



Your business
is our business.

7852 Walker Drive, Suite 200
Greenbelt, Maryland 20770
phone: 301-459-7590, fax: 301-577-5575
internet: www.jsitel.com, e-mail: jsi@jsitel.com

REDACTED – FOR PUBLIC INSPECTION

October 15, 2013

Via Hand Delivery

Marlene H. Dortch, Secretary
Federal Communications Commission
Office of the Secretary
445 12th Street, SW
Washington, DC 20554

**Re: WC Docket No. 10-90, WC Docket No. 11-42
2013 ETC Annual Report of Hopi Telecommunications, Inc.
Study Area Code 450815**

Dear Ms. Dortch:

On behalf of Hopi Telecommunications, Inc. (“HTI”), JSI files the attached confidential and redacted versions of the FCC Form 481 ETC annual reporting information pursuant to sections 54.313 and 54.422 of the Commission’s rules.¹ HTI seeks confidential treatment under Protective Order for section 54.313(f)(2) financial information.² The redacted version is also being filed this date via the FCC’s Electronic Comment Filing System. Also attached is a letter requesting confidential treatment under section 0.459 of certain financial and subscriber data and the Five-Year Service Quality Progress Report filed pursuant to section 54.313(a)(1).³

Please direct any questions regarding the filing to the undersigned.

Sincerely,

John Kuykendall
JSI Vice President
301-459-7590
jkuykendall@jsitel.com

cc: Charles Tyler, Telecommunications Access Policy Division (two copies, confidential)

¹ 47 C.F.R. §§ 54.313, 54.422.

² *Connect America Fund et al.*, WC Docket No. 10-90 *et al.*, Protective Order, DA 12-1857 rel. Nov. 16, 2012 (Protective Order). 47 C.F.R. § 54.313(f)(2).

³ 47 C.F.R. §§ 0.459, 54.313(a)(1).



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October 15, 2013

Marlene H. Dortch, Secretary
Federal Communications Commission
Office of the Secretary
445 12th Street, SW
Washington, DC 20554

**Re: WC Docket No. 10-90, WC Docket No. 11-42
2013 ETC Annual Report of Hopi Telecommunications, Inc.
Study Area Code 450815
Request for Confidentiality**

Dear Ms. Dortch:

John Staurulakis, Inc. (“JSI”), on behalf of its client Hopi Telecommunications, Inc. (the “Company”) hereby requests, pursuant to Section 0.459 of the Commission’s rules,¹ withholding from public inspection certain information contained in attachments to the above referenced reporting requirement. The Company provides the following in support of its request, numbered consistent with the subparagraphs of Section 0.459(b).²

1. The information for which the Company is seeking confidential treatment is contained in attachments to the Company’s annual reporting information pursuant to Sections 54.313 and 54.422 of the Commission’s rules (“Report”).³
2. Eligible Telecommunications Carriers (“ETCs”) must file with the Commission the reporting information which is contained in the attachments to the Report pursuant to Sections 54.313(a)(1) and 54.313(a)(9), and as specified in the August 6, 2013 Public Notice.⁴
3. The information contained in attachments for which the Company seeks the withholding from public inspection is the entirety of data pertaining to the Company’s Five-Year Service Quality Progress Report provided at FCC Form

¹ 47 C.F.R. § 0.459.

² 47 C.F.R. § 0.459(b)(1) through (9).

³ 47 C.F.R. §§ 54.313, 54.422.

⁴ See *Wireline Competition Bureau Announces Filing Deadline of October 15, 2013 for Eligible Telecommunications Carriers to File High-Cost and Low-Income Annual Reports, and Announces Filing Deadline of December 16, 2013 for States and ETCs to File Annual Use Certifications*, DA 13-1707 (rel. Aug. 6, 2013) (“August 6, 2013 Public Notice”).

481 Line 112 attachment. The Company also seeks the withholding from public inspection certain financial and subscriber information required at Line 900, Tribal Lands Reporting, as contained in the Annual Report to the Hopi Tribe. Information of this nature is confidential commercial information routinely withheld from public inspection.

4. With respect to identifying the degree to which the subject attachments concern a service that is subject to competition, the information is of a financial, operational and competitive nature regarding the provision of telecommunications services.
5. With respect to identifying possible exposure to competitive harm, the information contained in subject attachments is information that is not customarily released to the public. Because the telecommunications market is highly competitive, release of this information could substantially harm the Company's telecommunications business.
6. With respect to steps the Company has taken to ensure against unauthorized disclosure of the information contained in the attachment, the Company is filing the attachment under seal.
7. Any previous versions of this information are not publicly available.
8. Because the information is not routinely available, a need exists for maintaining the confidentiality of this information permanently.
9. Not applicable.

Based on the preceding, JSI respectfully requests on behalf of the Company that the Commission grant confidential treatment under Section 0.459 to Company's Five-Year Service Quality Progress Report provided at FCC Form 481 Line 112 attachment and the financial and subscriber information required at Line 900, Tribal Lands Reporting, as contained in the Annual Report to the Hopi Tribe.

Please contact the undersigned with any questions regarding this request.

Sincerely,



John Kuykendall
JSI Vice President
301-459-7590
jkuykendall@jsitel.com

| | |
|---|--|
| FCC Form 481 - Carrier Annual Reporting Data Collection Form | FCC Form 481 OMB Control No. 3060-0986/OMB Control No. 3060-0819 July 2013 |
|---|--|

| | |
|--|---------------------------------|
| <010> Study Area Code | 450815 |
| <015> Study Area Name | HOPI TELECOMMUNICATIONS COMPANY |
| <020> Program Year | 2014 |
| <030> Contact Name: Person USAC should contact with questions about this data | Carroll Onsay |
| <035> Contact Telephone Number: Number of the person identified in data line <030> | 928-522-8428 |
| <039> Contact Email Address: Email of the person identified in data line <030> | consae@hopitelecom.com |

| | | |
|--|---|---|
| ANNUAL REPORTING FOR ALL CARRIERS | 54.313 Completion Required | 54.422 Completion Required |
|--|---|---|

