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October 15, 2013

*Via USPS Express Mail*

Marlene H. Dortch, Secretary  
Federal Communications Commission  
445 12<sup>th</sup> Street, S.W.  
Washington D.C. 20554

Re: *Connect America Fund*, WC Docket No. 10-90; **2013 FCC Form 481**

Dear Ms. Dortch:

In compliance with the Commission's rules at 47 CFR §§ 54.313(h)(2)(i) and 54.422(c), Sycamore Telephone Company ("Sycamore") herewith submits a copy of its 2013 Form 481.

Sycamore requests that the financial results required in the section entitled "Rate of Return Carrier Additional Information"<sup>1</sup> be accorded confidential treatment. Attached please find a statement of the reasons for withholding the redacted materials from public inspection pursuant to 47 CFR § 0.459.

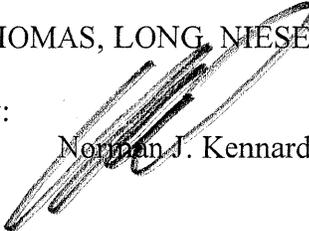
Sycamore has e-filed, through ECFS, the redacted version and sent via USPS Express Mail the confidential version (original and one copy) of its 2013 FCC Form 481.

Thank you for your attention to this matter.

Sincerely,

THOMAS, LONG, NIESEN & KENNARD

By:

  
Norman J. Kennard

NJK:tlt

<sup>1</sup> The financial reports section of FCC Form 481 is identified at the Universal Service Administrative Company ("USAC") website as "Section 3005" in the downloadable version and as "Section 3000" in the online filing version at the same USAC website. <http://www.usac.org/hc/tools/forms.aspx>. The same identical financial information is required in both. The request for confidentiality applies regardless of whether the form submitted employs the 3005 or 3000 designation.

## **STATEMENT OF CONFIDENTIALITY REQUEST AND JUSTIFICATION OF SYCAMORE TELEPHONE COMPANY**

Sycamore (“Sycamore” or “Company”) is a small, privately held rural local exchange company based in Ohio. Sycamore requests confidential treatment of certain information being provided to the Commission in its 2013 FCC Form 481, because the information is competitively sensitive and its disclosure would have negative competitive consequences upon Sycamore were it made publicly available. Such information would not ordinarily be made available to the public and should be afforded confidential treatment under 47 CFR § 0.459.

Specifically, Sycamore requests that the documentation required in the section entitled “Rate of Return Carrier Additional Information,”<sup>1</sup> which consists of the Company’s financial reports, income statement, balance sheet and cash flow statement, be accorded confidential treatment (“Confidential Information”).

### **Degree to Which the Information in Question is Commercial or Financial, or Contains a Trade Secret or is Privileged**

The Confidential Information is financial information, specifically the Company’s income statement, balance sheet and cash flow statement. Financial Information is clearly deserving of confidential treatment.

The Confidential Information is also a trade secret under 5 U.S.C. § 552(b)(4). While there is no clear federal definition, the *Uniform Trade Secrets Act* defines trade secret as information that derives independent economic value, actual or potential, from not being generally known to or readily ascertainable through appropriate means by other persons who might obtain economic value from its disclosure or use and is the subject of efforts that are reasonable under the circumstances to maintain its secrecy. <http://www.uniformlaws.org/Default.aspx>. The information for which confidential treatment is sought meets that definition.

### **Degree to Which the Information Concerns a Service that is Subject to Competition**

Ohio has successfully opened its telecommunications markets to full competition. The services offered by the Company, including voice and broadband services, are subject to vigorous competition from complete local exchange carriers, cable operators, wireless carriers and VoIP providers. Many of the Company’s competitors are large, well-financed and national, even international, in scope.

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<sup>1</sup> The financial reports section of FCC Form 481 is identified at the Universal Service Administrative Company (“USAC”) website as “Section 3005” in the downloadable version and as “Section 3000” in the online filing version at the same USAC website. <http://www.usac.org/hc/tools/forms.aspx>. The same identical financial information is required in both. The request for confidentiality applies regardless of whether the form submitted employs the 3005 or 3000 designation.

**Manner in Which Disclosure of the Information Could Result in Substantial Competitive Harm**

The Confidential Information could be employed by both existing and potential competitors in a variety of ways, including: to determine the size of the market; the profitability of the market; and the financial resources of the Company. Clearly, were the Confidential Information made public, competitors could and would use this information to their competitive advantage. Moreover, disclosure would adversely affect Sycamore's ability to conduct business with other carriers.

