



Trans Cascade Telephone Company

PO Box 189 Estacada, Oregon. 97023
Fax (503) 630-8934
Phone (503) 630-4202

DOCKET FILE COPY ORIGINAL

Date October 14, 2013

Electronic Filing

Ms. Marlene H. Dortch
Office of Secretary
Federal Communications Commission
445 12th Street, SW
Washington, DC 20554

Received & Inspected
OCT 28 2013
FCC Mail Room

Re: WC Docket No. 10-90 & 11-42
Annual §54.313/54.422 Report of High-Cost and Low Income Recipient, Form 481

Dear Ms. Dortch:

Enclosed herein is the annual report for **Trans-Cascades Tel.**, Study Area Code **532378** pursuant to §54.313/54.422 of the Commission's rules. "CONFIDENTIAL FINANCIAL INFORMATION-SUBJECT TO PROTECTIVE ORDER IN WC DOCKET NOS. 10-90, 07-135, 05-337, 03-109, CC DOCKETS NOS. 01-92, 96-45, GN DOCKET NO.09-51, WT DOCKET NO. 10-208, BEFORE THE FEDERAL COMMUNICATIONS COMMISSION."

Two copies of the Confidential Financial Information is REDACTED-FOR PUBLIC INSPECTION.

Please contact me with any questions at:

Phone: 503-630-8952
Email: wheelerb@cuaccess.net

Sincerely,
Brooke Wheeler, CFO

10/14/13 08:42:06 AM
FCC MAIL ROOM
DOCKET

Enclosure Copies to:

Charles Tyler
Telecommunications Commissions
445 12th Street, S.W. Room 5-A452
Washington, D.C. 20554

Universal Service Administrative Company
Electronic Filing
Washington, DC 20036

Public Utility Commission
Electronic Filing Confidential & Redacted

No. of Copies rec'd 0+1
List ABCDE



<010> Study Area Code	532378
<015> Study Area Name	TRANS-CASCADES TEL
<020> Program Year	2014
<030> Contact Name: Person USAC should contact with questions about this data	Brooke Wheeler
<035> Contact Telephone Number: Number of the person identified in data line <030>	503-630-8952
<039> Contact Email Address: Email of the person identified in data line <030>	wheelerb@cuaccess.net

Received & Inspected

OCT 28 2013

FCC Mail Room



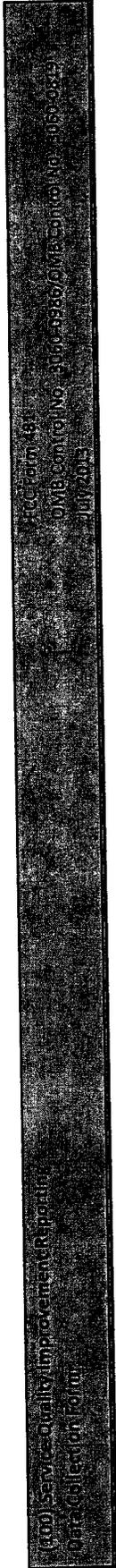
<100> Service Quality Improvement Reporting	(complete attached worksheet)	<input checked="" type="checkbox"/>
<200> Outage Reporting (voice)	(complete attached worksheet)	<input checked="" type="checkbox"/>
<210> <input checked="" type="checkbox"/> -- check box if no outages to report		
<300> Unfulfilled Service Requests (voice)	<input type="text" value="0"/>	<input checked="" type="checkbox"/>
<310> Detail on Attempts (voice)	(attach descriptive document)	<input type="checkbox"/>
<320> Unfulfilled Service Requests (broadband)	<input type="text"/>	<input type="checkbox"/>
<330> Detail on Attempts (broadband)	(attach descriptive document)	<input type="checkbox"/>
<400> Number of Complaints per 1,000 customers (voice)		<input checked="" type="checkbox"/>
<410> Fixed	<input type="text" value="0.0"/>	
<420> Mobile	<input type="text"/>	
<430> Number of Complaints per 1,000 customers (broadband)		<input type="checkbox"/>
<440> Fixed	<input type="text"/>	
<450> Mobile	<input type="text"/>	
<500> Service Quality Standards & Consumer Protection Rules Compliance	(check to indicate certification)	<input checked="" type="checkbox"/>
<510> <input type="text" value="532378or510"/>	(attach descriptive document)	<input checked="" type="checkbox"/>
<600> Functionality in Emergency Situations	(check to indicate certification)	<input checked="" type="checkbox"/>
<610> <input type="text" value="532378or610"/>	(attach descriptive document)	<input checked="" type="checkbox"/>
<700> Company Price Offerings (voice)	(complete attached worksheet)	<input type="checkbox"/>
<710> Company Price Offerings (broadband)	(complete attached worksheet)	<input type="checkbox"/>
<800> Operating Companies and Affiliates	(complete attached worksheet)	<input checked="" type="checkbox"/>
<900> Tribal Land Offerings (Y/N)?	(if yes, complete attached worksheet)	<input checked="" type="checkbox"/>
<1000> Voice Services Rate Comparability	(check to indicate certification)	<input type="checkbox"/>
<1010> <input type="text"/>	(attach descriptive document)	<input type="checkbox"/>
<1100> Terrestrial Backhaul (Y/N)?	(if not, check to indicate certification)	<input checked="" type="checkbox"/>
<1110>	(complete attached worksheet)	<input type="checkbox"/>
<1200> Terms and Condition for Lifeline Customers	(complete attached worksheet)	<input checked="" type="checkbox"/>

