



Your business is our business.

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REDACTED - FOR PUBLIC INSPECTION

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October 11, 2013

**By Hand Delivery**

Marlene H. Dortch, Secretary  
Federal Communications Commission  
Office of the Secretary  
445 12<sup>th</sup> Street, SW  
Washington, DC 20554

ACCEPTED/FILED

OCT 18 2013

Federal Communications Commission  
Office of the Secretary

**Re: WC Docket No. 10-90, WC Docket No. 11-42  
2013 ETC Annual Report of UBET Telecom, Inc.  
Study Area Code 502287**

Dear Ms. Dortch:

On behalf of UBET Telecom, Inc. "UBET", JSI files the attached confidential and redacted versions of the FCC Form 481 ETC annual reporting information pursuant to sections 54.313 and 54.422 of the Commission's rules.<sup>1</sup> UBET seeks confidential treatment under Protective Order for section 54.313(f)(2) financial information.<sup>2</sup> The redacted version is also being filed this date via the FCC's Electronic Comment Filing System.

Please direct any questions regarding the filing to the undersigned.

Sincerely,

John Kuykendall  
JSI Vice President  
301-459-7590  
[jkuykendall@jsitel.com](mailto:jkuykendall@jsitel.com)

cc: Charles Tyler, Telecommunications Access Policy Division (two copies, confidential)

No. of Copies rec'd 0+3  
List/ABCDE

<sup>1</sup> 47 C.F.R. §§ 54.313, 54.422.

<sup>2</sup> *Connect America Fund et al.*, WC Docket No. 10-90 *et al.*, Protective Order, DA 12-1857 rel. Nov. 16, 2012 (Protective Order). 47 C.F.R. § 54.313(f)(2).

Echelon Building II, Suite 200  
9430 Research Blvd., Austin, TX 78759  
phone: 512-338-0473, fax: 512-346-0822

Eagandale Corporate Center, Suite 310  
1380 Corporate Center Curve, Eagan, MN 55121  
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6849 Peachtree Dunwoody Road  
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phone: 770-569-2105, fax: 770-410-1608

547 South Oakview Lane  
Bountiful, UT 84010  
phone: 801-294-4576, fax: 801-294-512

<b>FCC Form 481 - Carrier Annual Reporting Data Collection Form</b>	FCC Form 481 OMB Control No. 3060-0086/OMB Control No. 3050-0018 July 2013
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<010> Study Area Code	502287	ACCEPTED/FILED ACCEPTED/FILED OCT 11 2013 OCT 18 2013 Federal Communications Commission Federal Communications Commission Office of the Secretary
<015> Study Area Name	UBET TELECOM, INC.	
<020> Program Year	2014	
<030> Contact Name: Person USAC should contact with questions about this data	Karl Searle	
<035> Contact Telephone Number: Number of the person identified in data line <030>	435-622-5472	
<039> Contact Email Address: Email of the person identified in data line <030>	ksearle@stratanetorks.com	

ANNUAL REPORTING FOR ALL CARRIERS	54,313 Completion Required	54,422 Completion Required
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			(check box when complete)	
<100> Service Quality Improvement Reporting	(complete attached worksheet)			
<200> Outage Reporting (voice)	(complete attached worksheet)		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<210> <input checked="" type="checkbox"/> <-- check box if no outages to report				
<300> Unfulfilled Service Requests (voice)		1	<input checked="" type="checkbox"/>	
<310> Detail on Attempts (voice)		502287ut310	<input checked="" type="checkbox"/>	
<320> Unfulfilled Service Requests (broadband)				
<330> Detail on Attempts (broadband)	(attach descriptive document)			
<400> Number of Complaints per 1,000 customers (voice)			<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<410> Fixed		0.0		
<420> Mobile		0.0		
<430> Number of Complaints per 1,000 customers (broadband)				
<440> Fixed				
<450> Mobile				
<500> Service Quality Standards & Consumer Protection Rules Compliance	(check to indicate certification)		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<510> 502287ut510	(attach descriptive document)		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<600> Functionality in Emergency Situations	(check to indicate certification)		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<610> 502287ut610	(attach descriptive document)		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<700> Company Price Offerings (voice)	(complete attached worksheet)			
<710> Company Price Offerings (broadband)	(complete attached worksheet)			
<800> Operating Companies and Affiliates	(complete attached worksheet)		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<900> Tribal Land Offerings (Y/N)? <input checked="" type="radio"/> <input type="radio"/>	(if yes, complete attached worksheet)		<input checked="" type="checkbox"/>	
<1000> Voice Services Rate Comparability	(check to indicate certification)			
<1010>	(attach descriptive document)			
<1100> Terrestrial Backhaul (Y/N)? <input checked="" type="radio"/> <input type="radio"/>	(if not, check to indicate certification)			
<1110>	(complete attached worksheet)			
<1200> Terms and Condition for Lifeline Customers	(complete attached worksheet)			<input checked="" type="checkbox"/>