**Measures Taken to Prevent Unauthorized Disclosure**

The Company has taken precautions to guard the secrecy of its financial results, including the Confidential Information, by limiting its dissemination. The Confidential Information is not known outside of Sycamore and is known within the Company only to senior managers and a limited number of employees with a particular need to know. Sycamore has expended a significant amount of time and money in developing the Confidential Information. The Confidential Information cannot be replicated by any other means.

**Availability of the Information to the Public and Extent of Any Previous Disclosure of the Information to Third Parties**

The Confidential Information is not available to the general public and has never been disclosed to any outside third parties, except as may be associated with financing, in which case confidentiality is required.

**Justification of the Period During Which the Material Should Not be Available for Public Disclosure**

Sycamore requests that the Confidential Information be maintained on a confidential basis indefinitely. Disclosure of the information at any time would be harmful.

**Any Other Information That the Party Seeking Confidential Treatment Believes May Be Useful In Assessing Whether Its Request For Confidentiality Should Be Granted.**

Exemption 4 of the Freedom of Information Act protects "trade secrets and commercial or financial information obtained from a person [that is] privileged or confidential." 5 U.S.C. § 552(b)(4). The exemption affords protection to those submitters who are required to furnish commercial or financial information to the government by safeguarding them from the competitive disadvantages that could result from disclosure. If the financial information relates

to business or trade, courts have considered it “commercial or financial.” *See, e.g., Dow Jones Co. v. FERC*, 219 F.R.D. 167, 176 (C.D. Cal. 2002) (information relating “to business decisions and practices regarding the sale of power, and the operation and maintenance” of generators (quoting agency declaration)); *Merit Energy Co. v. United States Dep’t of the Interior*, 180 F. Supp. 2d 1184, 1188 (D. Colo. 2001) (“information regarding oil and gas leases, prices, quantities and reserves”), *appeal dismissed*, No. 01-1347 (10th Cir. Sept. 4, 2001). The Confidential Information satisfies this test as well.

<b>FCC Form 481 - Carrier Annual Reporting Data Collection Form</b>	FCC Form 481 OMB No. 4350-0085 Date 9/01/08 Avg. Filing Effort, per Respondent: 20 Hours
---------------------------------------------------------------------	---------------------------------------------------------------------------------------------------

<b>&lt;010&gt; Study Area Code</b>	300658
<b>&lt;015&gt; Study Area Name</b>	Sycamore Telephone Company
<b>&lt;020&gt; Program Year</b>	2014
<b>&lt;030&gt; Contact Name: Person USAC should contact with questions about this data</b>	Steve Ekleberry
<b>&lt;035&gt; Contact Telephone Number: Number of the person identified in data line &lt;030&gt;</b>	419-927-6012
<b>&lt;039&gt; Contact Email: Email of the person identified in data line &lt;030&gt;</b>	steve.ekleberry@syctelco.com

<b>ANNUAL REPORTING FOR ALL CARRIERS</b>	<b>54.313</b>	<b>54.422</b>
	<b>Completion Required</b>	<b>Completion Required</b>