**Price Cap Carriers, Proceed to Price Cap Additional Documentation Worksheet
Including Rate-of-Return Carriers affiliated with Price Cap Local Exchange Carriers**

<2000>	(check to indicate certification)	<input type="checkbox"/>
<2005>	(complete attached worksheet)	<input type="checkbox"/>

Rate of Return Carriers, Proceed to ROR Additional Documentation Worksheet

<3000>	(check to indicate certification)	<input checked="" type="checkbox"/>
<3005>	(complete attached worksheet)	<input checked="" type="checkbox"/>



532378

TRANS-CASCADES TEL

2014

Brooke Wheeler

503-630-8952

wheel@cbuaccess.net

<110> Has your company received its ETC certification from the FCC? (yes / no)

If your answer to Line <110> is yes, do you have an existing §54.202(a) "5 year plan" filed with the FCC? (yes / no)

If your answer to Line <111> is yes, then you are required to file a progress report, on line <112> delineating the status of your company's existing § 54.202(a) "5 year plan" on file with the FCC, as it relates to your provision of voice telephony service.

Attach Five-Year Service Quality Improvement Plan or, in subsequent years, your annual progress report filed pursuant to 47 C.F.R. § 54.313(a)(1). If your company is a CETC which only receives frozen support, your progress report is only required to address voice telephony service.

Name of Attached Document (.pdf)

Please check these boxes below to confirm that the attached PDF, on line 112, contains a progress report on its five-year service quality improvement plan pursuant to § 54.202(a). The information shall be submitted at the wire center level or census block as appropriate.

- <113> Maps detailing progress towards meeting plan targets
- <114> Report how much universal service (USF) support was received
- <115> How (USF) was used to improve service quality
- <116> How (USF) was used to improve service coverage
- <117> How (USF) was used to improve service capacity
- <118> Provide an explanation of network improvement targets not met in the prior calendar year.



Trans Cascades Telephone Company

PO Box 189 Estacada, Oregon. 97023
Fax (503) 630-8934
Phone (503) 630-4202

Consumer Protection

Trans Cascades Telephone Company complies with the requirements of 47 CFR Part 64 Subpart U, Customer Proprietary Network Information and the Federal Trade Commission Red Flag rules to prevent identity theft. A manual for each of those programs is in place and is part of the employees' handbook. Employee training is conducted annually and new hires are instructed on the programs as required by their job functions.

Service Quality Standards

Trans Cascades Telephone Company complies with the service standards of the State of Oregon as promulgated in the Oregon Administrative Rules **860-034-0390**, Retail Telecommunications Service Standards for Small Telecommunications Utilities.