**Price Cap Carriers, Proceed to Price Cap Additional Documentation Worksheet**

Including Rate-of-Return Carriers affiliated with Price Cap Local Exchange Carriers

<2000>	(check to indicate certification)			
<2005>	(complete attached worksheet)			

**Rate of Return Carriers, Proceed to ROR Additional Documentation Worksheet**

<3000>	(check to indicate certification)			
<3005>	(complete attached worksheet)		<input checked="" type="checkbox"/>	

<b>(100) Service Quality Improvement Reporting Data Collection Form</b>	FCC Form 481 OMB Control No. 3060-0986/OMB Control No. 3060-0819 July 2013
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<010> Study Area Code	502287
<015> Study Area Name	UBET TELECOM, INC.
<020> Program Year	2014
<030> Contact Name - Person USAC should contact regarding this data	Karl Searle
<035> Contact Telephone Number - Number of person identified in data line <030>	435-622-5472
<039> Contact Email Address - Email Address of person identified in data line <030>	ksearle@stratanetworks.com
<110> Has your company received its ETC certification from the FCC?	(yes / no) <input type="radio"/> <input checked="" type="radio"/>
<111> If your answer to Line <110> is yes, do you have an existing § 54.202(a) "5 year plan" filed with the FCC?	(yes / no) <input type="radio"/> <input type="radio"/>

If your answer to Line <111> is yes, then you are required to file a progress report, on line <112> delineating the status of your company's existing § 54.202(a) "5 year plan" on file with the FCC, as it relates to your provision of voice telephony service.

<112> Attach Five-Year Service Quality Improvement Plan or, in subsequent years, your annual progress report filed pursuant to 47 C.F.R. § 54.313(a)(1). If your company is a CETC which only receives frozen support, your progress report is only required to address voice telephony service.

Name of Attached Document (.pdf)

Please check these boxes below to confirm that the attached PDF, on line 112, contains a progress report on its five-year service quality improvement plan pursuant to § 54.202(a). The information shall be submitted at the wire center level or census block as appropriate.

- <113> Maps detailing progress towards meeting plan targets
- <114> Report how much universal service (USF) support was received
- <115> How (USF) was used to improve service quality
- <116> How (USF) was used to improve service coverage
- <117> How (USF) was used to improve service capacity
- <118> Provide an explanation of network improvement targets not met in the prior calendar year.










<b>(900) Tribal Lands Reporting Data Collection Form</b>	FCC Form 481 OMB Control No. 3060-0986/OMB Control No. 3060-0819 July 2013
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<010>	Study Area Code	502287
<015>	Study Area Name	UBET TELECOM, INC.
<020>	Program Year	2014
<030>	Contact Name - Person USAC should contact regarding this data	Karl Searle
<035>	Contact Telephone Number - Number of person identified in data line <030>	435-622-5472
<039>	Contact Email Address - Email Address of person identified in data line <030>	ksearle@stratanetorks.com

<910>	Tribal Land(s) on which ETC Serves	UTE Indian Tribe - Uintah & Ouray
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<920>	Tribal Government Engagement Obligation	502287UT920
		Name of Attached Document (.pdf)

If your company serves Tribal lands, please select (Yes, No, NA) for each these boxes to confirm the status described on the attached PDF, on line 920, demonstrates coordination with the Tribal government pursuant to § 54.313(a)(9) includes:

	Select (Yes, No, NA)
<921> Needs assessment and deployment planning with a focus on Tribal community anchor institutions;	Yes
<922> Feasibility and sustainability planning;	Yes
<923> Marketing services in a culturally sensitive manner;	Yes
<924> Compliance with Rights of way processes	Yes
<925> Compliance with Land Use permitting requirements	Yes
<926> Compliance with Facilities Siting rules	Yes
<927> Compliance with Environmental Review processes	Yes
<928> Compliance with Cultural Preservation review processes	Yes
<929> Compliance with Tribal Business and Licensing requirements.	Yes

**(1100) No Terrestrial Backhaul Reporting  
Data Collection Form**

FCC Form 481  
OMB Control No. 3060-0986/OMB Control No. 3060-0819  
July 2013

<010>	Study Area Code	502287
<015>	Study Area Name	UBET TELECOM, INC.
<020>	Program Year	2014
<030>	Contact Name - Person USAC should contact regarding this data	Karl Searle
<035>	Contact Telephone Number - Number of person identified in data line <030>	435-622-5472
<039>	Contact Email Address - Email Address of person identified in data line <030>	ksearle@stratanetworks.com

<1120> Please check this box to confirm no terrestrial backhaul options exist within the supported area pursuant to § 54.313(G)

<1130> Please check this box to confirm the reporting carrier offers broadband service of at least 1 Mbps downstream and 256 kbps upstream within the supported area pursuant to § 54.313(G)

