A Reviewing Party shall have the obligation to ensure that access to Protected Materials is strictly limited as prescribed in this Second Supplemental Protective Order and that they are retained in a secure place of limited access. A Reviewing Party shall further have the obligation to ensure that Protected Materials are used only as provided in this Second Supplemental Protective Order.

14. *Requests for Additional Disclosure.* If any person requests disclosure of Protected Materials outside the terms of this Second Supplemental Protective Order, requests will be treated in accordance with Sections 0.442 and 0.461 of the Commission's rules. CostQuest will be deemed to have submitted a

request that the Protected Materials not be made routinely available for public inspection under the Commission's rules.[13]

15. *Client Consultation.* Nothing in this Second Supplemental Protective Order shall prevent or otherwise restrict Reviewing Parties from rendering advice to their employers and clients relating to the conduct of this proceeding and any subsequent judicial proceeding arising therefrom and, in the course thereof, relying generally on examination of Protected Materials; provided, however, that in rendering such advice and otherwise communicating with such employer or client, Reviewing Parties shall not disclose Protected Materials to anyone who is not a Reviewing Party.

16. *No Waiver of Confidentiality.* Disclosure of Protected Materials as provided herein by any person shall not be deemed a waiver by CostQuest of any privilege, trade secret claim or entitlement to confidential treatment of such Protected Materials. Reviewing Parties, by accessing this material, agree: (1) not to assert any such waiver; (2) not to use Protected Materials to seek disclosure in any other proceeding; and (3) that accidental disclosure of Protected Materials by CostQuest shall not be deemed a waiver of any privilege, trade secret claim or entitlement as long as CostQuest takes prompt remedial action.

17. *Subpoena by Courts, Departments, or Agencies.* If a court or a federal or state department or agency issues a subpoena for or orders the production of Protected Materials that a party has obtained under terms of this Second Supplemental Protective Order, such party shall promptly notify CostQuest of the pendency of such subpoena or order. Consistent with the independent authority of any court, department or agency, such notification must be accomplished such that CostQuest has a full opportunity to oppose such production prior to the production or disclosure of any Protected Materials.

18. *Violations of Second Supplemental Protective Order.* Should a Reviewing Party violate any of the terms of this Second Supplemental Protective Order, such Reviewing Party shall immediately convey that fact to the Commission and to CostQuest. Further, should such violation consist of improper access to or disclosure of Protected Materials, the violating person shall take all necessary steps to remedy the improper access or disclosure. The Commission retains its full authority to fashion appropriate sanctions for violations of this Second Supplemental Protective Order, including but not limited to suspension or disbarment from practice before the Commission, forfeitures, cease and desist orders, and denial of further access to Protected Materials in this or any other Commission proceeding. Nothing in this Second Supplemental Protective Order shall limit any other rights and remedies available to CostQuest at law or in equity against any person using Protected Materials in a manner not authorized by this Second Supplemental Protective Order.

19. *Termination of Proceeding.* The provisions of this Second Supplemental Protective Order shall not terminate at the conclusion of this proceeding. Within two weeks after conclusion of this proceeding and any administrative or judicial review, Reviewing Parties shall destroy or return to CostQuest Protected Materials and all copies of the same. No material whatsoever derived from Protected Materials may be retained by any person having access thereto, except parties may retain, under the continuing strictures of this Second Supplemental Protective Order, two copies of pleadings (one of which may be in electronic format) prepared in whole or in part by that party that contain Protected Materials, and one copy of orders issued by the Commission or Bureau that contain Protected Materials. All Reviewing Parties shall certify compliance with these terms and shall deliver the same to counsel for CostQuest not more than three weeks after conclusion of this proceeding. The provisions of this

[13] *See* 47 C.F.R. §§ 0.459(a), 0.459(a)(3).

paragraph regarding retention of Protected Materials and copies of the same shall not be construed to apply to the Commission or its staff.