<b>&lt;100&gt; Service Quality Improvement Reporting</b>	<i>(complete attached worksheet)</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<i>(check box when complete)</i>
<b>&lt;200&gt; Outage Reporting (voice)</b>	<i>(complete attached worksheet)</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	
<b>&lt;210&gt;</b> <input checked="" type="checkbox"/> ← check box if no outages to report				
<b>&lt;300&gt; Unfulfilled Service Requests (voice)</b>	0	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
<b>&lt;310&gt; Detail on Attempts (voice)</b>	0	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<i>(attach descriptive document)</i>
<b>&lt;320&gt; Unfulfilled Service Requests (broadband)</b>	-	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
<b>&lt;330&gt; Detail on Attempts (broadband)</b>		<input checked="" type="checkbox"/>	<input type="checkbox"/>	<i>(attach descriptive document)</i>
<b>&lt;400&gt; Number of Complaints per 1,000 customers (voice)</b>		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	
<b>&lt;410&gt; Fixed</b>	0.06%			
<b>&lt;420&gt; Mobile</b>	0.00%			
<b>Number of Complaints per 1,000 customers (broadband)</b>		<input checked="" type="checkbox"/>	<input type="checkbox"/>	
<b>&lt;440&gt; Fixed</b>				
<b>&lt;450&gt; Mobile</b>				
<b>&lt;500&gt; Service Quality Standards &amp; Consumer Protection Rules Compliance</b>	<i>(check to indicate certification)</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	
<b>&lt;510&gt;</b>	<i>(attached descriptive document)</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	
<b>&lt;600&gt; Functionality in Emergency Situations</b>	<i>(check to indicate certification)</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	
<b>&lt;610&gt;</b>	<i>(attached descriptive document)</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	
<b>&lt;700&gt; Company Price Offerings (voice)</b>	<i>(complete attached worksheet)</i>	n/a	<input type="checkbox"/>	
<b>&lt;710&gt; Company Price Offerings (broadband)</b>	<i>(complete attached worksheet)</i>	n/a	<input type="checkbox"/>	
<b>&lt;800&gt; Operating Companies and Affiliates</b>	<i>(complete attached worksheet)</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	
<b>&lt;900&gt; Tribal Land Offerings (Y/N)?</b>	<i>(if yes, complete attached worksheet)</i>	n/a	<input type="checkbox"/>	
<b>&lt;1000&gt; Voice Services Rate Comparability</b>	<i>(check to indicate certification)</i>	n/a	<input type="checkbox"/>	
<b>&lt;1010&gt;</b>	<i>(attach descriptive document)</i>	n/a	<input type="checkbox"/>	
<b>&lt;1100&gt; Terrestrial Backhaul (Y/N)?</b>	<i>(if not, check to indicate certification)</i>	no	<input type="checkbox"/>	
<b>&lt;1110&gt;</b>	<i>(complete attached worksheet)</i>	n/a	<input type="checkbox"/>	
<b>&lt;1200&gt; Terms and Condition for Lifeline Customers</b>	<i>(complete attached worksheet)</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	

**Price Cap Carriers, Proceed to Price Cap Additional Documentation Worksheet**  
 Including Rate-of-Return Carriers affiliated with Price Cap Local Exchange Carriers

<b>&lt;2000&gt;</b>	<i>(check to indicate certification)</i>		
<b>&lt;2005&gt;</b>	<i>(complete attached worksheet)</i>		

**Rate of Return Carriers, Proceed to ROR Additional Documentation Worksheet**

<b>&lt;3000&gt;</b>	<i>(check to indicate certification)</i>		
<b>&lt;3005&gt;</b>	<i>(complete attached worksheet)</i>		

<b>(100) Service Quality Improvement Reporting</b> <b>Data Collection Form</b>	FCC Form 481 OMB Control No 3060-0986 OMB Control No 3060-0819 July 2013
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<010>	Study Area Code	300658
<015>	Study Area Name	Sycamore Telephone Company
<020>	Program Year	2014
<030>	Contact Name - Person USAC should contact regarding this data	Steve Ekleberry
<035>	Contact Telephone Number - Number of person identified in data line <030>	419-927-6012
<039>	Contact Email Address - Email Address of person identified in data line <030>	steve.ekleberry@syctelco.com

<110>	Has your company received its ETC certification from the FCC?	(yes / no)	yes
<111>	If your answer to Line <110> is yes, do you have an existing §54.202(a) "5 year plan" filed with the FCC?	(yes / no)	no

If your answer to Line <111> is yes, then you are required to file a progress report, on line <112> delineating the status of your company's existing § 54.202(a) "5 year plan" on file with the FCC, as it relates to your provision of voice telephony service.

<112> Attach Five-Year Service Quality Improvement Plan or, in subsequent years, your annual progress report filed pursuant to 47 C.F.R. § 54.313(a)(1). If your company is a CETC which receives only frozen support, your progress report is only required to address voice telephony service

Name of Attached Document (.pdf)

Please check these boxes below to confirm that the attached PDF, on line 112, contains a progress report on its five-year service quality improvement plan pursuant to § 54.202(a). The information shall be submitted at the wire center level or census block as appropriate.

- <113> Maps detailing progress towards meeting plan targets
- <114> Report how much universal service (USF) support was received
- <115> How (USF) was used to improve service quality
- <116> How (USF) was used to improve service coverage
- <117> How (USF) was used to improve service capacity
- <118> Provide an explanation of network improvement targets not met in the prior calendar year.




SAC: 300658

State: Ohio

Sycamore Telephone Co.