<b>(1200) Terms and Condition for Lifeline Customers</b> <b>Lifeline</b> <b>Data Collection Form</b>	FCC Form 481 OMB Control No. 3060-0986/OMB Control No. 3060-0819 July 2013
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<010>	Study Area Code	502287
<015>	Study Area Name	UBET TELECOM, INC.
<020>	Program Year	2014
<030>	Contact Name - Person USAC should contact regarding this data	Karl Searle
<035>	Contact Telephone Number - Number of person identified in data line <030>	435-622-5472
<039>	Contact Email Address - Email Address of person identified in data line <030>	ksearle@stratanetworks.com

<1210> Terms & Conditions of Voice Telephony Lifeline Plans 502287UT1210

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Name of attached document (.pdf)

<1220> Link to Public Website HTTP \_\_\_\_\_

"Please check these boxes below to confirm that the attached PDF, on line 1210, or the website listed, on line 1220, contains the required information pursuant to § 54.422(a)(2) annual reporting for ETCs receiving low-income support, carriers must annually report:

- <1221> Information describing the terms and conditions of any voice telephony service plans offered to Lifeline subscribers,
- <1222> Details on the number of minutes provided as part of the plan,
- <1223> Additional charges for toll calls, and rates for each such plan.

<b>(2000) Price Cap Carrier Additional Documentation</b> <b>Data Collection Form</b> <i>Including Rate-of-Return Carriers affiliated with Price Cap Local Exchange Carriers</i>	FCC Form 481 OMB Control No. 3060-0986/OMB Control No. 3060-0819 July 2013
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<b>&lt;010&gt;</b>	Study Area Code	502287
<b>&lt;015&gt;</b>	Study Area Name	UBET TELECOM, INC.
<b>&lt;020&gt;</b>	Program Year	2014
<b>&lt;030&gt;</b>	Contact Name - Person USAC should contact regarding this data	Karl Searle
<b>&lt;035&gt;</b>	Contact Telephone Number - Number of person identified in data line <030>	435-622-5472
<b>&lt;039&gt;</b>	Contact Email Address - Email Address of person identified in data line <030>	ksearle@stratanetorks.com

**CHECK the boxes below to note compliance as a recipient of Incremental Connect America Phase I support, frozen High Cost support, High Cost support to offset access charge reductions, and Connect America Phase II support as set forth in 47 CFR § 54.313(b),(c),(d),(e) the information reported on this form and in the documents attached below is accurate.**

**Incremental Connect America Phase I reporting**

- <2010>** 2nd Year Certification {47 CFR § 54.313(b)(1)}
- <2011>** 3rd Year Certification {47 CFR § 54.313(b)(2)}

**Price Cap Carrier Receiving Frozen Support Certification {47 CFR § 54.312(a)}**

- <2012>** 2013 Frozen Support Certification
- <2013>** 2014 Frozen Support Certification
- <2014>** 2015 Frozen Support Certification
- <2015>** 2016 and future Frozen Support Certification

**Price Cap Carrier Connect America ICC Support {47 CFR § 54.313(d)}**

- <2016>** Certification Support Used to Build Broadband

**Connect America Phase II Reporting {47 CFR § 54.313(e)}**

- <2017>** 3rd year Broadband Service Certification
- <2018>** 5th year Broadband Service Certification
- <2019>** Interim Progress Certification
- <2020>** Please check the box to confirm that the attached PDF , on line 2021, contains the required information pursuant to § 54.313 (e)(3)(ii), as a recipient of CAF Phase II support shall provide the number, names, and addresses of community anchor institutions to which began providing access to broadband service in the preceding calendar year.

**<2021>** Interim Progress Community Anchor Institutions Name of Attached Document Listing Required Information \_\_\_\_\_

<b>(3000) Rate Of Return Carrier Additional Documentation</b> Data Collection Form	FCC Form 481 OMB Control No. 3060-0986/OMB Control No. 3060-0819 July 2013
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<b>&lt;010&gt;</b>	Study Area Code	502287
<b>&lt;015&gt;</b>	Study Area Name	UBET TELECOM, INC.
<b>&lt;020&gt;</b>	Program Year	2014
<b>&lt;030&gt;</b>	Contact Name - Person USAC should contact regarding this data	Karl Searle
<b>&lt;035&gt;</b>	Contact Telephone Number - Number of person identified in data line <030>	435-622-5472
<b>&lt;039&gt;</b>	Contact Email Address - Email Address of person identified in data line <030>	ksearle@stratanetworks.com

CHECK the boxes below to note compliance on its five year service quality plan (pursuant to 47 CFR § 54.202(a)) and, for privately held carriers, ensuring compliance with the financial reporting requirements set forth in 47 CFR § 54.313(f)(2). I further certify that the information reported on this form and in the documents attached below is accurate.