20. *Authority*. This Order is issued pursuant to sections 4(i), 4(j), and 403 of the Communications Act of 1934, as amended, 47 U.S.C. §§ 154(i), 154(j) and 403, Section 4 of the Freedom of Information Act, 5 U.S.C. § 552(b)(4), and authority delegated under sections 0.91 and 0.291 of the Commission's rules, 47 C.F.R. §§ 0.91 and 0.291, and is effective upon its adoption.

FEDERAL COMMUNICATIONS COMMISSION

Sharon E. Gillett
Chief, Wireline Competition Bureau

APPENDIX A

Acknowledgment of Confidentiality—WC Docket Nos. 05-337, 10-90

I hereby acknowledge that I have received and read a copy of the foregoing Second Supplemental Protective Order in the above-captioned proceedings. If I am seeking access to Licensed Materials, I also acknowledge that I have received a read a copy of the attached Restricted CQBAT License (the "Licensing Agreement"), and I understand it. If I am seeking access to the Code File, I acknowledge that I have received and read a copy of the attached Non-Disclosure Agreement for Source Code, and I understand it.

I agree that I am bound by the Second Supplemental Protective Order and by one or both of the Licensing Agreement and/or Non-Disclosure Agreement for Source Code, as appropriate, and that I shall not disclose or use Protected Materials except as allowed by the Second Supplemental Protective Order and the Licensing Agreement and/or Non-Disclosure Agreement for Source Code.

I acknowledge that a violation of the Second Supplemental Protective Order is a violation of an order of the Federal Communications Commission.

I acknowledge specifically that my access to any information obtained as a result of the Second Supplemental Protective Order and the Licensing Agreement and/or Non-Disclosure Agreement for Source Code is due solely to my capacity as a party or representative of a party to this proceeding or as a person described in paragraph 10 of the foregoing Second Supplemental Protective Order and agree that I shall not use such information in any other capacity.

I acknowledge that it is my obligation to ensure that Protected Materials are not accessed or used except as specifically permitted by the terms of the Second Supplemental Protective Order and the Licensing Agreement and/or Non-Disclosure Agreement for Source Code, as appropriate.

I certify that I have verified that there are in place procedures at my firm or office to prevent unauthorized access to or disclosure of Protected Materials.

Capitalized terms used herein and not otherwise defined shall have the meanings ascribed to them in the Second Supplemental Protective Order.

I hereby request the following access to Licensed Materials (select none or one):

_X_ Reports Only Access ____ Full User Access ____ System Evaluator Access.

I hereby request access to the Code File: ____ Yes _X_ No.

Executed this 7th day of March, 2012

Joel Shifman

[Name] Joel Shifman
[Position] Utility Analyst
[Address] 18 State House Station, Augusta, ME
[Telephone] (207)287-3831

APPENDIX B

CostQuest Associates, Inc. Restricted CQBAT License Limited To Use Solely for Review and Evaluation for Purposes of the FCC Proceeding in WC Docket Nos. 05-337, 10-90

THIS LIMITED LICENSE ("Licensing Agreement") is accepted and made effective as of the 7th day of March, 2012 ("Effective Date"), between CostQuest Associates, Inc. ("CostQuest") and Joel Shifman ("Licensee") with respect to (i) CostQuest's proprietary CQBAT software application ("CQBAT"), (ii) the output of CQBAT ("CQBAT Output"), (iii) supporting inputs, and, if applicable, (iv) a sample CQBAT database ("Sample Database"), which supports and may be used in CQBAT and therefore indirectly accessed by Licensee (collectively, the "Licensed Materials"). Licensee and CostQuest will be referred to collectively as the "Parties."

CostQuest hereby grants to Licensee, and Licensee hereby accepts, a non-exclusive, non-transferable, limited license to use the Licensed Materials, without fees, charges, or costs to the Licensee of any kind (except as specified in the Second Supplemental Protective Order for storage above 30 GB), under the following terms and restrictions:

1. License Grants and Restrictions

1.1. Licensee shall utilize the Licensed Materials only for official purposes pertaining to providing comments and other filings to the Federal Communication Commission ("FCC") for use in the proceeding identified above, and in subsequent judicial proceedings (the "Project").

1.2. Licensee shall not transfer, sell, rent, disclose, make available or otherwise communicate, resell, sublicense or use the Licensed Materials for any other purpose or in any other manner.