Form 481 Line No.: 510 Compliance with Service Quality Standards and Consumer Protection

As Required by Ohio Administrative Code "4901:1-6-12 Service Requirements for Basic Local Exchange Service (BLES)" the local services provided by Sycamore Telephone Co. are provided under internal company operating procedures and tariffs which are in compliance with applicable Ohio Public Utility Commission orders and rules including:

4901:1-6-12(c)

- (1) BLES shall be installed within five business days of the receipt by a telephone company of a completed application for new access line service, unless the customer requests or agrees to a later date.
- (2) The requirements to install BLES in paragraph (c)(1) of this rule is not applicable where any of the following exist:
  - (a) A customer or applicant has not met pertinent tariff requirements.
  - (b) The need for special equipment or service.
  - (c) Military action, war, insurrection, riot or strike.
  - (d) The customer misses an installation appointment
- (3) A LEC shall make responsible efforts to repair a BLES outage within twenty-four hours, excluding Sundays and legal holidays, after the outage is reported to the telephone company.
- (4) A BLES service outage or service-affecting problem shall be repaired within seventy-two hours after it is reported to the telephone company.
- (5) If a BLES outage is reported to the telephone company and it lasts more than seventy-two hours, the LEC shall credit every affected BLES customer, of which the LEC is aware, in the amount of one month's charges for BLES.
- (6) The customer credit in paragraph (c)(5) of this rule is not applicable if the condition or failure to repair occurs as a result of any of the following:
  - (a) A customer's negligent or willful act
  - (b) Malfunction of customer-owned telephone equipment or inside wire.
  - (c) Military action, war, insurrection, riot, or strike
  - (d) Customer missing a repair appointment.

SAC: 300658

State: Ohio

Sycamore Telephone Co.

Form 481 Line No.: 610 Description of Functionality in Emergency Situations

Sycamore Telephone Co., pursuant to Ohio Administrative Code "4901:1 1-6-31 Emergency and Outage Operations".

4901:1-6-31 Emergency and outage operations.

- (A) Each Facilities-based local exchange carrier (LEC) shall design, operate and maintain its facilities to continue to provide customers with the ability to originate and receive calls at all times. This commission will utilize existing FCC rules applicable to emergency and outage operations. Companies shall submit outage reports utilizing, at the company's discretion, either existing FCC reports or a format determined by the commission.
- (B) Each facilities-based LEC shall submit, within two hours of discovery, to the commission's outage coordinator and when appropriate, the news media in the affected area, a notification that it has experienced an outage, whenever that outage occurs on any facility that it owns, operates, leases or otherwise utilizes and it both:
  - (1) Expected to last for a period in excess of thirty minutes.
  - (2) Potentially affects at least nine hundred thousand user minutes in the incumbent local calling area.
- (C) Each Facilities-based LEC shall report, by telephone or electronic means, a disruption of 9-1-1 services, which impairs 9-1-1 service within a given county 9-1-1 system, immediately to each county 9-1-1 public safety answering point, to the Ohio 9-1-1 coordinator, and to the news media in the affected area, when appropriate.
- (D) Each facilities-based LEC experiencing a loss of communications or selective routing to a public safety answering point, as a result of an outage described under paragraphs (B) and (C) of this rule, shall also notify, as soon as possible, by telephone or electronic means, any official who has been designated by the management of the affected 9-1-1 facility as the LEC's contact person for communication outages at that facility; and the LEC shall convey to that person all available information that may be useful to the management of the affected facility in mitigating the effects of the outage on efforts to communicate with that facility.
- (E) Each facilities-based LEC experiencing an outage described under paragraphs (B) and (C) of this rule, shall electronically submit to the commission's outage coordinator the same information as that provided to the FCC or the following information:
  - (1) A notification that it has experienced an outage, which shall include the name of the reporting entity, the date and time of the onset of the outage, a brief description of

SAC: 300658

State: Ohio

Sycamore Telephone Co.

Form 481 Line No.: 610 Description of Functionality in Emergency Situations

the problem, the particular service affected, the geographic area affected by the outage, the number of customers affected, an estimate of when the service, including 9-1-1, will be restored, and a contact name and telephone number by which the commission's outage coordinator at contact the reporting entity.