**Progress Report on 5 Year Plan**

(3010) Milestone Certification (47 CFR § 54.313(f)(1)(i)) Please check this box to confirm that the attached PDF, on line 3012, contains the required information pursuant to § 54.313 (f)(1)(ii), as a recipient of CAF Phase II support shall provide the number, names, and addresses of community anchor institutions to which began providing access to broadband service in the preceding calendar year.	Name of Attached Document Listing Required Information _____ <input type="checkbox"/>	
(3011) Community Anchor Institutions (47 CFR § 54.313(f)(1)(ii)) Is your company a Privately Held ROR Carrier (47 CFR § 54.313(f)(2)) If yes, does your company file the RUS annual report Please check these boxes to confirm that the attached PDF, on line 3017, contains the required information pursuant to § 54.313(f)(2) compliance requires: Electronic copy of their annual RUS reports (Operating Report for Telecommunications Borrowers) PDF of Balance Sheet, Income Statement and Statement of Cash Flows	Name of Attached Document Listing Required Information _____ <input checked="" type="checkbox"/> (Yes/No) <input checked="" type="checkbox"/> (Yes/No)  <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> Name of Attached Document Listing Required Information 502287UT3017 _____ <input type="checkbox"/> (Yes/No)	
(3017) If the response is no on line 3014, Is your company audited? If the response is yes on line 3018, please check the boxes below to confirm your submission, on line 3026 pursuant to § 54.313(f)(2), contains: Either a copy of their audited financial statement; or (2) a financial report in a format comparable to RUS Operating Report for Telecommunications PDF of Balance Sheet, Income Statement and Statement of Cash Flows Management letter issued by the independent certified public accountant that performed the company's financial audit. If the response is no on line 3018, please check the boxes below to confirm your submission, on line 3026 pursuant to § 54.313(f)(2), contains: Copy of their financial statement which has been subject to review by an independent certified public accountant; or (2) a financial report in a format comparable to RUS Operating Report for Telecommunications Borrowers, Underlying information subjected to a review by an independent certified public accountant Underlying information subjected to an officer certification. PDF of Balance Sheet, Income Statement and Statement of Cash Flows	Name of Attached Document Listing Required Information _____ <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>  <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> Name of Attached Document Listing Required Information _____	
(3019) Management letter issued by the independent certified public accountant that performed the company's financial audit. If the response is no on line 3018, please check the boxes below to confirm your submission, on line 3026 pursuant to § 54.313(f)(2), contains: Copy of their financial statement which has been subject to review by an independent certified public accountant; or (2) a financial report in a format comparable to RUS Operating Report for Telecommunications Borrowers, Underlying information subjected to a review by an independent certified public accountant Underlying information subjected to an officer certification. PDF of Balance Sheet, Income Statement and Statement of Cash Flows	Name of Attached Document Listing Required Information _____ <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>  <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> Name of Attached Document Listing Required Information _____	
(3021) Attach the worksheet listing required information	Name of Attached Document Listing Required Information _____	

<b>Certification - Reporting Carrier Data Collection Form</b>	FCC Form 481 OMB Control No. 3060-0986/OMB Control No. 3060-0619 July 2013
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<010> Study Area Code	502287
<015> Study Area Name	UBET TELECOM, INC.
<020> Program Year	2014
<030> Contact Name - Person USAC should contact regarding this data	Karl Searle
<035> Contact Telephone Number - Number of person identified in data line <030>	435-622-5472
<039> Contact Email Address - Email Address of person identified in data line <030>	ksearle@stratanetorks.com

**TO BE COMPLETED BY THE REPORTING CARRIER, IF THE REPORTING CARRIER IS FILING ANNUAL REPORTING ON ITS OWN BEHALF:**

<b>Certification of Officer as to the Accuracy of the Data Reported for the Annual Reporting for CAF or LI Recipients</b>	
I certify that I am an officer of the reporting carrier; my responsibilities include ensuring the accuracy of the annual reporting requirements for universal service support recipients; and, to the best of my knowledge, the information reported on this form and in any attachments is accurate.	
Name of Reporting Carrier:	UBET TELECOM, INC.
Signature of Authorized Officer:	CERTIFIED ONLINE <span style="float: right;">Date 10/11/2013</span>
Printed name of Authorized Officer:	Karl Searle
Title or position of Authorized Officer:	Chief Financial Officer
Telephone number of Authorized Officer:	435-622-5472
Study Area Code of Reporting Carrier:	502287 <span style="float: right;">Filing Due Date for this form: 10/15/2013</span>
Persons willfully making false statements on this form can be punished by fine or forfeiture under the Communications Act of 1934, 47 U.S.C. §§ 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18 U.S.C. § 1001.	