| | | |
|---|--|---|
| <i>(check box when complete)</i> | | |
| <100> Service Quality Improvement Reporting | <i>(complete attached worksheet)</i> | <input checked="" type="checkbox"/> <input style="background-color: #cccccc;" type="checkbox"/> |
| <200> Outage Reporting (voice) | <i>(complete attached worksheet)</i> | <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> |
| <210> <input checked="" type="checkbox"/> <-- check box if no outages to report | | |
| <300> Unfulfilled Service Requests (voice) | 54 | <input checked="" type="checkbox"/> <input style="background-color: #cccccc;" type="checkbox"/> |
| <310> Detail on Attempts (voice) | 450815az310 | <input checked="" type="checkbox"/> <input style="background-color: #cccccc;" type="checkbox"/> |
| <320> Unfulfilled Service Requests (broadband) | | <input type="checkbox"/> <input style="background-color: #cccccc;" type="checkbox"/> |
| <330> Detail on Attempts (broadband) | | <input type="checkbox"/> <input style="background-color: #cccccc;" type="checkbox"/> |
| <400> Number of Complaints per 1,000 customers (voice) | | <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> |
| <410> Fixed | 0.0 | |
| <420> Mobile | | |
| <430> Number of Complaints per 1,000 customers (broadband) | | <input type="checkbox"/> <input style="background-color: #cccccc;" type="checkbox"/> |
| <440> Fixed | | |
| <450> Mobile | | |
| <500> Service Quality Standards & Consumer Protection Rules Compliance | <i>(check to indicate certification)</i> | <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> |
| <510> 450815az510 | <i>(attached descriptive document)</i> | <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> |
| <600> Functionality in Emergency Situations | <i>(check to indicate certification)</i> | <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> |
| <610> 450815az610 | <i>(attached descriptive document)</i> | <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> |
| <700> Company Price Offerings (voice) | <i>(complete attached worksheet)</i> | <input type="checkbox"/> <input style="background-color: #cccccc;" type="checkbox"/> |
| <710> Company Price Offerings (broadband) | <i>(complete attached worksheet)</i> | <input type="checkbox"/> <input style="background-color: #cccccc;" type="checkbox"/> |
| <800> Operating Companies and Affiliates | <i>(complete attached worksheet)</i> | <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> |
| <900> Tribal Land Offerings (Y/N)? <input checked="" type="radio"/> <input type="radio"/> | <i>(if yes, complete attached worksheet)</i> | <input checked="" type="checkbox"/> <input style="background-color: #cccccc;" type="checkbox"/> |
| <1000> Voice Services Rate Comparability | <i>(check to indicate certification)</i> | <input type="checkbox"/> <input style="background-color: #cccccc;" type="checkbox"/> |
| <1010> <input type="checkbox"/> | <i>(attach descriptive document)</i> | <input type="checkbox"/> <input style="background-color: #cccccc;" type="checkbox"/> |
| <1100> Terrestrial Backhaul (Y/N)? <input checked="" type="radio"/> <input type="radio"/> | <i>(if not, check to indicate certification)</i> | <input type="checkbox"/> <input style="background-color: #cccccc;" type="checkbox"/> |
| <1110> | <i>(complete attached worksheet)</i> | <input type="checkbox"/> <input style="background-color: #cccccc;" type="checkbox"/> |
| <1200> Terms and Condition for Lifeline Customers | <i>(complete attached worksheet)</i> | <input style="background-color: #cccccc;" type="checkbox"/> <input checked="" type="checkbox"/> |

Price Cap Carriers, Proceed to Price Cap Additional Documentation Worksheet

Including Rate-of-Return Carriers affiliated with Price Cap Local Exchange Carriers

| | | |
|--------|--|--|
| <2000> | <i>(check to indicate certification)</i> | <input type="checkbox"/> <input style="background-color: #cccccc;" type="checkbox"/> |
| <2005> | <i>(complete attached worksheet)</i> | <input type="checkbox"/> <input style="background-color: #cccccc;" type="checkbox"/> |

Rate of Return Carriers, Proceed to ROR Additional Documentation Worksheet

| | | |
|--------|--|---|
| <3000> | <i>(check to indicate certification)</i> | <input checked="" type="checkbox"/> <input style="background-color: #cccccc;" type="checkbox"/> |
| <3005> | <i>(complete attached worksheet)</i> | <input checked="" type="checkbox"/> <input style="background-color: #cccccc;" type="checkbox"/> |

| | |
|---|--|
| (100) Service Quality Improvement Reporting Data Collection Form | FCC Form 481 OMB Control No. 3060-0986/OMB Control No. 3060-0819 July 2013 |
|---|--|

| | |
|---|---------------------------------|
| <010> Study Area Code | 450815 |
| <015> Study Area Name | HOPI TELECOMMUNICATIONS COMPANY |
| <020> Program Year | 2014 |
| <030> Contact Name - Person USAC should contact regarding this data | Carroll Onsaе |
| <035> Contact Telephone Number - Number of person identified in data line <030> | 928-522-8428 |
| <039> Contact Email Address - Email Address of person identified in data line <030> | consae@hopitelecom.com |

| | |
|---|---|
| <110> Has your company received its ETC certification from the FCC? If your answer to Line <110> is yes, do you have an existing §54.202(a) "5 | (yes / no) <input checked="" type="radio"/> <input type="radio"/> |
| <111> year plan" filed with the FCC? | (yes / no) <input checked="" type="radio"/> <input type="radio"/> |

If your answer to Line <111> is yes, then you are required to file a progress report, on line <112> delineating the status of your company's existing § 54.202(a) "5 year plan" on file with the FCC, as it relates to your provision of voice telephony service.

<112> Attach Five-Year Service Quality Improvement Plan or, in subsequent years, your annual progress report filed pursuant to 47 C.F.R. § 54.313(a)(1). If your company is a CETC which only receives frozen support, your progress report is only required to address voice telephony service.

450815az112

Name of Attached Document (.pdf)

Please check these boxes below to confirm that the attached PDF, on line 112, contains a progress report on its five-year service quality improvement plan pursuant to § 54.202(a). The information shall be submitted at the wire center level or census block as appropriate.