1.3. Licensee shall only make copies of the licensed CQBAT Output as required for the Project as described in the Second Supplemental Protective Order. On any copy of the CQBAT Output that Licensee is permitted to make or distribute, Licensee shall reproduce all copyright notices and any other proprietary legends of CostQuest as they appear.

1.4. Licensee shall not make any copies, distribute, sublicense, transfer, sell, rent, disclose, or make available any Sample Database provided under this Licensing Agreement except consistent with the Second Supplemental Protective Order.

1.5. Licensee shall at all times maintain the confidentiality of the Licensed Materials, handling the Licensed Materials in compliance with the Second Supplemental Protective Order. In the event that any portion of the Licensed Materials should come into the possession of unauthorized third parties as a result of a breach by Licensee of this Licensing Agreement, Licensee shall, at its expense and without limiting any other rights available to CostQuest, immediately notify CostQuest and use all commercially reasonable efforts to retrieve such materials and shall reimburse CostQuest for all reasonable expenses incurred by CostQuest in attempting to retrieve such materials.

1.6. Licensee shall not, and shall not permit any third party to, disassemble, decompile, reverse engineer, or otherwise recreate the Licensed Materials.

1.7. In accessing or using the Licensed Materials, Licensee shall not, by any action or inaction, violate laws or regulations promulgated by any governmental or quasi-governmental authorities

that are binding upon it and shall take all reasonable steps to assist CostQuest or any other participant in the Project, or their affiliates, to avoid any violations of any such laws or regulations that are binding upon them.

1.8. Upon reasonable notice to Licensee, and at CostQuest's sole expense, CostQuest's auditors shall have the right to inspect Licensee's records relating to the Project as necessary for CostQuest to verify Licensee's compliance with the terms of this Licensing Agreement. Audits shall be conducted at Licensee's premises during normal business hours and in a manner that does not unreasonably interfere with Licensee's operations.

1.9. If Licensee sells, makes available to a third party, or otherwise disposes of Licensee-owned media on which the Licensed Materials are or were resident, that media must be erased and scrubbed before such sale or disposal.

2. Disclaimer of Warranties

2.1. LICENSEE ACKNOWLEDGES AND AGREES THAT (A) COSTQUEST HAS NOT MADE ANY EXPRESS OR IMPLIED WARRANTIES TO LICENSEE REGARDING THE LICENSED MATERIALS AND (B) THE LICENSED MATERIALS ARE BEING PROVIDED TO LICENSEE "AS IS," WITHOUT WARRANTIES OF ANY KIND. COSTQUEST DOES NOT WARRANT THAT THE LICENSED MATERIALS ARE FREE FROM DEFECTS. COSTQUEST EXPRESSLY EXCLUDES AND DISCLAIMS ANY IMPLIED WARRANTY OF FITNESS FOR A PARTICULAR PURPOSE, MERCHANTABILITY OR NON-INFRINGEMENT OF THIRD PARTY RIGHTS.

3. Term and Termination

3.1. The Licensing Agreement shall terminate automatically upon the termination of the above-captioned proceedings and any administrative or judicial review.

3.2. Notwithstanding section 3.1, if Licensee agrees that Licensee does not continue to require Licensed Materials, CostQuest may terminate this Licensing Agreement.

3.3. If CostQuest believes that Licensee is in violation of the Second Supplemental Protective Order or this Licensing Agreement, CostQuest shall so notify the FCC. If the FCC determines that Licensee is in violation of this Second Supplemental Protective Order or this Licensing Agreement, CostQuest may terminate this Licensing Agreement.

3.4. As part of the process, access to the CQBAT may terminate on a periodic basis. Should the Viewer need to maintain access to the CQBAT, CostQuest shall renew the Viewer's access rights.