<b>Certification - Agent / Carrier Data Collection Form</b>	FCC Form 481 OMB Control No. 3060-0585/OMB Control No. 3060-0819 July 2013
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<b>&lt;010&gt;</b>	Study Area Code	502287
<b>&lt;015&gt;</b>	Study Area Name	UBET TELECOM, INC.
<b>&lt;020&gt;</b>	Program Year	2014
<b>&lt;030&gt;</b>	Contact Name - Person USAC should contact regarding this data	Karl Searle
<b>&lt;035&gt;</b>	Contact Telephone Number - Number of person identified in data line <030>	435-622-5472
<b>&lt;039&gt;</b>	Contact Email Address - Email Address of person identified in data line <030>	ksearle@stratanetworks.com

**TO BE COMPLETED BY THE REPORTING CARRIER, IF AN AGENT IS FILING ANNUAL REPORTS ON THE CARRIER'S BEHALF:**

Certification of Officer to Authorize an Agent to File Annual Reports for CAF or LI Recipients on Behalf of Reporting Carrier	
I certify that (Name of Agent) _____ is authorized to submit the information reported on behalf of the reporting carrier. I also certify that I am an officer of the reporting carrier; my responsibilities include ensuring the accuracy of the annual data reporting requirements provided to the authorized agent; and, to the best of my knowledge, the reports and data provided to the authorized agent is accurate.	
Name of Authorized Agent: _____	
Name of Reporting Carrier: _____	
Signature of Authorized Officer: _____	Date: _____
Printed name of Authorized Officer: _____	
Title or position of Authorized Officer: _____	
Telephone number of Authorized Officer: _____	
Study Area Code of Reporting Carrier: _____	Filing Due Date for this form: _____
Persons willfully making false statements on this form can be punished by fine or forfeiture under the Communications Act of 1934, 47 U.S.C. §§ 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18 U.S.C. § 1001.	

**TO BE COMPLETED BY THE AUTHORIZED AGENT:**

Certification of Agent Authorized to File Annual Reports for CAF or LI Recipients on Behalf of Reporting Carrier	
I, as agent for the reporting carrier, certify that I am authorized to submit the annual reports for universal service support recipients on behalf of the reporting carrier; I have provided the data reported herein based on data provided by the reporting carrier; and, to the best of my knowledge, the information reported herein is accurate.	
Name of Reporting Carrier: _____	
Name of Authorized Agent or Employee of Agent: _____	
Signature of Authorized Agent or Employee of Agent: _____	Date: _____
Printed name of Authorized Agent or Employee of Agent: _____	
Title or position of Authorized Agent or Employee of Agent: _____	
Telephone number of Authorized Agent or Employee of Agent: _____	
Study Area Code of Reporting Carrier: _____	Filing Due Date for this form: _____
Persons willfully making false statements on this form can be punished by fine or forfeiture under the Communications Act of 1934, 47 U.S.C. §§ 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18 U.S.C. § 1001.	

**Attachments**

**Unfulfilled Requests - 2012**

Study Area Code:	502287
Study Area Name:	UBTA-UBET Communications
Program Year:	2012
Contract Name - Person USAC should contact regarding this data:	Karl Searle
Contact Telephone Number - Number of person identified above:	435-622-5472
Contact Email Address - Email Address of person identified above:	ksearle@stratanetworks.com

Account Name	Address	Exchange	SO #	Date/Time	Request Description	Results
Mark Thacker	500 N 12000 W, Upalco	Altamont	A0068111	12/17/2012, 11:10 AM	New Home Drop Install	STRATA visited multiple times with customer and visited site. This order is only unfulfilled because client did not want to pay aid to construction. Customer elected not to go ahead and pursue service.

**UBTA-UBET Communications, Inc.'s demonstration of Compliance with  
Applicable Service Quality Standards and Consumer Protection Rules.**

In establishing this certification in its *2005 ETC Order*,<sup>1</sup> the FCC found that an ETC must make “a specific commitment to objective measures to protect consumers.”<sup>2</sup> The Commission found that for wireless ETCs, compliance with CTIA’s Consumer Code for Wireless Service would satisfy this requirement” and that the sufficiency of other commitments would be considered on a case-by-case basis.<sup>3</sup> In this context, the FCC stated, “to the extent a wireline or wireless ETC applicant is subject to consumer protection obligations under state law, compliance with such laws may meet our requirement.”<sup>4</sup>

UBTA-UBET Communications, Inc. (“Company”) hereby certifies that it is complying with applicable service quality standards and consumer protection rules. The Company is subject to consumer protection obligations under the Utah Service Rules for Telecommunications Corporations. These obligations include, but are not limited to, the following: (1) filing a Local Exchange Tariff pursuant to the requirements of Utah Public Service Commission which disclose rates, terms and conditions of service to customers; (2) adherence to state consumer protection requirements governing telephone providers which require billing procedures (R746-240-4) and procedures for responding to and

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<sup>1</sup> *Federal-State Joint Board on Universal Service*, CC Docket No. 96-45, Report and Order, FCC 05-46 (rel. Mar. 17, 2005) (“*2005 ETC Order*”).

<sup>2</sup> *Id.* at para. 28.