- <113> Maps detailing progress towards meeting plan targets
- <114> Report how much universal service (USF) support was received
- <115> How (USF) was used to improve service quality
- <116> How (USF) was used to improve service coverage
- <117> How (USF) was used to improve service capacity
- <118> Provide an explanation of network improvement targets not met in the prior calendar year.

| |
|-------------------------------------|
| <input checked="" type="checkbox"/> |

| | |
|--|--|
| (900) Tribal Lands Reporting Data Collection Form | FCC Form 481 OMB Control No. 3060-0986/OMB Control No. 3060-0819 July 2013 |
|--|--|

| | | |
|--------------------|---|---------------------------------|
| <010> | Study Area Code | 450815 |
| <015> | Study Area Name | HOPI TELECOMMUNICATIONS COMPANY |
| <020> | Program Year | 2014 |
| <030> | Contact Name - Person USAC should contact regarding this data | Carroll Onsaie |
| <035> | Contact Telephone Number - Number of person identified in data line <030> | 928-522-8428 |
| <039> | Contact Email Address - Email Address of person identified in data line <030> | consae@hopitelecom.com |

<910> Tribal Land(s) on which ETC Serves Hopi Tribe

<920> Tribal Government Engagement Obligation 450815az3017

Name of Attached Document (.pdf)

If your company serves Tribal lands, please select (Yes, No, NA) for each these boxes to confirm the status described on the attached PDF, on line 920, demonstrates coordination with the Tribal government pursuant to § 54.313(a)(9) includes:

| | Select (Yes, No, NA) |
|---|----------------------------|
| <921> Needs assessment and deployment planning with a focus on Tribal community anchor institutions; | Yes |
| <922> Feasibility and sustainability planning; | Yes |
| <923> Marketing services in a culturally sensitive manner; | Yes |
| <924> Compliance with Rights of way processes | Yes |
| <925> Compliance with Land Use permitting requirements | Yes |
| <926> Compliance with Facilities Siting rules | Yes |
| <927> Compliance with Environmental Review processes | Yes |
| <928> Compliance with Cultural Preservation review processes | Yes |
| <929> Compliance with Tribal Business and Licensing requirements. | Yes |

| | |
|--|--|
| (1100) No Terrestrial Backhaul Reporting Data Collection Form | FCC Form 481 OMB Control No. 3060-0986/OMB Control No. 3060-0819 July 2013 |
|--|--|

| | | |
|-------|---|---------------------------------|
| <010> | Study Area Code | 450815 |
| <015> | Study Area Name | HOPI TELECOMMUNICATIONS COMPANY |
| <020> | Program Year | 2014 |
| <030> | Contact Name - Person USAC should contact regarding this data | Carroll Onsaе |
| <035> | Contact Telephone Number - Number of person identified in data line <030> | 928-522-8428 |
| <039> | Contact Email Address - Email Address of person identified in data line <030> | consae@hopitelecom.com |

<1120> Please check this box to confirm no terrestrial backhaul options exist within the supported area pursuant to § 54.313(G)

<1130> Please check this box to confirm the reporting carrier offers broadband service of at least 1 Mbps downstream and 256 kbps upstream within the supported area pursuant to § 54.313(G)

| | |
|--|--|
| (1200) Terms and Condition for Lifeline Customers Lifeline Data Collection Form | FCC Form 481 OMB Control No. 3060-0986/OMB Control No. 3060-0819 July 2013 |
|--|--|

| | | |
|-------|---|---------------------------------|
| <010> | Study Area Code | 450815 |
| <015> | Study Area Name | HOPI TELECOMMUNICATIONS COMPANY |
| <020> | Program Year | 2014 |
| <030> | Contact Name - Person USAC should contact regarding this data | Carroll Onsaе |
| <035> | Contact Telephone Number - Number of person identified in data line <030> | 928-522-8428 |
| <039> | Contact Email Address - Email Address of person identified in data line <030> | consae@hopitelecom.com |

<1210> Terms & Conditions of Voice Telephony Lifeline Plans

Name of attached document (.pdf)

<1220> Link to Public Website

HTTP <http://www.hopitelecom.com/services-lifeline.php>

“Please check these boxes below to confirm that the attached PDF, on line 1210, or the website listed, on line 1220, contains the required information pursuant to § 54.422(a)(2) annual reporting for ETCs receiving low-income support, carriers must annually report:

<1221> Information describing the terms and conditions of any voice telephony service plans offered to Lifeline subscribers,

<1222> Details on the number of minutes provided as part of the plan,

<1223> Additional charges for toll calls, and rates for each such plan.

| | |
|--|---|
| (2000) Price Cap Carrier Additional Documentation | FCC Form 481 |
| Data Collection Form | OMB Control No. 3060-0986/OMB Control No. 3060-0819 |
| <i>Including Rate-of-Return Carriers affiliated with Price Cap Local Exchange Carriers</i> | July 2013 |

| | | |
|--------------------|---|---------------------------------|
| <010> | Study Area Code | 450815 |
| <015> | Study Area Name | HOPI TELECOMMUNICATIONS COMPANY |
| <020> | Program Year | 2014 |
| <030> | Contact Name - Person USAC should contact regarding this data | Carroll Onsaee |
| <035> | Contact Telephone Number - Number of person identified in data line <030> | 928-522-8428 |
| <039> | Contact Email Address - Email Address of person identified in data line <030> | consae@hopitelecom.com |

CHECK the boxes below to note compliance as a recipient of Incremental Connect America Phase I support, frozen High Cost support, High Cost support to offset access charge reductions, and Connect America Phase II support as set forth in 47 CFR § 54.313(b),(c),(d),(e) the information reported on this form and in the documents attached below is accurate.

Incremental Connect America Phase I reporting

| | | |
|---------------------|--|--------------------------|
| <2010> | 2nd Year Certification {47 CFR § 54.313(b)(1)} | <input type="checkbox"/> |
| <2011> | 3rd Year Certification {47 CFR § 54.313(b)(2)} | <input type="checkbox"/> |

Price Cap Carrier Receiving Frozen Support Certification {47 CFR § 54.312(a)}

| | | |
|---------------------|--|--------------------------|
| <2012> | 2013 Frozen Support Certification | <input type="checkbox"/> |
| <2013> | 2014 Frozen Support Certification | <input type="checkbox"/> |
| <2014> | 2015 Frozen Support Certification | <input type="checkbox"/> |
| <2015> | 2016 and future Frozen Support Certification | <input type="checkbox"/> |

Price Cap Carrier Connect America ICC Support {47 CFR § 54.313(d)}

| | | |
|---------------------|---|--------------------------|
| <2016> | Certification Support Used to Build Broadband | <input type="checkbox"/> |
|---------------------|---|--------------------------|