Trans Cascade Telephone Company

PO Box 189 Estacada, Oregon. 97023

Fax (503) 630-8934

Phone (503) 630-4202

Trans-Cascades Telephone Company is able to remain functional in an emergency situation. Please see the specific information below in regard to back-up power, ability to reroute traffic around damaged facilities, and the capability to manage traffic spikes resulting from emergency situations.

Back-up Power

Trans-Cascades Telephone Company has the following back-up power capabilities:

Switches – stand alone and/or host

Switch (Antelope) Onan 15KW, propane, 250 gallon tank, 3.5 days at max load.

Subscriber carrier (AdTran.)

Carrier Loc. Many remote Carrier locations which we use 5000 Watt portable generators, Gasoline, 5 gallon tank, 8 hours/tank

Ability to reroute traffic around damaged facilities:

Trans-Cascades Telephone Company currently does not have redundant facilities to its connecting company toll tandem. There is redundancy built into the same route but do to how remote the Antelope area is, there are currently no other options for creating a redundant route. This is something we discuss annually and we will continue to look at future options. This facility interconnects to the Public Switched Telephone Network through its affiliate **Cascade Utilities, Inc.**

Capability to manage traffic spikes resulting from emergency situations

Trans-Cascades Telephone Company has 178 customers, switching capacity and transport capacity well exceed the total customers count. **Trans-Cascades Telephone Company** takes no responsibility for the capabilities of interconnected networks to manage traffic spikes resulting from emergency situations.



<010> Study Area Code 532378
 <015> Study Area Name TRANS-CASCADES TEL
 <020> Program Year 2014
 <030> Contact Name - Person USAC should contact regarding this data Brooke Wheeler
 <035> Contact Telephone Number - Number of person identified in data line <030> 503-630-8952
 <039> Contact Email Address - Email Address of person identified in data line <030> wheeler@cuaccess.net

<1210> Terms & Conditions of Voice Telephony Lifeline Plans 532378or1210
 <1220> Link to Public Website HTTP _____
 Name of attached document (.pdf) _____

"Please check these boxes below to confirm that the attached PDF, on line 1210, or the website listed, on line 1220, contains the required information pursuant to § 54.422(a)(2) annual reporting for ETCs receiving low-income support, carriers must annually report:

- <1221> Information describing the terms and conditions of any voice telephony service plans offered to Lifeline subscribers,
- <1222> Details on the number of minutes provided as part of the plan,
- <1223> Additional charges for toll calls, and rates for each such plan.

Oregon Telephone Assistance Program (OTAP)/Lifeline Application

You may complete an OTAP/Lifeline application online at: www.rspf.org

Oregon Public Utility Commission
 PO Box 2148, Salem OR 97308
 1-800-848-4442 or 503-373-7171
 1-800-648-3458 (TTY)
 971-239-5845 (Videophone)
 Fax: 1-877-567-1977 or 503-378-6047
puc.rspf@state.or.us

Please **PRINT** clearly and **SIGN** on page 2.

If you have a situation that prevents you from providing certain information, please contact us for assistance.

Applicant's Legal Name (Last, First, M.I.) (Applicant's legal name MUST be on phone bill)		Applicant's Social Security No.	Applicant's Birth Date
		- -	/ /
Applicant's Home Address	Is this a temporary address? <input type="checkbox"/> Yes <input type="checkbox"/> No	Apt. #	City State OR ZIP
Applicant's Mailing Address (if different from your home address)		Apt. #	City State OR ZIP
Applicant's Phone Company (As listed on page 3)	Applicant's Phone Number () -	Applicant's E-mail Address	

I participate in the following qualifying programs (Check any that apply):

- SNAP** (Supplemental Nutrition Assistance Program; Food Stamps)
- SSI** (Supplemental Security Income)
- TANF** (Temporary Assistance for Needy Families)
- Certain State Medical Programs or Certain Medicaid Programs** at or below 135% of the federal poverty guidelines

Supporting documentation is required for the following program:

- NSLP*** (National School Lunch Program; *Free Lunch Program Only*)
 *Please provide a copy of the official letter from your school district indicating your current participation.