4. Limitation of Liability

4.1. IN NO EVENT SHALL COSTQUEST HAVE ANY LIABILITY FOR DAMAGES SUSTAINED BY LICENSEE IN CONNECTION WITH THIS LICENSING AGREEMENT, THE PROJECT OR THE POSSESSION OR USE OF LICENSED MATERIALS, INCLUDING, WITHOUT LIMITATION, ANY LOST REVENUES OR PROFITS, OR ANY INDIRECT, CONSEQUENTIAL, EXEMPLARY OR PUNITIVE DAMAGES, EVEN IF COSTQUEST HAS BEEN ADVISED OF THE POSSIBILITY OF SUCH DAMAGES.

5. Ownership of Intellectual Property

5.1. Licensee acknowledges that the Licensed Materials, including without limitation all output and derivatives of, as well as all modifications and customizations to the Licensed Materials, are proprietary to CostQuest and that CostQuest retains exclusive ownership of all such Licensed Materials and all proprietary rights associated therewith. Licensee shall, at the direction of CostQuest, take all commercially reasonable measures to protect CostQuest's rights in the Licensed Materials.

5.2. Except as expressly provided herein, Licensee is not granted any other rights or license to patents, copyrights, trade secrets, data or trademarks with respect to Licensed Materials. Licensee shall promptly notify CostQuest in writing upon its discovery of any unauthorized use or infringement of the Licensed Materials.

6. Additional Terms

6.1. Compliance with FCC Requirements and Other Laws. This Licensing Agreement is expressly made subject to any United States government laws, regulations, orders or other restrictions regarding the Project or use of software, data, output or products thereof. Notwithstanding anything to the contrary in this Licensing Agreement, Licensee shall not directly or indirectly export, or permit the transfer of, any software, data, output or products (a) to any country or destination for which the United States government or a United States governmental agency requires an export license or other approval for export without first having obtained such license or other approval; or (b) otherwise contrary to United States law, including, without limitation, FCC rules, policies and regulations.

6.2. No Waivers. No delay or omission by either party to exercise any right or power accruing upon any noncompliance or default by the other party with respect to any of the terms of this Licensing Agreement shall impair any such right or power or be construed to be a waiver thereof. A waiver by either party of any breach of the covenants, conditions or agreements to be performed or honored by the other party shall not be construed to be a waiver of any later breach thereof or of any other covenant, condition or agreement herein contained.

6.3. Assignments. This Licensing Agreement is binding upon and inures to the benefit of the Parties hereto and their respective successors and assigns. Licensee shall not assign this Licensing Agreement or transfer any rights granted hereunder, in whole or in part, without obtaining the prior written consent of CostQuest, and any attempted assignment or transfer in violation of this provision is void.

6.4. Severability and Survival of Obligations. If any provision of this Licensing Agreement is held invalid or unenforceable, the remainder of this Licensing Agreement shall not be affected thereby, and each remaining provision of this Licensing Agreement shall be valid and enforceable to the extent permitted by law. Sections 1, 2, 4, 5, and 6 shall survive any expiration or termination of this Licensing Agreement.

6.5. Nothing in this Licensing Agreement gives anyone, other than the Parties, any rights or remedies under this Licensing Agreement.

6.6. This Licensing Agreement may be executed in counterparts, and each (whether an original or facsimile) is considered an original and all constitute one and the same instrument.

6.7. Governing Law. This Licensing Agreement shall be governed by and construed in accordance with Federal law.

6.8. Entire Agreement. This Licensing Agreement, the Second Supplemental Protective Order, and the Non-Disclosure Agreement for Source Code (if it is executed) constitute the Parties' entire understanding with regard to the matters herein, and there are no other understandings, either written or unwritten, with regard to such matters. Any terms on either Party's web site, product schedule, or contained in any "shrinkwrap" or "clickwrap" agreement shall not have force or effect if the provision conflicts with the terms of this Licensing Agreement. The Licensing Agreement may not be modified, amended, canceled or waived, in whole or in part, except by a written instrument signed by the Parties hereto.

IN WITNESS WHEREOF, the Parties have executed this Licensing Agreement by their duly authorized representatives effective as of the date first set forth above.

COSTQUEST:

CostQuest Associates, Inc.