Connect America Phase II Reporting {47 CFR § 54.313(e)}

| | | |
|---------------------|--|--|
| <2017> | 3rd year Broadband Service Certification | <input type="checkbox"/> |
| <2018> | 5th year Broadband Service Certification | <input type="checkbox"/> |
| <2019> | Interim Progress Certification | <input type="checkbox"/> |
| <2020> | Please check the box to confirm that the attached PDF , on line 2021, contains the required information pursuant to § 54.313 (e)(3)(ii), as a recipient of CAF Phase II support shall provide the number, names, and addresses of community anchor institutions to which began providing access to broadband service in the preceding calendar year. | <input type="checkbox"/> |
| <2021> | Interim Progress Community Anchor Institutions | Name of Attached Document Listing Required Information _____ |

| | |
|---|---|
| (3000) Rate Of Return Carrier Additional Documentation | FCC Form 481 |
| Data Collection Form | OMB Control No. 3060-0986/OMB Control No. 3060-0819 |
| | July 2013 |

| | |
|---|---------------------------------|
| <010> Study Area Code | 450815 |
| <015> Study Area Name | HOPI TELECOMMUNICATIONS COMPANY |
| <020> Program Year | 2014 |
| <030> Contact Name - Person USAC should contact regarding this data | Carroll Onsaе |
| <035> Contact Telephone Number - Number of person identified in data line <030> | 928-522-8428 |
| <039> Contact Email Address - Email Address of person identified in data line <030> | consae@hopitelecom.com |

CHECK the boxes below to note compliance on its five year service quality plan (pursuant to 47 CFR § 54.202(a)) and, for privately held carriers, ensuring compliance with the financial reporting requirements set forth in 47 CFR § 54.313(f)(2). I further certify that the information reported on this form and in the documents attached below is accurate.

Progress Report on 5 Year Plan

| | | |
|---|--|--|
| (3010) Milestone Certification {47 CFR § 54.313(f)(1)(i)} Please check this box to confirm that the attached PDF , on line 3012, | Name of Attached Document Listing Required Information | <input type="checkbox"/> |
| (3011) contains the required information pursuant to § 54.313 (f)(1)(ii), as a recipient of CAF Phase II support shall provide the number, names, and addresses of community anchor institutions to which began providing access to broadband service in the preceding calendar year. | | |
| (3012) Community Anchor Institutions {47 CFR § 54.313(f)(1)(ii)} | Name of Attached Document Listing Required Information | <input type="checkbox"/> |
| (3013) Is your company a Privately Held ROR Carrier {47 CFR § 54.313(f)(2)} | | <input checked="" type="checkbox"/> (Yes/No) |
| (3014) If yes, does your company file the RUS annual report Please check these boxes to confirm that the attached PDF, on line 3017, contains the required information pursuant to § 54.313(f)(2) compliance requires: | | <input checked="" type="checkbox"/> (Yes/No) |
| (3015) Electronic copy of their annual RUS reports (Operating Report for Telecommunications Borrowers) | | <input checked="" type="checkbox"/> |
| (3016) PDF of Balance Sheet, Income Statement and Statement of Cash Flows | | <input checked="" type="checkbox"/> |
| (3017) If the response is yes on line 3014, attach your company's RUS annual report and all required documentation | Name of Attached Document Listing Required Information | 450815az3017 |
| (3018) If the response is no on line 3014, Is your company audited? If the response is yes on line 3018, please check the boxes below to confirm your submission, on line 3026 pursuant to § 54.313(f)(2), contains : | | <input type="checkbox"/> (Yes/No) |
| (3019) Either a copy of their audited financial statement; or (2) a financial report in a format comparable to RUS Operating Report for Telecommunications | | <input type="checkbox"/> |
| (3020) PDF of Balance Sheet, Income Statement and Statement of Cash Flows | | <input type="checkbox"/> |
| (3021) Management letter issued by the independent certified public accountant that performed the company's financial audit. If the response is no on line 3018, please check the boxes below to confirm your submission, on line 3026 pursuant to § 54.313(f)(2), contains: | | <input type="checkbox"/> |
| (3022) Copy of their financial statement which has been subject to review by an independent certified public accountant; or 2) a financial report in a format comparable to RUS Operating Report for Telecommunications Borrowers, | | <input type="checkbox"/> |
| (3023) Underlying information subjected to a review by an independent certified public accountant | | <input type="checkbox"/> |
| (3024) Underlying information subjected to an officer certification. | | <input type="checkbox"/> |
| (3025) PDF of Balance Sheet, Income Statement and Statement of Cash Flows | | <input type="checkbox"/> |
| (3026) Attach the worksheet listing required information | Name of Attached Document Listing Required Information | <input type="checkbox"/> |

| | |
|---|--|
| Certification - Reporting Carrier Data Collection Form | FCC Form 481 OMB Control No. 3060-0986/OMB Control No. 3060-0819 July 2013 |
|---|--|

| | | |
|--------------------|--|---------------------------------|
| <010> | Study Area Code | 450815 |
| <015> | Study Area Name | HOPI TELECOMMUNICATIONS COMPANY |
| <020> | Program Year | 2014 |
| <030> | Contact Name - Person USAC should contact regarding this data | Carroll Onsaie |
| <035> | Contact Telephone Number - Number of person identified in data line <030> | 928-522-8428 |
| <039> | Contact Email Address - Email Address of person identified in data line <030> | consae@hopitelecom.com |

TO BE COMPLETED BY THE REPORTING CARRIER, IF THE REPORTING CARRIER IS FILING ANNUAL REPORTING ON ITS OWN BEHALF:

| | |
|---|--------------------------------|
| Certification of Officer as to the Accuracy of the Data Reported for the Annual Reporting for CAF or LI Recipients | |
| I certify that I am an officer of the reporting carrier; my responsibilities include ensuring the accuracy of the annual reporting requirements for universal service support recipients; and, to the best of my knowledge, the information reported on this form and in any attachments is accurate. | |
| Name of Reporting Carrier: | |
| Signature of Authorized Officer: | Date |
| Printed name of Authorized Officer: | |
| Title or position of Authorized Officer: | |
| Telephone number of Authorized Officer: | |
| Study Area Code of Reporting Carrier: | Filing Due Date for this form: |
| Persons willfully making false statements on this form can be punished by fine or forfeiture under the Communications Act of 1934, 47 U.S.C. §§ 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18 U.S.C. § 1001. | |

| | |
|---|--|
| Certification - Agent / Carrier Data Collection Form | FCC Form 481 OMB Control No. 3060-0986/OMB Control No. 3060-0819 July 2013 |
|---|--|

| | | |
|--------------------|---|---------------------------------|
| <010> | Study Area Code | 450815 |
| <015> | Study Area Name | HOPI TELECOMMUNICATIONS COMPANY |
| <020> | Program Year | 2014 |
| <030> | Contact Name - Person USAC should contact regarding this data | Carroll Onsaе |
| <035> | Contact Telephone Number - Number of person identified in data line <030> | 928-522-8428 |
| <039> | Contact Email Address - Email Address of person identified in data line <030> | consae@hopitelecom.com |