- (2) Not later than seventy-two hours after discovering the outage, an initial communications outage report, which shall include all pertinent information then available on the outage and shall be submitted in good faith.
  - (3) Not later than thirty days after discovering the outage, the provider shall submit electronically a final communications outage report, which shall include all pertinent information on the outage, including any information that was not contained in, or that had changed from that provided in, the initial report.
- (F) Each facilities-based LEC shall develop, implement, and maintain an emergency plan and make it available for review by commission staff. The plan shall include, but not limited to, all of the following:
- (1) Procedures for maintaining and annually updating a list of those customers who have subscribed to the federal telecommunications service priority program, as identified in 47 C.F.R. 64, appendix A.
  - (2) Procedures for priority treatment in restoring out-of-service trouble of an emergency nature for customers with documented medical or life-threatening condition.
  - (3) In addition to the telecommunications service priority program, each LEC shall develop policies and procedures regarding those customers who require priority treatment for out-of-service clearance. Such procedures shall include a table of restoration priority, including, but not limited to, subscribes such as police and fire stations, hospitals, key medical personnel, and other utilities.
  - (4) Procedures for restoring service to priority critical facilities customers.
  - (5) Identification and annual updates for all of the facilities-based LEC's critical facilities and reasonable measures to protect its personnel and facilities.
  - (6) Assessments and evaluations of telecommunications facilities available to provide back-up service capabilities.
  - (7) Procedures for after-action assessments and reporting following activation of any part of the emergency plan. An after-action report will be written and will include

SAC: 300658

State: Ohio

Sycamore Telephone Co.

Form 481 Line No.: 610 Description of Functionality in Emergency Situations

lessons learned, deficiencies in the response to the emergency, and deficiencies in the emergency plan.

- (8) A current list of names and telephone numbers of the facilities-based LEC's emergency service personnel to contact and coordinate with in the event of a real or anticipated local or national threats to its ability to provide telecommunications service.
- (9) A current list of the names and telephone numbers of the facilities-based LEC's emergency service personnel that is made available to the commission's emergency coordinator, upon request.
- (10) A continuity of operations plan to assume continuance of minimum essential functions during a large scale event in which staffing is reduced. Such plans shall provide for:
  - (a) Plan activation triggers such as the world health organization's pandemic phase alert levels, widespread transmission within the United States, or a case at one or more locations within Ohio.
  - (b) Identification of a pandemic coordinator and team with defined roles and responsibilities for preparedness and response planning.
  - (c) Identification of minimal essential functions, minimal staffing required to maintain such essential functions, and personnel resource pools required to ensure continuance of those functions in progressive stages associated with a declining workforce.
  - (d) Identification of essential employees and critical inputs (e.g., raw materials, equipment, suppliers, subcontractor services/products, and logistics) required to maintain business operations by location and function.
  - (e) Policies and procedures to address personal protection initiatives.
  - (f) Policies and procedures to maintain lines of communication with the public utilities commission of Ohio during a declared emergency.
  - (g) Each facilities-based LEC shall amend its emergency plan in accordance with the findings identified in the after-action assessment report required under paragraph (F)(7) of this rule.



<b>(900) Tribal Lands Reporting Data Collection Form</b>	FCC Form 481 OMB Control No. 3060-0986 OMB Control No. 3060-0819 July 2013
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<010>	Study Area Code	300658
<015>	Study Area Name	Sycamore Telephone Company
<020>	Program Year	2014
<030>	Contact Name - Person USAC should contact regarding this data	Steve Ekleberry
<035>	Contact Telephone Number - Number of person identified in data line <030>	419-927-6012
<039>	Contact Email Address - Email Address of person identified in data line <030>	steve.ekleberry@sycltelco.com
<910>	Tribal Land(s) on which ETC Serves	N/A
<920>	Tribal Government Engagement Obligation	Name of Attached Document (.pdf)

If your company serves Tribal lands, please select (Yes, No, NA) for each these boxes to confirm the status described on the attached PDF, on line 920, demonstrates coordination with the Tribal government pursuant to § 54.313(a)(9) includes:

- <921> Needs assessment and deployment planning with a focus on Tribal community anchor institutions;
- <922> Feasibility and sustainability planning;
- <923> Marketing services in a culturally sensitive manner;
- <924> Compliance with Rights of way processes
- <925> Compliance with Land Use permitting requirements
- <926> Compliance with Facilities Siting rules
- <927> Compliance with Environmental Review processes
- <928> Compliance with Cultural Preservation review processes
- <929> Compliance with Tribal Business and Licensing requirements.