Please continue to page 2 

Please completely READ and SIGN this form that indicates you understand and agree to comply with the following Oregon Telephone Assistance Program (OTAP)/Lifeline rules:

- I understand that completing this application does not immediately approve me for the OTAP/Lifeline benefit. I will be notified in writing of my application status.
- I understand it may take 30-90 days for the phone company to apply the OTAP/Lifeline benefit to my phone bill.
- I give the Oregon Public Utility Commission (PUC) authority to obtain or review any required records needed to confirm my statements and to confirm that I qualify for the OTAP/Lifeline. I also authorize the phone company to release any required records for my OTAP/Lifeline benefit.
- I am head of household and no one else in my household receives landline or wireless OTAP/Lifeline service.
- I understand that the OTAP/Lifeline credit is only allowed for **ONE PHONE LINE PER HOUSEHOLD**
 - A household is defined as any persons who live together at the same address and share income and expenses.
- I understand that if I break or violate the one-per-household rule I will no longer qualify for the OTAP/Lifeline program.
- I agree to let the PUC know within 30 days if:
 - I no longer qualify for the OTAP/Lifeline benefit
 - I no longer take part in a qualifying program
 - I receive more than one OTAP/Lifeline benefit
 - Another member of my household is also receiving the OTAP/Lifeline benefit
- I understand that I have 30 days to notify the PUC if I no longer qualify for the OTAP/Lifeline benefit or I may be removed from the program.
- I agree to notify the PUC of address changes within 30 days of moving.
- I understand that my OTAP/Lifeline benefit may not be transferred or given to any other person.
- I understand that I may be required to confirm that I still qualify for the OTAP/Lifeline benefit at any time and that, if I do not comply, my OTAP/Lifeline benefits will stop.
- I understand that OTAP/Lifeline is a state and federal benefit and willfully making false statements or providing false or fraudulent documents to obtain the benefit is punishable by law and can result in fines, imprisonment, disqualification or being permanently removed from the program.

By signing this application I certify under penalty of perjury that the information contained in this application is true and correct and that I meet the eligibility criteria for the OTAP/Lifeline benefit.

Applicant Signature _____

Print Name _____ Date _____

**Please Mail Application to: PUC, PO Box 2148, Salem OR 97308
or Fax to: 1-877-567-1977 or 503-378-6047**

Do you have questions? Call us at 1-800-848-4442 or 503-373-7171

Oregon Telephone Assistance Program (OTAP)/Lifeline Application

You may complete an OTAP/Lifeline application online at: www.rspf.org

Oregon Public Utility Commission
 PO Box 2148, Salem OR 97308
 1-800-848-4442 or 503-373-7171
 1-800-648-3458 (TTY)
 971-239-5845 (Videophone)
 Fax: 1-877-567-1977 or 503-378-6047
puc.rspf@state.or.us

The Oregon Public Utility Commission (PUC) manages the Oregon Telephone Assistance Program (OTAP), also known as Lifeline. If you qualify, this federal and state government assistance program reduces your monthly phone bill by \$12.75.

You may qualify if you participate in one of the following programs:

- > Supplemental Nutrition Assistance Program; Food Stamps (SNAP)
- > Supplemental Security Income (SSI)
- > Temporary Assistance for Needy Families (TANF)
- > National School Lunch Program; *Free Lunch Program Only (NSLP)*
- > Certain State Medical Programs or Certain Medicaid Programs at or below 135% of the federal poverty guidelines

Landline phone companies that provide the OTAP/Lifeline benefit:

Asotin	Frontier	Nehalem	Roome Tel Com
Beaver Creek	Gervais	North State	Scio Mutual
Canby Co-Op	Helix	Oregon Tel. Corp.	St. Paul
CenturyLink	Home/TDS	Oregon/Idaho	Stayton Co.
Clear Creek	Molalla	People's	Warm Springs
Colton	Monitor	Pine Phone Co.	
ComSpan	Monroe	Pioneer	
Eagle	Mt. Angel	Reliance Connects	

Wireless phone companies that provide the OTAP/Lifeline benefit:

AT&T Mobility* -in select areas Cricket Snake River PCS US Cellular

The OTAP/Lifeline benefit cannot be applied to Pay-As-You-Go Plans.

*AT&T Mobility only offers the OTAP/Lifeline benefit in select areas.
 Call 1-800-377-9450 to determine if the OTAP/Lifeline benefit is offered in your coverage area.