TO BE COMPLETED BY THE REPORTING CARRIER, IF AN AGENT IS FILING ANNUAL REPORTS ON THE CARRIER'S BEHALF:

| Certification of Officer to Authorize an Agent to File Annual Reports for CAF or LI Recipients on Behalf of Reporting Carrier | |
|--|---|
| I certify that (Name of Agent) <u>John Staurulakis, Inc.</u> is authorized to submit the information reported on behalf of the reporting carrier. I also certify that I am an officer of the reporting carrier; my responsibilities include ensuring the accuracy of the annual data reporting requirements provided to the authorized agent; and, to the best of my knowledge, the reports and data provided to the authorized agent is accurate. | |
| Name of Authorized Agent: | John Staurulakis, Inc. |
| Name of Reporting Carrier: | HOPI TELECOMMUNICATIONS COMPANY |
| Signature of Authorized Officer: | CERTIFIED ONLINE Date: 10/10/2013 |
| Printed name of Authorized Officer: | Carroll Onsaе |
| Title or position of Authorized Officer: | President & General Manager |
| Telephone number of Authorized Officer: | (928) 522-8428 |
| Study Area Code of Reporting Carrier: | 450815 Filing Due Date for this form: 10/15/2013 |
| <small>Persons willfully making false statements on this form can be punished by fine or forfeiture under the Communications Act of 1934, 47 U.S.C. §§ 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18 U.S.C. § 1001.</small> | |

TO BE COMPLETED BY THE AUTHORIZED AGENT:

| Certification of Agent Authorized to File Annual Reports for CAF or LI Recipients on Behalf of Reporting Carrier | |
|--|---|
| I, as agent for the reporting carrier, certify that I am authorized to submit the annual reports for universal service support recipients on behalf of the reporting carrier; I have provided the data reported herein based on data provided by the reporting carrier; and, to the best of my knowledge, the information reported herein is accurate. | |
| Name of Reporting Carrier: | HOPI TELECOMMUNICATIONS COMPANY |
| Name of Authorized Agent or Employee of Agent: | John Staurulakis, Inc. |
| Signature of Authorized Agent or Employee of Agent: | CERTIFIED ONLINE Date: 10/10/2013 |
| Printed name of Authorized Agent or Employee of Agent: | Cassandra Heyne |
| Title or position of Authorized Agent or Employee of Agent: | Senior Analyst |
| Telephone number of Authorized Agent or Employee of Agent: | 3014597590 |
| Study Area Code of Reporting Carrier: | 450815 Filing Due Date for this form: 10/15/2013 |
| <small>Persons willfully making false statements on this form can be punished by fine or forfeiture under the Communications Act of 1934, 47 U.S.C. §§ 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18 U.S.C. § 1001.</small> | |

Attachments

REDACTED - FOR PUBLIC INSPECTION

REDACTED – FOR PUBLIC INSPECTION

HOPI TELECOMMUNICATIONS, INC. (SAC 450815)

ATTACHMENT - LINE 112

ATTACHMENT REDACTED IN ENTIRETY

Hopi Telecommunications Inc.'s Report and Explanation of Unfulfilled Requests

In compliance with Section 54.313(a)(3) of the FCC's Rules, Hopi Telecommunications, Inc. ("HTI" or the "Company") hereby reports that for the calendar year 2012, the Company had 54 requests for voice service from potential customers within HTI's service area that were unfulfilled. Attached is a list providing these customers in a generic format. The following explains how HTI attempted to provide service to these potential customers.

As indicated on this list, 13 of the customers are located in the largely unserved Hopi Partitioned Land ("HPL") which is without access to roads and utilities such as electricity and water. HTI has been exploring how best to provide service to the HPL area and determined that if it cannot provide service via cable/fiber or fixed wireless, it would investigate and implement a satellite technology to serve these areas. HTI has as its mission to serve all Hopi people with their communications needs; both voice and data, at reasonable rates. As explained in previous annual ETC reports, while the Hopi Tribe is comprised of villages, the largely unserved HPL land assignments are scattered thus presenting unique challenges in how to serve Hopi people residing in these areas. HTI hereby reports that has tested a satellite technology to reach those residing in the largely unserved HPL land assignments and deployed this technology to serve some of those residing in the HPL.

Most of the remaining 41 customers are located in the communities of Jeddito and Spider Mound with a few potential customers in the Village of Tewa and the Village of Hotevilla. As explained in previous annual ETC reports, in round two of the application cycle for broadband stimulus grant/loans made available through the American Recovery

and Reinvestment Act of 2009 (“ARRA”), the Rural Utilities Service (“RUS”) awarded HTI a grant/loan, a portion of which will enable HTI to build a last mile component that would provide broadband services to currently unserved subscribers around the community of Jeddito and Spider Mound utilizing wireless point-to-multipoint WiMAX access equipment. The equipment and costs to implement these facilities are included in the expenditures and projections contained in the Company’s five-year service improvement plan progress report (“Progress Report”) as part of this Form 481 annual ETC filing. As further explained in the Progress Report, to date HTI has been unable to make any construction progress on the ARRA project due to numerous rights-of-way (“ROW”) issues and required environmental studies but should be able to move forward soon since these issues are almost completely resolved.