<sup>3</sup> *Id.* The FCC noted that under the CTIA Consumer Code, wireless carriers agree to: “(1) disclose rates and terms of service to customers; (2) make available maps showing where service is generally available; (3) provide contract terms to customers and confirm changes in service; (4) allow a trial period for new service; (5) provide specific disclosures in advertising; (6) separately identify carrier charges from taxes on billing statements; (7) provide customers the right to terminate service for changes to contract terms; (8) provide ready access to customer service; (9) promptly respond to consumer inquiries and complaints received from government agencies; and (10) abide by policies for protection of consumer privacy.” *Id.* at n. 71.

<sup>4</sup> *Id.* at n. 72.

resolving consumer disputes (R746-240-7); (3) truth-in-billing requirements; and (4) CPNI, Red Flag Rules and other applicable federal and state requirements governing the protection of customers' privacy. Pursuant to Telecommunications Service Rule R746-240-1.H, the Company provides a copy of "Customer's Statement of Rights and Responsibilities" as approved by the Utah Public Service Commission to all account holders.

**UBTA-UBET Communications, Inc.'s Demonstration of Ability to Function in  
Emergency Situations.**

UBTA-UBET Communications, Inc. hereby certifies that it is able to function in emergency situations as set forth in §54.202(a)(2).<sup>1</sup> The Company's network is designed to remain functional in emergency situations without an external power source, is able to reroute traffic around damaged facilities, and is capable of managing traffic spikes resulting from emergency situations as required by Section 54.202(a)(2). The Company can change call routing translations as needed to reroute traffic around damaged facilities. Changing call routing translations will also allow the Company to manage traffic spikes throughout its network, as emergency situations require.

Specifically, each central office building is supplied with standby generators and battery back-up that enable the central office to keep running until power is restored so long as fuel is available, or until system changes are made to reroute traffic. The Company's central office can maintain 8 hours battery reserve rated for peak traffic load requirements, and a permanent auxiliary power unit is installed which can be delivered and connected within four hours. The Company has battery backup at all office locations and in its electronic equipment sites capable of running for a minimum of 8 hours. Length of run time is determined by the equipment serving the area and the number of customers working out of the equipment. Generators are installed at all Central Office

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<sup>1</sup> Section 54.202(a)(2) requires ETCs that are designated by the Commission to "demonstrate its ability to remain functional in emergency situations, including a demonstration that it has a reasonable amount of back-up power to ensure functionality without an external power source, is able to reroute traffic around damaged facilities, and is capable of managing traffic spikes resulting from emergency situations."

locations. They will continue to run as long as the Company has access to fuel. The Company tests the batteries at least once per year.



File Name: 502287UT900  
Study Area Code: 502287  
Study Area Name: UBTA-UBET Communications  
Program Year: 2012

UBTA-UBET Communications' service area includes providing landline services to the UTE Indian Tribe – Uintah & Ouray.

During the year 2012 UBTA-UBET Communications complied with the requirements of 47 CFR § 54.313(a)(9), Tribal Engagement Obligations, including, (i) A needs assessment and deployment planning with a focus on Tribal community anchor institutions; (ii) Feasibility and sustainability planning; (iii) Marketing services in a culturally sensitive manner; (iv) Rights of way processes, land use permitting, facilities siting, environmental and cultural preservation review processes; and (v) Compliance with Tribal business and licensing requirements.

§54.313(a)(9)(i) A needs assessment and deployment planning with a focus on Tribal community anchor institutions.

While communication services have been provided to the Tribal community since the early beginning of UBTA-UBET Communications in 1954, and overtime to all Tribal Anchor institutions, the Tribe decided that as a sovereign nation, it needed to have its own fiber between key locations and institutions. Working together, the Tribal government and UBTA-UBET Communications determined the best solution was for UBTA-UBET Communications to place the fiber according to the engineered plans. The fiber was successfully put in place as desired.

UBTA-UBET communications works with the Tribe to understand their needs and plans to assure services are available as needed. Services of UBTA-UBET are available to Tribe members and Tribal locations and are provided on a non-discriminatory basis.

§54.313(a)(9)(ii) Feasibility and sustainability planning.

UBTA-UBET Communications and the UTE Indian Tribe have been working in cooperation since 1954, together we have proven the feasibility and sustainability of communication services to the Tribal area. Through the needs assessment and deployment planning process the need for fiber facilities to the Tribal Fish Hatchery was identified. Through out the year 2012, we worked with the Tribal to place the fiber to the Fish Hatchery. While that project has been delayed due to some right-of-ways not yet acquired, the project remains a unified effort. This fiber project was the result of communicating with the Tribal government regarding the services available versus the services needed.

While placing the Tribal owned fiber to Tribal Anchor Institutions during the year 2012, communication between both parties continued. Those communications identified that for the best use of the fiber and its planned connections, the fiber needed extended beyond the original plan. The feasibility of the extensions was discussed and evaluated and the conclusion was made that the fiber extensions would be sustainable. This collaboration resulted in a fiber architecture connecting the anchor institutions of the Tribe enhancing and sustaining its efforts related to sovereignty.