By: _____
Jim Stegeman, President

Outside Counsel of Record:

Margaret Avril Lawson
Taft Stettinius & Hollister LLP
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APPENDIX C

Non-Disclosure Agreement for CostQuest Source Code for Use in the FCC Proceeding in WC Docket Nos. 05-337, 10-90

THIS NON-DISCLOSURE AGREEMENT ("Non-Disclosure Agreement for Source Code") is accepted and made effective as of the 7th day of March, 2012 ("Effective Date"), between CostQuest Associates, Inc. ("CostQuest") and Joel Shifman ("Viewer") with respect to CostQuest's proprietary source code for its CQBAT software application ("CQBAT"). Viewer and CostQuest will be referred to collectively as the "Parties."

1. General Terms and Restrictions

   1.1. CostQuest shall provide a digital rights management protected PDF file containing the processing source code for CQBAT ("Code File") to Viewer, without fees, charges, or costs to Viewer. The Code File shall be viewable on a Windows-based personal computer using a free PDF viewer.

   1.2. Viewer shall access and use the Code File only for official purposes pertaining to providing comments and other filings to the Federal Communication Commission ("FCC") for use in the proceeding identified above, and in subsequent judicial proceedings (the "Project").

   1.3. Viewer shall not transfer, sell, rent, disclose, make available or otherwise communicate, resell, sublicense or use the Code File for any other purpose or in any other manner.

   1.4. Viewer shall not copy or reproduce the Code File in any manner except to reproduce limited excerpts in filings with the FCC consistent with the terms of the Second Supplemental Protective Order.

   1.5. Viewer shall at all times maintain the confidentiality of the Code File, handling the Code File in compliance with the Second Supplemental Protective Order. In the event that any portion of the Code File should come into the possession of unauthorized third parties as a result of a breach by Viewer of this Non-Disclosure Agreement for Source Code, Viewer shall, at its expense and without limiting any other rights available to CostQuest, immediately notify CostQuest and use all commercially reasonable efforts to retrieve such materials and shall reimburse CostQuest for all reasonable expenses incurred by CostQuest in attempting to retrieve such materials.

   1.6. Viewer shall not, and shall not permit any third party to, disassemble, decompile, reverse engineer, or otherwise recreate the Code File.

2. Disclaimer of Warranties

   2.1. VIEWER ACKNOWLEDGES AND AGREES THAT (A) COSTQUEST HAS NOT MADE ANY EXPRESS OR IMPLIED WARRANTIES TO VIEWER REGARDING THE CODE FILE AND (B) THE CODE FILE IS BEING PROVIDED TO VIEWER "AS IS," WITHOUT WARRANTIES OF ANY KIND. COSTQUEST DOES NOT WARRANT THAT THE CODE FILE IS FREE FROM DEFECTS. COSTQUEST EXPRESSLY EXCLUDES AND DISCLAIMS ANY IMPLIED WARRANTY OF FITNESS FOR A PARTICULAR PURPOSE, MERCHANTABILITY OR NON-INFRINGEMENT OF THIRD PARTY RIGHTS.

3. Term and Termination

   3.1. This Agreement shall terminate automatically upon the termination of the above-captioned proceedings and any administrative or judicial review.

   3.2. Notwithstanding section 3.1, if Viewer agrees that Viewer does not continue to require the Code File, CostQuest may terminate this Agreement.

   3.3. If CostQuest believes that Viewer is in violation of the Second Supplemental Protective Order or this Non-Disclosure Agreement for Source Code, CostQuest shall so notify the FCC. If the FCC determines that Viewer is in violation of this Second Supplemental Protective Order or this Non-Disclosure Agreement for Source Code, CostQuest may terminate this Agreement.

   3.4. As part of the digital rights management process, access to the Code File may terminate on a periodic basis. Should the Viewer need to maintain access to the document, CostQuest shall renew the Viewer's access rights.

4. Limitation of Liability

   4.1. IN NO EVENT SHALL COSTQUEST HAVE ANY LIABILITY FOR DAMAGES SUSTAINED BY THE VIEWER IN CONNECTION WITH THIS AGREEMENT, THE PROJECT OR THE POSSESSION OR USE OF THE CODE FILE, INCLUDING, WITHOUT LIMITATION, ANY LOST REVENUES OR PROFITS, OR ANY INDIRECT, CONSEQUENTIAL, EXEMPLARY OR PUNITIVE DAMAGES, EVEN IF COSTQUEST HAS BEEN ADVISED OF THE POSSIBILITY OF SUCH DAMAGES.