APPLICANTS STILL WAITING FOR TEL/INT SERVICES 2012

| DATE | | CUSTOMER'S NAME | SO# | TEL | LIFELINE | INT | Solar/AC |
|-----------|-----|-----------------|-------|-----|----------|-----|--------------------|
| 1/17/2011 | HPL | Customer 1 | 27036 | X | X | X | Solar |
| 1/17/2011 | HPL | Customer 2 | 27037 | | X | X | Solar/ not engh |
| 4/18/2011 | HPL | Customer 3 | 27180 | X | X | X | AC |
| 4/20/2011 | HPL | Customer 4 | 27210 | X | X | X | AC |
| 4/20/2011 | HPL | Customer 5 | 27215 | X | X | X | |
| 4/20/2011 | HPL | Customer 6 | 27227 | X | X | X | |
| 4/22/2011 | HPL | Customer 7 | 27233 | X | X | No | AC |
| 4/22/2011 | HPL | Customer 8 | 27234 | X | X | No | Solar |
| 5/18/2011 | HPL | Customer 9 | 27411 | X | X | No | AC |
| 5/3/2011 | HPL | Customer 10 | 27415 | X | X | | AC |
| 6/13/2011 | HPL | Customer 11 | 27554 | X | X | X | AC |
| 5/27/2011 | HPL | Customer 12 | 27476 | X | X | X | AC |
| 7/20/2011 | HPL | Customer 13 | 27895 | X | X | X | AC |
| 1/18/2011 | | Customer 14 | 27046 | X | X | No | No |
| 1/18/2011 | | Customer 15 | 27027 | X | X | No | No |
| 3/23/2011 | | Customer 16 | 27041 | X | | X | Solar |
| 4/20/2011 | | Customer 17 | 27198 | X | X | No | AC |
| 4/20/2011 | | Customer 18 | 27199 | X | X | No | AC |
| 4/20/2011 | | Customer 19 | 27200 | X | X | No | AC |
| 4/20/2011 | | Customer 20 | 27202 | X | X | No | AC |
| 4/20/2011 | | Customer 21 | 27203 | X | X | No | AC |
| 4/20/2011 | | Customer 22 | 27207 | X | X | X | AC |
| 4/20/2011 | | Customer 23 | 27208 | X | X | X | AC |
| 4/20/2011 | | Customer 24 | 27209 | X | X | X | AC |
| 4/20/2011 | | Customer 25 | 27211 | X | X | X | AC |
| 4/20/2011 | | Customer 26 | 27212 | X | X | X | AC |
| 4/20/2011 | | Customer 27 | 27213 | X | X | X | AC |
| 4/20/2011 | | Customer 28 | 27214 | X | X | X | AC |
| 4/20/2011 | | Customer 29 | 27216 | X | X | X | AC |
| 4/20/2011 | | Customer 30 | 27217 | X | X | X | AC |
| 4/20/2011 | | Customer 31 | 27218 | X | X | X | AC |
| 4/20/2011 | | Customer 32 | 27219 | X | X | X | AC |
| 4/20/2011 | | Customer 33 | 27220 | X | X | X | AC |
| 4/20/2011 | | Customer 34 | 27221 | X | X | X | |
| 4/20/2011 | | Customer 35 | 27222 | X | X | X | |
| 4/20/2011 | | Customer 36 | 27223 | X | X | X | AC |
| 4/20/2011 | | Customer 37 | 27224 | X | X | X | AC |
| 4/20/2011 | | Customer 38 | 27225 | X | X | X | AC |
| 4/25/2011 | | Customer 39 | 27246 | X | X | X | AC |
| 4/26/2011 | | Customer 40 | 27252 | X | X | X | AC |
| 4/26/2011 | | Customer 41 | 27249 | X | X | X | AC |
| 5/18/2011 | | Customer 42 | 27402 | X | X | X | AC |

APPLICANTS STILL WAITING FOR TEL/INT SERVICES 2012

| DATE | CUSTOMER'S NAME | SO# | TEL | LIFELINE | INT | Solar/AC |
|-----------|-----------------|-------|-----|----------|-----|----------|
| 5/18/2011 | Customer 43 | 27404 | X | X | No | AC |
| 5/18/2011 | Customer 44 | 27405 | X | X | No | AC |
| 5/18/2011 | Customer 45 | 27409 | X | X | No | AC |
| 5/18/2011 | Customer 46 | 27410 | X | X | No | AC |
| 5/19/2011 | Customer 47 | 27416 | X | X | X | AC |
| 6/6/2011 | Customer 48 | 27514 | X | X | X | AC |
| 6/9/2011 | Customer 49 | 27751 | X | X | X | AC |
| 8/28/2012 | Customer 50 | 31625 | X | | X | AC |
| 5/27/2011 | Customer 51 | 27480 | X | X | X | AC |
| 4/12/2011 | Customer 52 | 27129 | X | X | No | AC |
| 3/10/2010 | Customer 53 | 22393 | X | X | No | AC |
| 4/21/2011 | Customer 54 | 27206 | X | X | X | AC |

Certification for HTI

Demonstration of Compliance with Applicable Service Quality Standards and Consumer Protection Rules:

In establishing this certification in its *2005 ETC Order*,¹ the FCC found that an ETC must make “a specific commitment to objective measures to protect consumers.”² The Commission found that for wireless ETCs, compliance with CTIA’s Consumer Code for Wireless Service would satisfy this requirement” and that the sufficiency of other commitments would be considered on a case-by-case basis.³ In this context, the FCC stated, “to the extent a wireline or wireless ETC applicant is subject to consumer protection obligations under state law, compliance with such laws may meet our requirement.”⁴

Hopi Telecommunications, Inc. (“HTI” or the “Company”) hereby certifies that it is complying with applicable service quality standards and consumer protection rules. As a company owned by the Hopi Tribe, HTI operates under terms and conditions that afford its customers, most of whom are members of the Tribe, with the consumer protections and service quality standards that will be in their best interest. HTI has developed a Local Exchange Tariff modeled after the tariff of CenturyTel from whom it purchased the three local exchanges for which it was designated an ETC. The rules and

¹ *Federal-State Joint Board on Universal Service*, CC Docket No. 96-45, Report and Order, FCC 05-46 (rel. Mar. 17, 2005) (“*2005 ETC Order*”).

² *Id.* at para. 28.