§54.313(a)(9)(iii) Marketing services in a culturally sensitive manner.

Working with the Tribal government specific opportunities via current tribal information and education programs have been identified to promote awareness of lifeline services and employment opportunities. This has resulted in improving the dissemination of this important information.

§54.313(a)(9)(iv) Rights of way process, land use permitting, facilities siting, environmental and cultural preservation review processes.

The Tribal government keeps UBTA-UBET Communications informed of the processes required for Rights of Way, land use permitting, facilities siting. UBTA-UBET Communications works with the appropriate Tribal office and designee to assure full compliance with the Tribal processes.

UBTA-UBET Communications works in unison with the Tribe to assure environmental and cultural preservation needs are not overlooked or harmed.

§54.313(a)(9)(v) Compliance with Tribal business and licensing requirements.

During 2012 UBTA-UBET Communications complied with all business licensing requirements and access permitting of the Tribe through-out the year 2012.

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**1st Revised Sheet No. 28**  
**Cancels Original Sheet No. 28**

**NETWORK ACCESS LINE SERVICE**

**RATES**

Monthly Rate

Per Access Line	<u>Residence</u>	<u>Business</u>
One-Party Service	\$ 16.50 (1FR)	\$ 26.00 (1FB)
PBX Trunk		1 1/2 x Business Access Line Rate (PBXFL)
PBX Outward Only		\$ 26.00 (PBXOW)
Key System		1 1/2 x Business Access Line Rate (PBXFL)
Family Line	\$ 16.50 (1FL)	
Extended Area Service		
Vernal Area (789 & 781)	\$ 1.80 (EASV)	\$ 1.80 (EASV)
Roosevelt Area (722 & 725)	2.25 (EASR)	2.25 (EASR)
Duchesne Area (738)	3.25 (EASD)	3.25 (EASD)
All other areas (454, 353, 247, 545, 646, 848, 548)	5.75 (EASU)	5.75 (EASU)

**CONDITIONS**

The above rates apply to the provision of network access lines which, when connected to a suitable instrument provides access to the telecommunications network.

Instruments must be provided by the subscriber, subject to the conditions described in the Connection With Subscriber-Owned Equipment portion of this tariff.

Additional instruments may be attached to network access lines. The Company reserves the right to limit the number of instruments connected to an access line if they cause interference with the normal operation of the line.

Touch-Tone service is provided only where the facilities are available.

PBX Outward Only allows only outgoing calls from the customer's PBX or Key System. It provides access to 911, dial tone, operator services, and long distance services, but does not allow incoming calls.

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**1st Revised Sheet No. 75**  
**Cancels Original Sheet No. 75**

2. RATES

- A. Baseline Lifeline is a reduction or credit in the local service charges normally paid by qualifying low-income consumers. The reduction to the normal residential one-party rates are as follows:

<u>Residential Access Lines</u>	<u>Monthly Credit or Discount</u>
Federal Baseline Lifeline Reduction	\$ 9.25
Federally Funded Reduction in Local Rate	\$ 2.75
State Matching Local Rate Reduction	\$ 3.50

These reductions or credits are from the normal residential one-party service subscribed to by the consumer. The Federal Baseline Lifeline reduction shall be used to waive the consumer's Federal End-User Common Line Charge or Subscriber Line Charge.

In addition to the above Federal Service Discount, the State may provide an additional discount for eligible consumers, pursuant to Public Service Commission of Utah Rules R746-341. The State Discount is only provided if it is funded through the State's Universal Service Fund.

In no case will the discount exceed the rate charged for the service subscribed to by each individual.

- B. The following services are included:

1. Single party, voice grade access to the Public Switched Network
2. Access to emergency services
3. Access to operator services
4. Access to interexchange services, unless toll blocking is chosen
5. Access to directory assistance
6. Toll Blocking
7. Extended Area Service

- C. Tribal Lifeline

1. Tribal Lifeline will consist of up to an additional \$25 per month, per primary residential connection for qualifying low-income individuals living on qualifying tribal lands.

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**1st Revised Sheet No. 76**  
**Cancels Original Sheet No. 76**

LIFELINE (Cont'd)

2. RATES (Cont'd)

C. Tribal Lifeline (Cont'd)

2. Tribal Lifeline benefits apply to the primary local residential access line. This additional federal Lifeline support will be provided to reduce the qualifying customer's basic monthly service rate to \$1.00 per month.

3. LIFE LINE ELIGIBILITY REQUIREMENTS

- A. An applicant must meet eligibility requirements established in the Public Service Commission of Utah Rules R746-341.
- B. Customer must complete the approved application for the Utah Telephone Assistance Program (UTAP) and submit the application to UTAP program for eligibility certification.
- C. The customer must be recertified annually by the appropriate state agency
- D. The premises at which the residential service is requested is the applicant's principal place of residence.
- E. There is only one telephone line serving the residential premises eligible for the credit. The residential premises shall consist of that portion of an individual house or building or one flat or apartment occupied by a single family or individuals functioning as one domestic unit.