Select (Yes, No, NA)

<b>(1110) No Terrestrial Backhaul Reporting Data Collection Form</b>	FCC Form 481
	OMB Control No 3060-0986
	OMB Control No 3060-0819
	July 2013

<010> Study Area Code	300658
<015> Study Area Name	Sycamore Telephone Company
<020> Program Year	2014
<030> Contact Name - Person USAC should contact regarding this data	Steve Ekleberry
<035> Contact Telephone Number - Number of person identified in data line <030>	419-927-6012
<039> Contact Email Address - Email Address of person identified in data line <030>	steve.ekleberry@syctelco.com

<1120> Please check this box to confirm no terrestrial backhaul options exist within the supported area pursuant to § 54.313(G)

<1130> Please check this box to confirm the reporting carrier offers broadband service of at least 1 Mbps downstream and 256 kbps upstream within the supported area pursuant to § 54.313(G)

<b>(1200) Terms and Condition for Lifeline Customers Lifeline Data Collection Form</b>	FCC Form 481
	OMB Control No 3060-0986
	OMB Control No 3060-0819 July 2013

<010>	Study Area Code	300658
<015>	Study Area Name	Sycamore Telephone Company
<020>	Program Year	2014
<030>	Contact Name - Person USAC should contact regarding this data	Steve Ekleberry
<035>	Contact Telephone Number - Number of person identified in data line <030>	419-927-6012
<039>	Contact Email Address - Email Address of person identified in data line <030>	steve.ekleberry@syctelco.com

<1210> Terms & Conditions of Voice Telephony Lifeline Plans See Attached  
Name of attached document (.pdf)

<1220> Link to Public Website HTTP \_\_\_\_\_

Please check these boxes below to confirm that the attached PDF, on line 1210, or the website listed, on line 1220, contains the required information pursuant to § 54.422(a)(2) annual reporting for ETCs receiving low-income support, carriers must annually report:

- <1221> Information describing the terms and conditions of any voice telephony service plans offered to Lifeline subscribers,
- <1222> Details on the number of minutes provided as part of the plan,
- <1223> Additional charges for toll calls, and rates for each such plan.

Sycamore Telephone Company  
Sycamore, Ohio

SAC: 300658  
state: OHIO  
Form 481 Line No. 1210  
P.U.C.O. NO. 13

Section No. 5  
First Revised Sheet No. 1  
Replaces Original Sheet No. 1

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LIFELINE REQUIREMENTS

(T)

The Company shall provide Lifeline service as defined in 47 C.F.R. § 54.401 (a) on a non-discriminatory basis to all qualifying low-income customers. The Company's Lifeline service offering shall comply with all applicable federal and state laws, including, but not limited to, 47 C.F.R. Part 54, Subpart E; the FCC's Lifeline reform order (Report and Order released February 6, 2012, WC Docket No, 11-42, et. al) and any subsequent clarifying orders; Section 4927.13, Revised Code; Rule 4901:1-6-19, Ohio Administrative Code; and, the Commission's nontraditional Lifeline service order (Finding and Order adopted May 23, 2012, Case No. 10-2377-TP-COI) and any subsequent entries and/or orders.

(N)

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Issued: June 12, 2012

Effective: June 12, 2012

In Accordance with Case No. 90-5038-TP-TRF  
Issued by the Public Utilities Commission of Ohio  
Steven Ekleberry, General Manager & Treasurer  
Sycamore, Ohio

SAC: 300658  
State: OHIO  
Form 481 Line No. 1210



Application for Telephone Assistance Program  
Sycamore Telephone Company

### Lifeline

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**Benefits:**

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### **REDUCED MONTHLY CHARGES**

1. Monthly discount of \$9.25 (\$6.50 Subscriber Line Charge + \$2.75 Local Service discount).
2. No customer deposit required if you elect long-distance blocking.
3. Free blocking of long-distance and "900/976" numbers upon request.
4. No restrictions on optional services, such as Caller ID and Call Waiting.

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**Restrictions:**

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1. The Lifeline discount applies only to one phone line (including cellular phone service) per customer in your household.