³ *Id.* The FCC noted that under the CTIA Consumer Code, wireless carriers agree to: “(1) disclose rates and terms of service to customers; (2) make available maps showing where service is generally available; (3) provide contract terms to customers and confirm changes in service; (4) allow a trial period for new service; (5) provide specific disclosures in advertising; (6) separately identify carrier charges from taxes on billing statements; (7) provide customers the right to terminate service for changes to contract terms; (8) provide ready access to customer service; (9) promptly respond to consumer inquiries and complaints received from government agencies; and (10) abide by policies for protection of consumer privacy.” *Id.* at n. 71.

⁴ *Id.* at n. 72.

regulations in this tariff are based upon Arizona Corporation Commission (“ACC”) rules. Although HTI is not under the jurisdiction of the ACC, the consumer protection standards in the HTI tariff are the same as those required by the ACC for telecommunications carriers that are under state jurisdiction. These initial provisions may be modified from time to time with the approval of, or at the request of the Hopi Tribal Council. Other obligations include, but are not limited to, truth-in-billing requirements and CPNI, Red Flag Rules and other applicable federal requirements governing the protection of customers’ privacy.

Certification for HTI

Demonstration of Ability to Function in Emergency Situations

Hopi Telecommunications, Inc. (“Company”) hereby certifies that it is able to function in emergency situations as set forth in §54.201(a)(2).¹ The Company’s network is designed to remain functional in emergency situations without an external power source, is able to reroute traffic around damaged facilities, and is capable of managing traffic spikes resulting from emergency situations as required by Section 54.202(a)(2). The Company can change call routing translations as needed to reroute traffic around damaged facilities. Changing call routing translations will also allow the Company to manage traffic spikes throughout its network, as emergency situations require.

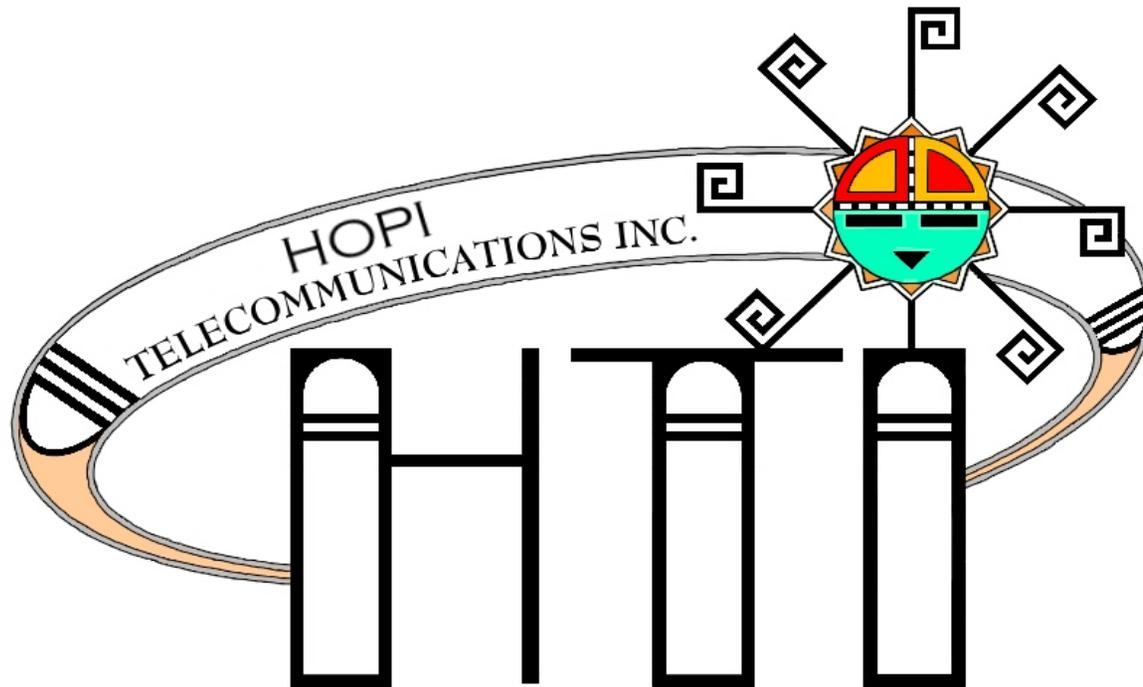
Specifically, each central office building is supplied with standby generators and battery back-up that enable the central office to keep running until power is restored so long as fuel is available, or until system changes are made to reroute traffic. The Company has battery backup at all office locations and in its electronic equipment sites. Length of run time is determined by the equipment serving the area and the number of customers working out of the equipment. Generators are installed at all Central Office locations. They will continue to run as long as the Company has access to propane.

¹ Section 54.201(a)(2) requires ETCs that are designated by the Commission to “demonstrate its ability to remain functional in emergency situations, including a demonstration that it has a reasonable amount of back-up power to ensure functionality without an external power source, is able to reroute traffic around damaged facilities, and is capable of managing traffic spikes resulting from emergency situations.”

Hopi Telecommunications, Inc.

Annual Report

For the year ending 2012



*Owned by the Hopi Tribe,
your Telephone Company*

Objectives of this report

1. Report to Council on HTI's corporate affairs and business during the previous calendar year.
2. Provide a projection of the business activities HTI expects to undertake in the current calendar year (2013).
3. Report and submit an audited financial statement for fiscal year 2012.

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HOPI TELECOMMUNICATIONS, INC.

AGENDA

- HTI History & Purposes
- 2012 Activities
- 2013 Scheduled Activities
- 2012 Audited Financial Statement
- 2013 Budget

HOPI TELECOMMUNICATIONS, INC.

HTI History

- Hopi Tribal Council by Resolution H-043-2004 approved the business plan and established HTI
- Hopi Tribal Council reaffirmed the purpose of HTI by Resolution H-004-2010
- HTI Board of Directors consist of the following:
 - Board Chairman – James Underwood
 - Board Vice Chairman – Donald Massey
 - Board Secretary - Wilfred Moore
 - Board Member - Mickey McKandles
 - Board Member – Wilbur Maho
- Hopi Tribal Council provided an equity contribution of [REDACTED] to HTI

HOPI TELECOMMUNICATIONS, INC.

HTI Purposes

- To construct, operate, and maintain telecommunications as a secure and profitable business of the Hopi Tribe.
- To develop, improve, and manage the telecommunications infrastructure on the Hopi Reservation and the lands of the Hopi Tribe.
- To improve, promote, and develop businesses and economic opportunities for the Hopi Tribe and its members on or near the Hopi Reservation and the lands of the Hopi Tribe.
- To provide employment opportunities for the Hopi Tribe and its members on or near the Hopi Reservation and the lands of the Hopi Tribe.
 - HTI has 14 employees and 9 are Hopi Tribal members.