5. Ownership of Intellectual Property

   5.1. Viewer acknowledges that the Code File, including without limitation all output and derivatives of, as well as all modifications and customizations to the Code File, are proprietary to CostQuest and that CostQuest retains exclusive ownership of the Code File and all proprietary rights associated therewith. Viewer shall, at the direction of CostQuest, take all commercially reasonable measures to protect CostQuest's rights in the Code File.

   5.2. Except as expressly provided herein, Viewer is not granted any other rights or license to patents, copyrights, trade secrets, data or trademarks with respect to the Code File. Viewer shall promptly notify CostQuest in writing upon its discovery of any unauthorized use or infringement of the Code File.

6. Additional Terms

   6.1. Compliance with FCC Requirements and Other Laws. This Non-Disclosure Agreement for Source Code is expressly made subject to any United States government laws, regulations, orders or other restrictions regarding the Project or use of software, data, output or products thereof. Notwithstanding anything to the contrary in this Non-Disclosure Agreement for Source Code, Viewer shall not directly or indirectly export, or permit the transfer of, any software, data, output or products (a) to any country or destination for which the United States government or a United States governmental agency requires an export license or other approval for export

without first having obtained such license or other approval; or (b) otherwise contrary to United States law, including, without limitation, FCC rules, policies and regulations.

6.2. No Waivers. No delay or omission by either party to exercise any right or power accruing upon any noncompliance or default by the other party with respect to any of the terms of this Agreement shall impair any such right or power or be construed to be a waiver thereof. A waiver by either party of any breach of the covenants, conditions or agreements to be performed or honored by the other party shall not be construed to be a waiver of any later breach thereof or of any other covenant, condition or agreement herein contained.

6.3. Assignments. This Non-Disclosure Agreement for Source Code is binding upon and inures to the benefit of the Parties hereto and their respective successors and assigns. Viewer shall not assign this Agreement or transfer any rights granted hereunder, in whole or in part, without obtaining the prior written consent of Viewer, and any attempted assignment or transfer in violation of this provision is void.

6.4. Severability and Survival of Obligations. If any provision of this Non-Disclosure Agreement for Source Code is held invalid or unenforceable, the remainder of this Non-Disclosure Agreement for Source Code shall not be affected thereby, and each remaining provision of this Non-Disclosure Agreement for Source Code shall be valid and enforceable to the extent permitted by law. Sections 1, 2, 4, 5, and 6 shall survive any expiration or termination of this Agreement.

6.5. Nothing in this Non-Disclosure Agreement for Source Code gives anyone, other than the Parties, any rights or remedies under this Non-Disclosure Agreement for Source Code.

6.6. This Non-Disclosure Agreement for Source Code may be executed in counterparts, and each (whether an original or facsimile) is considered an original and all constitute one and the same instrument.

6.7. Governing Law. This Non-Disclosure Agreement for Source Code shall be governed by and construed in accordance with Federal law.

6.8. Entire Agreement. This Non-Disclosure Agreement for Source Code, the License Agreement (if executed), and the Second Supplemental Protective Order constitute the Parties' entire understanding with regard to the matters herein, and there are no other understandings, either written or unwritten, with regard to such matters. Any terms on either Party's web site, product schedule, or contained in any "shrinkwrap" or "clickwrap" agreement shall not have force or effect if the provision conflicts with the terms of this Non-Disclosure Agreement for Source Code. The Non-Disclosure Agreement for Source Code may not be modified, amended, canceled or waived, in whole or in part, except by a written instrument signed by the Parties hereto.

IN WITNESS WHEREOF, the Parties hereto have executed this Non-Disclosure Agreement for Source Code through their authorized representatives.

CostQuest Associates, Inc.

By: _____
Jim Stegeman, President

Outside Counsel of Record:

Margaret Avril Lawson
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