# WINDSTREAM COMMUNICATIONS ANNUAL REPORT

## FOR THE STATE OF

# Minnesota

**State of Respondent**

**6/26/2012**

**ETC's Included In This Report**

| | LEGAL ENTITY NAME | SAC |
|---|---|---|
| ETC#1: | Windstream Lakedale, Inc. | 361414 |
| ETC#2: | Windstream Lakedale, Inc. | 361482 |
| ETC#3: | | |
| ETC#4: | | |
| ETC#5: | | |
| ETC#6: | | |


Person to contact for questions:

Name: Jeff Heacox

Phone Number: 501-748-5390

E-mail Address: jeff.l.heacox@windstream.com


windstream™

**For The Year Ended December 31, 2011**

# TABLE OF CONTENTS

**Schedule**


| | |
|---|---|
| - | General Information |
| #1 | Five Year Progress Report |
| #2 | Detailed Outage Report |
| #3 | Unfulfilled Request Report |
| #4 | Number of Complaints per 1,000 Report |
| #5 | Service Quality and Emergency Situation Certification |
| #6 | Company Price Offering Report |
| #7 | Holding and Operating Company Report |
| #8 | Tribal Land Information |
| #9 | Areas With No Terrestial Backhaul Certification |
| #10 | Residential Local Service Rates Report |
| - | Annual Report Certification |

# GENERAL INFORMATION

1. The enclosed reports are being filed with the Office of the Secretary of the Commission, with the Administrator (USAC), the relevant state commissions, and relevant authority in a U.S. Territory, or Tribal governments, as appropriate pursuant to WC Docket No. 10-90.

2. The enclosed information satisfies the requirements included in 47CFR 54.314 and is being provided to:

Marlene H. Dortch, Secretary
Federal Communications Commission
Office of The FCC Secretary
445 12th Street, SW
Washington, D.C. 20554

Universal Service Administrative Company
2000 L Street N.W. Suite 200
Washington, DC 20036
hcfilings@usac.org

Minnesota Public Utilities Commission
121 7th Place E. Suite 350
Saint Paul, MN 55101-2147
Efiling.Admin@state.mn.us

Tribal Government as appropriate

3. The Windstream officer signing the certifications included in this report is:

Name: John Fletcher
Title: General Counsel

## Report 1 - Five-year Progress Report

§ 54.313(a)(1) A progress report on its five-year service quality improvement plan pursuant to 54.202(a), including maps detailing its progress towards meeting its plan targets, an explanation of how much universal service support was received and how it was used to improve service quality, coverage, or capacity, and an explanation regarding any network improvement targets that have not been fulfilled in the prior calendar year. The information shall be submitted at the wire center level or census block as appropriate;

Response: This requirement is not effective until April 1, 2013 per FCC DA 12-147 II.A.7.

## Report 2 - Detail Outage Report

§ 54.313(a)(2) Detailed information on any outage in the prior calendar year, as that term is defined in 47 CFR 4.5, of at least 30 minutes in duration for each service area in which an eligible telecommunications carrier is designated for any facilities it owns, operates, leases, or otherwise utilizes that potentially affect

(i) At least ten percent of the end users served in a designated service area; or

(ii) A 911 special facility, as defined in 47 CFR 4.5(e).

(iii) Specifically, the eligible telecommunications carrier's annual report must include information detailing:

(A) The date and time of onset of the outage;

(B) A brief description of the outage and its resolution;

(C) The particular services affected;

(D) The geographic areas affected by the outage;

(E) Steps taken to prevent a similar situation in the future; and

(F) The number of customers affected.

| Service Area (SAC) | Onset Date | Onset Time | Report Description | Found Description | Service Affected | Outage Area | Prevention | Customers Affected |
|---|---|---|---|---|---|---|---|---|

## Report 3 – Unfulfilled Request Report

§ 54.313(a)(3) The number of requests for service from potential customers within the recipient's service areas that were unfulfilled during the prior calendar year. The carrier shall also detail how it attempted to provide service to those potential customers;

| Service Area (SAC) | Wire Center | Held Orders | Held Due To |
|---|---|---|---|

No requests for service were unfulfilled

## Report 4 - Number of Complaints Per 1,000 Report

§ 54.313(a)(4) The number of complaints per 1,000 connections (fixed or mobile) in the prior calendar year

| SAC | Wire Center | Complaints/1K |
|---|---|---|
| 361414 | ANDLMNXB | 2 |
| 361414 | MPLKMNXA | 0 |
| 361414 | MTRSMNXB | 3 |
| 361414 | PYVLMNXP | 2 |
| 361414 | SOHNMNXS | 2 |
| 361482 | BCKRMNXA | 3 |
| 361482 | BGLKMNXA | 2 |
| 361482 | GLNDMNXA | 3 |
| 361482 | ZMMNMNXA | 3 |

## Report 5 - Service Quality and Emergency Certification

§ 54.313(a)(5) Certification that it is complying with applicable service quality standards and consumer protection rules
§ 54.313(a)(6) Certification that the carrier is able to function in emergency situations as set forth in §54.202(a)(2)

---

**Response:**

See report certification - Certifications include compliance with service quality standards, consumer protection, and the ability to function in emergency situations.