CPA AND BUSINESS CONSULTANTS

INDEPENDENT AUDITORS' REPORT

To the Board of Directors
Trans-Cascades Telephone Company
Estacada, Oregon

We have audited the accompanying financial statements of Trans-Cascades Telephone Company (the Company), which comprise the balance sheets as of December 31, 2012 and 2011, and the related statements of income, comprehensive income, changes in stockholders' equity, and cash flows for the years then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America, and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of Trans-Cascades Telephone Company at December 31, 2012 and 2011, and the results of its operations and cash flows for the years then ended in conformity with accounting principles generally accepted in the United States of America.

Other Reporting Required by *Government Auditing Standards*

In accordance with *Government Auditing Standards*, we have also issued a report dated April 17, 2013, on our consideration of Trans-Cascades Telephone Company's internal control over financial reporting and our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing and not to provide an opinion on the internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* and should be read in conjunction with this report in considering the results of our audit.

AKT LLP

Salem, Oregon
April 17, 2013

680 HAWTHORNE AVENUE SE, #140, SALEM, OR 97301
PHONE: 503.585.7774 FAX: 503.364.8405

PORTLAND, OR | SALEM, OR | CARLSBAD, CA | ESCONDIDO, CA | SAN DIEGO, CA | ANCHORAGE, AK
AKT LLP

TRANS-CASCADES TELEPHONE COMPANY

Balance Sheets

December 31, 2012 and 2011

ASSETS

Current Assets:

- Cash and cash equivalents
- Marketable securities
- Accounts receivable
- Accounts receivable, affiliates
- Prepaid expenses
- Income taxes receivable

Total Current Assets

Other Assets:

- Marketable securities
- Investments

Total Other Assets

Property, Plant, and Equipment:

- In service
- Under construction

Less accumulated depreciation

Property, Plant, and Equipment, net

2012

2011

REDACTED - FOR PUBLIC
INSPECTION

TRANS-CASCADES TELEPHONE COMPANY

Statements of Income

Years Ended December 31, 2012 and 2011

	<u>2012</u>	<u>2011</u>
Operating Revenues:	REDACTED - FOR PUBLIC INSPECTION	
Local network		
Network access		
Miscellaneous		
Total Operating Revenues		
Operating Expenses:		
Plant specific		
Plant nonspecific		
Customer		
Corporate		
Depreciation		
Total Operating Expenses		
Operating Income Taxes		
Other Operating Taxes		
Total Operating Expense and Taxes		
Operating Income (loss)		
Other Income (Expense):		
Investment income		
Interest expense		
Non-regulated expense, net		
Non operating income tax benefit (expense)		
Total Other Income		
Net Income		



<010>	Study Area Code	532378
<015>	Study Area Name	TRANS-CASCADES TEL
<020>	Program Year	2014
<030>	Contact Name - Person USAC should contact regarding this data	Brooke Wheeler
<035>	Contact Telephone Number - Number of person identified in data line <030>	503-630-8952
<039>	Contact Email Address - Email Address of person identified in data line <030>	wheelerb@cuaccess.net

TO BE COMPLETED BY THE REPORTING CARRIER, IF THE REPORTING CARRIER IS FILING ANNUAL REPORTING ON ITS OWN BEHALF:

Certification of Officer as to the Accuracy of the Data Reported for the Annual Reporting for CAF or LI Recipients	
I certify that I am an officer of the reporting carrier; my responsibilities include ensuring the accuracy of the annual reporting requirements for universal service support recipients; and, to the best of my knowledge, the information reported on this form and in any attachments is accurate.	
Name of Reporting Carrier:	TRANS-CASCADES TEL
Signature of Authorized Officer:	CERTIFIED ONLINE Date 10/11/2013
Printed name of Authorized Officer:	Brooke Wheeler
Title or position of Authorized Officer:	CFO
Telephone number of Authorized Officer:	503-630-8952
Study Area Code of Reporting Carrier:	532378 Filing Due Date for this form: 10/15/2013
Persons willfully making false statements on this form can be punished by fine or forfeiture under the Communications Act of 1934, 47 U.S.C. 55 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18 U.S.C. § 1001.	