HOPI TELECOMMUNICATIONS, INC.

2012 Activities

- HTI continues to assist and coordinate with the Tribe to implement the Tribe's EDA Grant for wireless broadband services.
- Completed installation of fiber optic cable from Keams Canyon to Jeddito.
- Completed the fiber optic cable move required by ADOT for their road improvements at Oraibi Wash.

HOPI TELECOMMUNICATIONS, INC.

2012 Activities (Con't)

- Continue implementation of the Mapping technology to enhance HTI's capability to map its existing and future fiber optic and copper cable network.
- Continued to develop HTI Operations
 - HTI added [REDACTED] DSL customers during 2012, and currently has [REDACTED] DSL customers.
 - HTI added [REDACTED] Lifeline customers from the prior year.

HOPI TELECOMMUNICATIONS, INC.

2012 Activities (Con't)

- Continue to provide satellite voice and data service for HTI subscribers residing in areas currently lacking a telecommunications infrastructure.
- Completed 97% cut-over of HTI subscribers from DMS-10 legacy switch to state of the art softswitch.

HOPI TELECOMMUNICATIONS, INC.

2012 Activities (Con't)

- Completed the Neo Nova E-Mail conversion. This enables all HTI email customers to have 24/7 technical support.
- Maize Wireless, LLC continues to seek and install “roaming only” cellular phone service on the Hopi Reservation. This project will be successful when tower space is secured on the EDA Hotevilla and Spider Mound towers.

HOPI TELECOMMUNICATIONS, INC.

2013 Scheduled Activities

- Continue extending DSL, telephone and broadband services to new customers.
- Maintain and upgrade our copper/fiber outside plant network.
- Extend fiber to the Tribal Headquarters and the Hopi Ranger Station (Main Street & E Street) in Kykotsmovi. This project will be successful when HTI obtains ROWs.

HOPI TELECOMMUNICATIONS, INC.

2013 Scheduled Activities (Cont)

- HTI to continue assisting and coordinating with the Tribe to implement the Tribe's EDA Grant for wireless broadband services.
- Mapping project to collect and digitize HTI's telecommunications infrastructure data.
- American Recovery and Reinvestment Act grant/loan award in the amount of \$3.6 mil.
- HTI and the Hopi community were selected to be a Pilot project for the FCC's Broadband Lifeline Program.

HOPI TELECOMMUNICATIONS, INC.

ARRA Purposes

- To build out infrastructure and acquire necessary equipment to establish the first fiber-optic telecommunications connection between HTI and the world.
- To provide basic telephone and broadband services to currently unserved subscribers around the communities of Spider Mound and Jeddito.

HOPI TELECOMMUNICATIONS, INC.

ARRA Goals

- Build 61 miles of fiber-optics cable between the community of Jeddito and Holbrook.
- Create reliable and high speed broadband connectivity to all HTI's subscribers.
- Effectively replace the current microwave telecommunications transport system as the primary connection to the world.

HOPI TELECOMMUNICATIONS, INC.

ARRA Goals (Con't)

- Provide voice and data services to subscribers at Jeddito and Spider Mound utilizing wireless point-to-multipoint WiMAX access equipment.

HOPI TELECOMMUNICATIONS, INC.

The 2012 audited financial statements for HTI and LSC

A consolidated financial audit for FY 2012 is complete, performed by an independent audit firm Bolinger, Segars, Gilbert & Moss, L.L.P., Certified Public Accountants, for Hopi Telecommunications, Incorporated and Subsidiary (Little Star Communications, Inc.), HTI and Subsidiary received a very good audit and without findings.

HOPI TELECOMMUNICATIONS, INC.

Regulatory Authorities

HTI is regulated by:

- Federal Communications Commission (FCC)
- National Exchange Carrier Association (NECA)
- Universal Service Administrative Company (USAC)
- Rural Development Utility Program (RDUP) – (Contractual loan agreement)

HOPI TELECOMMUNICATIONS, INC.

HTI Community Involvement

- HTI contributed over \$8,900 to various organizations for the benefit of Hopi Tribal members, Organizations and Entities include:

Hopi Tribe – Hopi Code Talker Recognition Day

Hopi Cancer Support Services (3 events)

Flagstaff High School Track and Field Team

Hopi Head Start – Native American Day

Hopi Tribe Economic Development Corporation (5 events)

Hopi Mission School - Family Field Day

Futures for Children – Retirement Dinner

Andrew Honyaktewa – Mishongnovio Vlg Clean-up

Rainmaker Rodeo – Honoring Our Grandfathers Memorial

Hopi Foundation/Natwani Coalition

Hopi Harriers Running Club

Hopi Youth Soccer

FUSD – Hopilavayi Tutuqaiki

Office of Special Needs

Hopi Credit Association – 4th of July Event

Hopi Cultural Center and Motel – Back to School Bash

Shungopavi Clan Run

Ba-ah's Run/Relay

Hopi Tribal Housing Authority

Hopi High School Volleyball – Breast Cancer Awareness

Freedom In Sobriety Hopiland Campout

Hopi Vice Chairman's Office

Hopi Tribe Domestic Violence

Hopi Veterans Services

Keams Canyon Elementary School - PTO

Kykotsmovi Christmas Parade

Hopi Chairman's Office

HOPI TELECOMMUNICATIONS, INC.

Enhanced Lifeline Benefits

- Is a Federal Government program to assist residents on Tribal lands.
- Requires annual qualification after the first 12 months.
- Provides for local calls and emergency services
- No long distance is provided.
- HTI has promoted and encouraged enrollment.
- HTI currently has [REDACTED] Lifeline customers.

HOPI TELECOMMUNICATIONS, INC.

THANK YOU

For more information on HTI's service offerings you may call

Keams Canyon Office: (928) 738-HOPI (4674)

Flagstaff Office: (928) 522-8428

HOPI TELECOMMUNICATIONS, INC.

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HOPI TELECOMMUNICATIONS, INC. (SAC 450815)

ATTACHMENT - LINE 3017

ATTACHMENT REDACTED IN ENTIRETY