## Report 6 - Company Price Offering Report

§ 54.313(a)(7) The company's price offerings in a format as specified by the Wireline Competition Bureau

Response: The Wireline Competition Bureau has not specified the format this data is to be provided in nor has this been approved by the Office of Management and Budget (OMB). This data will be provided once the OMB acceptance has been published in the Federal Register and the WCB has specified the format per FCC 11-161 ¶54.313(a)(7).

## Report 7 - Holding and Operating Company Report

§ 54.313(a)(8) The recipient's holding company, operating companies, affiliates, and any branding (a "dba," or "doing-business-as company" or brand designation), as well as universal service identifiers for each such entity by Study Area Codes, as that term is used by the Administrator. For purposes of this paragraph, "affiliates" has the meaning set forth in section 3(2) of the Communications Act of 1934, as amended

Response: The Office of Management and Budget (OMB) has not approved this new requirement. This data will be provided once the OMB acceptance has been published in the Federal Register per FCC DA 12-147 II.A.12.

## Report 8 - Tribal Land Information

§ 54.313(a)(9) To the extent the recipient serves Tribal lands, documents or information demonstrating that the ETC had discussions with Tribal governments that, at a minimum, included:

(i) A needs assessment and deployment planning with a focus on Tribal community anchor institutions;

(ii) Feasibility and sustainability planning;

(iii) Marketing services in a culturally sensitive manner;

(iv) Rights of way processes, land use permitting, facilities siting, environmental and cultural preservation review processes; and

(v) Compliance with Tribal business and licensing requirements. Tribal business and licensing requirements include business practice licenses that Tribal and non-Tribal business entities, whether located on or off Tribal lands, must obtain upon application to the relevant Tribal government office or division to conduct any business or trade, or deliver any goods or services to the Tribes, Tribal members, or Tribal lands. These include certificates of public convenience and necessity, Tribal business licenses, master licenses, and other related forms of Tribal government licensure.

Response: This requirement is not effective until April 1, 2013 per FCC DA 12-147 II.A.11.

## Report 9 - Areas with no Terrestrial Backhaul Certification

§ 54.313(g) Areas with No Terrestrial Backhaul. Carriers without access to terrestrial backhaul that are compelled to rely exclusively on satellite backhaul in their study area must certify annually that no terrestrial backhaul options exist. Any such funding recipients must certify they offer broadband service at actual speeds of at least 1 Mbps downstream and 256 kbps upstream within the supported area served by Federal Communications Commission satellite middle-mile facilities. To the extent that new terrestrial backhaul facilities are constructed, or existing facilities improve sufficiently to meet the relevant speed, latency and capacity requirements then in effect for broadband service supported by the CAF, within twelve months of the new backhaul facilities becoming commercially available, funding recipients must provide the certifications required in paragraphs (e) or (f) of this section in full. Carriers subject to this paragraph must comply with all other requirements set forth in the remaining paragraphs of this section.

Response: No certification required. Windstream does not rely on satellite backhaul for its network.

## Report 10 - Residential Local Service Rates Report

§ 54.313(h) Additional voice rate data. All incumbent local exchange carrier recipients of high-cost support must report only their flat rates for residential local service, as well as state fees that are below the local urban rate floor as defined in § 54.318 of this subpart, and the number of lines for each rate specified. Carriers shall report lines and rates in effect as of June 1.

**No rates are below the $10 floor in Minnesota.**

| SAC | SPIN | Exchange | Res. Local Service Charge | State SLC | State USF Fee | Mandatory EAS Charge | Loops |
|---|---|---|---|---|---|---|---|

## Annual Report Certification

June 26, 2012

In accordance with FCC 11-161 ¶581, this form is to certify that all the information contained in this report is accurate to the best of my knowledge. I acknowledge my certification is subject to the penalties for false statements imposed under 18 U.S.C. §1001.

I, John Fletcher, am General Counsel for Windstream Communications and certify that I am authorized to execute this certification on behalf of Windstream and the facts set forth in this report are accurate to the best of my knowledge, information and belief.

Pursuant to the requirements under 47 C.F.R. §54.313(a)(5) and §54.313(a)(6) Windstream also certifies to the respective State Regulatory Commission that:

1) Windstream has established operating procedures designed to facilitate compliance with applicable consumer protection rules.

2) Windstream has established operating procedures designed to facilitate compliance with applicable service quality standards. Where applicable Windstream reports service quality standards to State Commissions.

3) Windstream has established operating procedures for emergency situations that will allow it to remain functional §54.202(a)(2).

4) Windstream certifies that all federal high-cost and CAF support was used in the proceeding calendar year and will be used in the new calendar year only for the provision, maintenance, and upgrading of facilities and services for which the support is intended.

John Fletcher

Dated this 27 day of June, 2012

John Fletcher
General Counsel
Windstream Communications
4001 Rodney Parham Rd.
Little Rock, AR 72212

SUBSCRIBED AND SWORN to before me this 27 day of June, 2012

Sandra D Blade

Notary Public : Sandra Blade

My Commission Expires: 8-2-16

SANDRA D. BLADE NOTARY PUBLIC #12349466 EXPIRES 8-2-2016 PULASKI COUNTY, AR