For Sycamore Telephone Company use:

\* Lifeline discount:  Approved  Not Eligible

\* Provide copy to Applicant

\* Original (with any attachments) to Lifeline file

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Signature of Customer Service Representative

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Discount Effective Date

<b>(2005) Price Cap Carrier Additional Documentation</b> <b>Data Collection Form</b> <i>Including Rate-of-Return Carriers affiliated with Price Cap Local Exchange Carriers</i>	FCC Form 481 OMB Control No. 3060-0986 OMB Control No. 3060-0819 July 2013
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<010>	Study Area Code	300658
<015>	Study Area Name	Sycamore Telephone Company
<020>	Program Year	2014
<030>	Contact Name - Person USAC should contact regarding this data	Steve Ekleberry
<035>	Contact Telephone Number - Number of person identified in data line <030>	419-927-6012
<039>	Contact Email Address - Email Address of person identified in data line <030>	steve.eikleberry@syclco.com

**CHECK the boxes below to note compliance as a recipient of Incremental Connect America Phase I support, frozen High Cost support, High Cost support to offset access charge reductions, and Connect America Phase II support as set forth in 47 CFR § 54.313(b),(c),(d),(e) the information reported on this form and in the documents attached below is accurate.**

N/A

**Incremental Connect America Phase I reporting**

- |        |                                                |                          |
|--------|------------------------------------------------|--------------------------|
| <2010> | 2nd Year Certification {47 CFR § 54.313(b)(1)} | <input type="checkbox"/> |
| <2011> | 3rd Year Certification {47 CFR § 54.313(b)(2)} | <input type="checkbox"/> |

**Price Cap Carrier Receiving Frozen Support Certification {47 CFR § 54.312(a)}**

- |        |                                              |                          |
|--------|----------------------------------------------|--------------------------|
| <2012> | 2013 Frozen Support Certification            | <input type="checkbox"/> |
| <2013> | 2014 Frozen Support Certification            | <input type="checkbox"/> |
| <2014> | 2015 Frozen Support Certification            | <input type="checkbox"/> |
| <2015> | 2016 and future Frozen Support Certification | <input type="checkbox"/> |

**Price Cap Carrier Connect America ICC Support {47 CFR § 54.313(d)}**

- |        |                                               |                          |
|--------|-----------------------------------------------|--------------------------|
| <2016> | Certification Support Used to Build Broadband | <input type="checkbox"/> |
|--------|-----------------------------------------------|--------------------------|

**Connect America Phase II Reporting {47 CFR § 54.313(e)}**

- |        |                                                                                                                                                                                                                                                                                                                                                     |                          |
|--------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|
| <2017> | 3rd year Broadband Service Certification                                                                                                                                                                                                                                                                                                            | <input type="checkbox"/> |
| <2018> | 5th year Broadband Service Certification                                                                                                                                                                                                                                                                                                            | <input type="checkbox"/> |
| <2019> | Interim Progress Certification                                                                                                                                                                                                                                                                                                                      | <input type="checkbox"/> |
| <2020> | Please check the box to confirm that the attached PDF, on line 2021, contains the required information pursuant to § 54.313 (e)(3)(ii), as a recipient of CAF Phase II support shall provide the number, names, and addresses of community anchor institutions to which began providing access to broadband service in the preceding calendar year. | <input type="checkbox"/> |

- |        |                                                |                                                              |
|--------|------------------------------------------------|--------------------------------------------------------------|
| <2021> | Interim Progress Community Anchor Institutions | Name of Attached Document Listing Required Information _____ |
|--------|------------------------------------------------|--------------------------------------------------------------|

<b>(3005) Rate Of Return Carrier Additional Documentation</b>	FCC Form 441
<b>Data Collection Form</b>	OMB Control No. 3160-0926
	OMB Control No. 3090-0619
	July 2013

<b>&lt;010&gt;</b>	Study Area Code	300658
<b>&lt;015&gt;</b>	Study Area Name	Sycamore Telephone Company
<b>&lt;020&gt;</b>	Program Year	2014
<b>&lt;030&gt;</b>	Contact Name - Person USAC should contact regarding this data	Steve Ekleberry
<b>&lt;035&gt;</b>	Contact Telephone Number - Number of person identified in data line <030>	419-927-6012
<b>&lt;039&gt;</b>	Contact Email Address - Email Address of person identified in data line <030>	steve.ekleberry@sycoteko.com

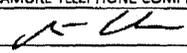
**CHECK the boxes below to note compliance on its five year service quality plan (pursuant to 47 CFR § 54.202(a)) and, for privately held carriers, ensuring compliance with the financial reporting requirements set forth in 47 CFR § 54.313(f)(2). I further certify that the information reported on this form and in the documents attached below is accurate.**