4. TRIBAL LIFELINE ELIGIBILITY REQUIREMENTS

- A. Residents of tribal lands who qualify for Lifeline based on the requirements listed below are eligible for the Tribal Lifeline benefit if they participate in one or more of the following programs or meet the traditional lifeline eligibility requirements listed above.
  1. Bureau of Indian Affairs (BIA) general assistance program,

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LIFELINE (Cont'd)

4. TRIBAL LIFELINE ELIGIBILITY REQUIREMENTS (Cont'd)

A. (Cont'd)

2. Tribally administered Temporary Assistance for Needy Families block grant program,
3. Head Start programs (only those meeting its income-qualifying standard),
4. National School Lunch Program's free lunch program.

B. The customer must sign, under penalty of perjury a document certifying that such customer receives benefits from at least one of the programs above, and lives within a qualifying area. In addition, the customer must also agree to notify the Company if that customer ceases to participate in the qualifying program or programs.

5. FUNDING

The total cost of providing the State Lifeline program shall be funded from the Utah Universal Service Fund.

6. REGULATIONS

- A. The Telephone Assistance Program credit will begin with the next billing cycle of the company following the date the Company receives a valid application from the customer or when new service is established for a qualifying customer.
- B. The regular service connection charge, move and change charge, and regulations applicable to the service offerings specified in the tariff will apply. The service connection charge and move and change charge to change to or from this program due to eligibility status will be waived.

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**1st Revised Sheet No. 78**  
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LIFELINE (Cont'd)

6. REGULATIONS (Cont'd)
  - C. The Lifeline credit will be subject to the following restrictions:
    1. Applicant must be head of household or person whose name the property or rental agreement resides.
    2. Lifeline credit will only be provided to the applicant's principle residence.
    3. The credit will only be applicable for one single residential access line.
  - D. Lifeline will not be furnished on a Foreign Exchange (FEX) basis.
  - E. Lifeline service shall not be disconnected for non-payment of toll charges.
  - F. If the consumer chooses "toll blocking", the company will not charge a service deposit. Deposits will not be required if customers choose the toll blocking option. No toll blocking charges will be assessed to Lifeline subscribers.
7. The Company will offer Lifeline assistance only during such periods as reimbursement of the discount is available to the Company from Federal and/or State revenue sources.

**TRIBAL LINK UP****1. GENERAL**

Applicable to customers of the Company who apply for basic residential service, and are an eligible resident of Tribal Lands.

**2. DESCRIPTION**

A. Tribal Link Up consists of a discount, for new service connection charges to connect the customer to the local telephone network. Discount may not be taken on service order or connection charges that pertain to deregulated services such as inside wiring or terminating equipment.

B. Tribal Link Up

Residents on qualifying Tribal lands (reservations) who qualify for Tribal Lifeline are eligible for a Link Up benefit of up to \$100. The benefit will apply towards 100% of the connection charges between \$60.00 and \$130.00, which are assessed to begin service at the primary residence of eligible residence. Eligible charges include any charges customarily assessed to connect the subscriber to the network, including line extension charges, zone charges, and special construction charges.

**3. ELIGIBILITY REQUIREMENTS**

A. An applicant must meet all of the following criteria in order to qualify for Link Up.

1. The premises at which the residential service is requested is the applicant's principal place of residence.
2. There is only one telephone line serving the residential premises eligible for this discount. The residential premises shall consist of that portion of an individual house or building or one flat or apartment occupied by a single family or individuals functioning as one domestic unit.
3. An applicant who is an eligible resident of Tribal lands must meet the Tribal Lifeline requirements noted in this tariff in the preceding Lifeline section.

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**1<sup>st</sup> Revised Sheet No. 80**  
**Cancels Original Sheet No. 80**

LINK UP (Cont'd)

3. ELIGIBILITY REQUIREMENTS (Cont'd)
  - B. Link Up will not be furnished on a Foreign Exchange (FEX) basis.
  - C. Lifeline qualifying customers are entitled to a reduction of the connection charges once every twelve (12) months.
4. Link Up Assistance will not apply to:
  - A. Any business service.
  - B. Any optional residential services such as a custom calling feature.
  - C. Any private line services whether for residential use or otherwise.
  - D. Deposits used for the establishment of credit.
  - E. Any monthly recurring charges.
5. The Company will offer Link Up Assistance only during such periods as reimbursement of the discount is available to the Company from Federal and/or State revenue sources.

**REDACTED – FOR PUBLIC INSPECTION**

**UBET TELECOM, INC. (SAC 502287)**

**ATTACHMENT - LINE 3012**

**ATTACHMENT REDACTED IN ENTIRETY**