Progress Report on 5 Year Plan	Name of Attached Document Listing Required Information	
<b>(3010)</b> Milestone Certification (47 CFR § 54.313(f)(1)(i))		N/A this year
<b>(3011)</b> Please check this box to confirm that the attached PDF, on line 3012, contains the required information pursuant to § 54.313 (f)(1)(i), as a recipient of CAF Phase II support shall provide the number, names, and addresses of community anchor institutions to which began providing access to broadband service in the preceding calendar year.		<input type="checkbox"/>
<b>(3012)</b> Community Anchor Institutions (47 CFR § 54.313(f)(1)(ii))		N/A this year
<b>(3013)</b> Is your company a Privately Held ROR Carrier (47 CFR § 54.313(f)(2))		<input type="checkbox"/> Yes (Yes/No)
<b>(3014)</b> If yes, does your company file the RUS annual report. Please check these boxes to confirm that the attached PDF, on line 3017, contains the required information pursuant to § 54.313(f)(2) compliance requires:		<input type="checkbox"/> Yes (Yes/No)
<b>(3015)</b> Electronic copy of their annual RUS reports (Operating Report for Telecommunications Borrowers)		<input type="checkbox"/>
<b>(3016)</b> PDF of Balance Sheet, Income Statement and Statement of Cash Flows		<input checked="" type="checkbox"/>
<b>(3017)</b> If the response is yes on line 3014, attach your company's RUS annual report and all required documentation		
<b>(3018)</b> If the response is no on line 3014, is your company audited? If the response is yes on line 3018, please check the boxes below to confirm your submission, on line 3026 pursuant to § 54.313(f)(2), contains:	Name of Attached Document Listing Required Information	<input type="checkbox"/> (Yes/No)
<b>(3019)</b> Either a copy of their audited financial statement; or (2) a financial report in a format comparable to RUS Operating Report for Telecommunications		<input type="checkbox"/>
<b>(3020)</b> PDF of Balance Sheet, Income Statement and Statement of Cash Flows		<input type="checkbox"/>
<b>(3021)</b> Management letter issued by the independent certified public accountant that performed the company's financial audit.		<input type="checkbox"/>
<b>(3022)</b> If the response is no on line 3018, please check the boxes below to confirm your submission, on line 3026 pursuant to § 54.313(f)(2), contains: Copy of their financial statement which has been subject to review by an independent certified public accountant; or (2) a financial report in a format comparable to RUS Operating Report for Telecommunications Borrowers,		<input type="checkbox"/>
<b>(3023)</b> Underlying information subjected to a review by an independent certified public accountant		<input type="checkbox"/>
<b>(3024)</b> Underlying information subjected to an officer certification.		<input checked="" type="checkbox"/>
<b>(3025)</b> PDF of Balance Sheet, Income Statement and Statement of Cash Flows		<input type="checkbox"/>
<b>(3026)</b> Attach the worksheet listing required information	Name of Attached Document Listing Required Information	<input type="checkbox"/>

<b>Certification - Reporting Carrier Data Collection Form</b>	FCC Form 481
	OMB Control No 3060-0086
	OMB Control No 3050-0019
	July 2013

<010> Study Area Code	300658
<015> Study Area Name	Sycamore Telephone Compar
<020> Program Year	2014
<030> Contact Name - Person USAC should contact regarding this data	Steve Ekleberry
<035> Contact Telephone Number - Number of person identified in data line <030>	419-927-6012
<039> Contact Email Address - Email Address of person identified in data line <030>	steve.ekleberry@syclco.com

**TO BE COMPLETED BY THE REPORTING CARRIER, IF THE REPORTING CARRIER IS FILING ANNUAL REPORTING ON ITS OWN BEHALF:**

I certify that I am an officer of the reporting carrier; my responsibilities include ensuring the accuracy of the annual reporting requirements for universal service support recipients; and, to the best of my knowledge, the information reported on this form and in any attachments is accurate.	
Name of Reporting Carrier: SYCAMORE TELEPHONE COMPANY	
Signature of Authorized Officer: 	Date 10/15/13
Printed name of Authorized Officer: STEVE EKLEBERRY	
Title or position of Authorized Officer: GENERAL MANAGER/TREASURER	
Telephone number of Authorized Officer: 419-927-6012	
Study Area Code of Reporting Carrier: 300658	Filing Due Date for this form: 10/15/2013
Persons willfully making false statements on this form can be punished by fine or forfeiture under the Communications Act of 1934, 47 U.S.C. §§ 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18 U.S.C. § 1001.	